EXPERT COMMITTEE ON BIOLOGICAL STANDARDIZATION
Geneva, 17 to 20 October 2017

Technical Guidance Series for WHO prequalification of in vitro diagnostic medical devices


© World Health Organization 2017

Some rights reserved. This work is available under the Creative Commons Attribution-NonCommercial-ShareAlike 3.0 IGO licence (CC BY-NC-SA 3.0 IGO; https://creativecommons.org/licenses/by-nc-sa/3.0/igo).

Under the terms of this licence, you may copy, redistribute and adapt the work for non-commercial purposes, provided the work is appropriately cited, as indicated below. In any use of this work, there should be no suggestion that WHO endorses any specific organization, products or services. The use of the WHO logo is not permitted. If you adapt the work, then you must license your work under the same or equivalent Creative Commons licence. If you create a translation of this work, you should add the following disclaimer along with the suggested citation: “This translation was not created by the World Health Organization (WHO). WHO is not responsible for the content or accuracy of this translation. The original English edition shall be the binding and authentic edition”.

Any mediation relating to disputes arising under the licence shall be conducted in accordance with the mediation rules of the World Intellectual Property Organization (http://www.wipo.int/amc/en/mediation/rules).


Cataloguing-in-Publication (CIP) data. CIP data are available at http://apps.who.int/iris.

Sales, rights and licensing. To purchase WHO publications, see http://apps.who.int/bookorders. To submit requests for commercial use and queries on rights and licensing, see http://www.who.int/about/licensing.

Third-party materials. If you wish to reuse material from this work that is attributed to a third party, such as tables, figures or images, it is your responsibility to determine whether permission is needed for that reuse and to obtain permission from the copyright holder. The risk of claims resulting from infringement of any third-party-owned component in the work rests solely with the user.

General disclaimers. The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of WHO concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted and dashed lines on maps represent approximate border lines for which there may not yet be full agreement.

The mention of specific companies or of certain manufacturers’ products does not imply that they are endorsed or recommended by WHO in preference to others of a similar nature that are not mentioned. Errors and omissions excepted, the names of proprietary products are distinguished by initial capital letters.

All reasonable precautions have been taken by WHO to verify the information contained in this publication. However, the published material is being distributed without warranty of any kind, either expressed or implied. The responsibility for the interpretation and use of the material lies with the reader. In no event shall WHO be liable for damages arising from its use.

Adopted by the Sixty-eighth Meeting of the World Health Organization Expert Committee on Biological Standardization, 17-20 October 2017. A definitive version of this document, which will differ from this version in editorial but not scientific details, will be published in the WHO Technical Report Series.
Preface

WHO Prequalification – Diagnostic Assessment: Technical Guidance Series

WHO Prequalification is coordinated through the Department of Essential Medicines and Health Products. WHO prequalification of in vitro diagnostic medical devices (IVDs) is intended to promote and facilitate access to safe, appropriate and affordable IVDs of good quality in an equitable manner. The focus is on IVDs for priority diseases and their suitability for use in resource-limited settings. WHO Prequalification undertakes a comprehensive assessment of individual IVDs through a standardized procedure that is aligned with international best regulatory practice. It also undertakes post-qualification activities for IVDs to ensure their ongoing compliance with prequalification requirements.

Products that are prequalified by WHO are eligible for procurement by United Nations agencies. The products are then commonly purchased for use in low- and middle-income countries.

IVDs prequalified by WHO are expected to be accurate, reliable and able to perform as intended for the lifetime of the IVD under conditions likely to be experienced by a typical user in resource-limited settings. The countries where WHO-prequalified IVDs are procured often have minimal regulatory requirements, and the use of IVDs in these countries presents specific challenges. For instance, IVDs are often used by healthcare workers who do not have extensive training in laboratory techniques, in harsh environmental conditions, in the absence of extensive pre- and post-test quality assurance capacity, and for patients with a disease profile that differs from the profiles encountered in high-income countries. Therefore, the requirements of WHO Prequalification may differ from the requirements of high-income countries, or those of the regulatory authority in the country of manufacture.

The Technical Guidance Series (TGS) was developed following a consultation held on 10–13 March 2015 in Geneva, Switzerland. The consultation was attended by experts from national regulatory authorities, national reference laboratories and WHO prequalification dossier reviewers and inspectors. The guidance series is a result of the efforts of this and other international working groups.

This guidance is intended for manufacturers interested in WHO prequalification of their IVD. It applies in principle to all IVDs that are eligible for WHO prequalification for use in WHO Member States. This guidance should be read in conjunction with relevant international and national standards and guidance.

The TGS guidance documents are freely available on the WHO website.
Contents

Acknowledgements........................................................................................................... 5
List of contributors ........................................................................................................... 5
1... Abbreviations............................................................................................................. 7
2... Definitions ................................................................................................................ 8
3... Introduction ............................................................................................................... 13
   3.1. Key concepts ......................................................................................................... 13
   3.2. Rationale of stability studies ................................................................................ 13
   3.3. Purpose of this document .................................................................................... 13
   3.4. Standards ............................................................................................................. 13
   3.5. Limitations of this guidance ............................................................................... 13
4... Considerations when applying for WHO prequalification......................................... 14
   4.1. Manufacturer responsibility ............................................................................... 14
   4.2. Suitability for use in Member States ................................................................... 14
   4.3. Meeting customer requirements ........................................................................ 15
5... Basic principles for stability testing .......................................................................... 15
   5.1. Critical characteristics or metrics of the IVD ...................................................... 15
   5.2. Finalized product presentation ............................................................................ 16
   5.3. Environmental conditions .................................................................................. 16
   5.4. Minimum number of lots .................................................................................... 16
   5.5. Assessment of liquid components ...................................................................... 17
   5.6. Specimens for the stability testing panel .......................................................... 17
   5.7. Validation of stability testing panel ..................................................................... 18
   5.8. Selection and value assignment criteria to panel member ................................ 18
   5.9. Time points ......................................................................................................... 19
   5.10 “Zero time” values and variance ...................................................................... 20
6... Shelf life studies ....................................................................................................... 21
   6.1. Requirements for determination of shelf life ...................................................... 21
7... Component stability studies .................................................................................... 22
   7.1. General principles .............................................................................................. 22
   7.2. Stability of control materials ............................................................................ 23
   7.3. Biocidal stability and efficacy ............................................................................. 24
   7.4. Desiccant functionality ....................................................................................... 24
8... Stability during transport ........................................................................................ 25
   8.1. Rationale .............................................................................................................. 25
   8.2. Challenge conditions .......................................................................................... 25
   8.3. Number of lots .................................................................................................... 26
   8.4. Simulated versus actual challenge ..................................................................... 26
   8.5. Multiple stress test sequences (Simulated transport challenges) ..................... 26
   8.6. Physical conditions ............................................................................................. 27
9... In-use stability studies ............................................................................................ 27
   9.1. Rationale .............................................................................................................. 27
   9.2. Conditions of use ............................................................................................... 27
   9.3. Multiple in-use stability claims ......................................................................... 28
10. Production lots used in stability studies .................................................................. 28
   10.1. Considering variability ...................................................................................... 28
   10.2. Testing the final configuration ......................................................................... 29
10.3 Number of lots required for testing .................................................. 30
10.4 Components of lots required for testing ............................................. 30

11. Stability plan .............................................................................................. 30
   11.1 Responsibilities .................................................................................. 31
   11.2 Preparing the testing plan .................................................................. 31
   11.3 Product storage .................................................................................. 32
   11.4 Documentation .................................................................................. 32
   11.5 Statistical methods .......................................................................... 32
   11.6 Stability testing protocol .................................................................... 33
   11.7 Reading and recording results .......................................................... 34
   11.8 Instability versus imprecision .......................................................... 35
   11.9 Testing schedule ............................................................................... 35

12. Stability report .......................................................................................... 36
   12.1 General .............................................................................................. 36
   12.2 Link to claims .................................................................................... 36
   12.3 Consider variability .......................................................................... 36
   12.4 IVD stability versus component stability ........................................... 36

13. Changes to a Prequalified IVD ................................................................. 37
   13.1 Dealing with change .......................................................................... 37

14. References .................................................................................................. 39

Appendix 1: Example stability protocols ......................................................... 41
   Example 1: Evaluation of transport stability followed by real time stability. 42
   Example 2: In-use stability protocol ......................................................... 46

Appendix 2: Suggested specimens for stability testing panels ......................... 48
   Examples in this section .......................................................................... 48
   1 ... Specimens to monitor tests for nucleic acid-based testing technology ... 48
   2 ... Specimens to monitor tests that measure CD4 cells .......................... 50
   3 ... Specimens to monitor tests for HIV antibodies ................................ 50
   4 ... Specimens to monitor tests for antibodies for HIV-1/2 and Treponema pallidum (TP) ........................................................................................................... 51
   5 ... Specimens to monitor tests for hepatitis C virus antibodies .......... 51
   6 ... Specimens to monitor for tests for hepatitis B surface antigen (HBsAg) 52

Appendix 3: Summary table of standards relevant for stability studies ...... 53
Acknowledgements

The document *Establishing stability of in vitro diagnostic medical devices* was developed with support from the Bill & Melinda Gates Foundation and UNITAID. The document was prepared in collaboration with Dr RJS Duncan, London, United Kingdom; Ms S Best; Dr S Braniff; Dr M Lanigan, National Serology Reference Laboratory, Victoria, Australia; Ms D Healy; and Ms R Meurant, WHO with input and expertise from Dr S Hojvat, Maryland, United States of America (USA); Dr L Kestens, Institute of Tropical Medicine, Antwerp Belgium; Ms D Lepine, IVD Section, Medical Devices Bureau Health Canada, Ottawa, Canada. This document was produced under the coordination and supervision of produced under the coordination and supervision of Ms R Meurant and Ms I Prat, Prequalification Team – Diagnostic Assessment, WHO, Geneva, Switzerland.

List of contributors

First round public comment were received from the following:
Dr J C Badciong, Abbott Laboratories, Chicago, USA; Mr K De Vore, Bio-Rad Laboratories, France; Dr A Halim, Celldex Therapeutics, Hampton, New Jersey, USA; Dr S Hojvat, Maryland, USA; Dr L Kestens, Institute of Tropical Medicine, Antwerp Belgium; Ms D Lepine, IVD Section, Medical Devices Bureau Health Canada, Ottawa, Canada; Ms L Ochs, Clinical and Laboratory Standards Institute (CLSI), Wayne, Pennsylvania, USA and members of the CLSI Consensus Committee, ISO T212 WG3 committee; Dr G Pennello, U.S. Food and Drug Administration, Silver Spring, Maryland, USA; Mr J Pierson-Perry, Siemens Healthcare Diagnostics, Erlangen, Germany; Dr Estelle Russek-Cohen, U.S. Food and Drug Administration, Silver Spring, Maryland, USA; Professor M Stevens Hardy, Medical Laboratory & Technology Consultants, LLC, Washington, DC, USA; Mr C Zang, National Institutes for Food and Drug Control, Beijing, China; Japanese Committee for Clinical Laboratory Standards (JCCLS), Tokyo, Japan.

The draft guidance was posted on the WHO Prequalification website for public consultation on 14 December 2015. Various stakeholders, including manufacturers submitting to WHO Prequalification of IVDs, IVD manufacturing industry associations, various national and international regulatory bodies, and IVD standards organizations were informed of the consultation in order to solicit feedback. A 2-month response period was provided.

Second round public comments were received from the following:
Ms A Asahina, Alere Medical Co., Ltd., Chiba, Japan; Dr J Budd, Beckman Coulter Inc., Chaska, USA; Dr C Candia Ibarra, Ministerio de Salud Publica y Bienstar Social, Asunción, Paraguay; Dr N A Carrington, Roche Diagnostics, Indianapolis, USA; Dr M Dreher, mdc medical device certification GmbH, Stuttgart, Germany; Dr I Fijalkowska, U.S. Food and Drug Administration, Silver Spring, Maryland, USA; Ms J Goss, Sysmex Partec GmbH, Goerlitz, Germany; Dr C Hill, Encinitas, USA; Dr L Kestens, Institute of Tropical Medicine, Antwerp, Belgium; Dr M Kondratovich, U.S. Food and Drug Administration, Silver Spring, Maryland, USA; Dr M Leportier, Beckman Coulter, Marseille, France; Ms K Máté, European Diagnostic Manufacturers Association, Brussels, Belgium; Mr F Nyberg, Asia Pacific Medical Technology Association, Singapore; Dr S Ortigoza, Ministerio de Salud Publica y Bienstar Social, Asunción, Paraguay; Dr G P Payne,
BD Diagnostics Point of Care, San Diego, USA; Mr J Pierson-Perry, Siemens Healthcare Diagnostics, Erlangen, Germany; Ms L Seixas, ALADDIV, Brasília, Brazil; Dr W-W Tsai, Asian Harmonisation Working Party TC WG2, Hong Kong; Ms P-W Tu, sian Harmonisation Working Party TC WG2, Hong Kong; Dr N T Wetherall, DAIDS/NIAID, Bethesda, Maryland, USA; and Dr L Xu, Theranos, Inc., Palo Alto, USA.

The second round of public comments was then incorporated into the document. A revised draft was published on the WHO Biologicals website for a final round of public consultation between 18 June and 18 September 2017. The comments received were incorporated to produce the document WHO/BS/2017.2304. The document was adopted by the WHO Expert Committee on Biological Standardization as a written standard on 20 October 2017.
# 1 Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASTM</td>
<td>ASTM International</td>
</tr>
<tr>
<td>CE</td>
<td>Conformité Européenne (European Conformity)</td>
</tr>
<tr>
<td>CLSI</td>
<td>Clinical and Laboratory Standards Institute</td>
</tr>
<tr>
<td>EIA</td>
<td>enzyme-linked immunoassay</td>
</tr>
<tr>
<td>FDA</td>
<td>The US Food and Drug Administration</td>
</tr>
<tr>
<td>HBsAg</td>
<td>hepatitis B surface antigen</td>
</tr>
<tr>
<td>HBV, HCV</td>
<td>hepatitis B or C virus</td>
</tr>
<tr>
<td>ICH</td>
<td>International Conference on Harmonization of Technical Requirements for Registration of Pharmaceuticals for Human Use</td>
</tr>
<tr>
<td>IFU</td>
<td>instructions for use</td>
</tr>
<tr>
<td>IgG, IgM</td>
<td>immunoglobulin G, immunoglobulin M</td>
</tr>
<tr>
<td>ISO</td>
<td>International Organization for Standardization</td>
</tr>
<tr>
<td>IVD</td>
<td>in vitro diagnostic medical device</td>
</tr>
<tr>
<td>NIBSC</td>
<td>National Institute for Biological Standards and Control, United Kingdom</td>
</tr>
<tr>
<td>NS3 NS4</td>
<td>HCV proteins</td>
</tr>
<tr>
<td>NS5</td>
<td></td>
</tr>
<tr>
<td>OD</td>
<td>optical density</td>
</tr>
<tr>
<td>PEI</td>
<td>Paul Ehrlich Institut, Germany</td>
</tr>
<tr>
<td>QA</td>
<td>quality assurance</td>
</tr>
<tr>
<td>QC</td>
<td>quality control</td>
</tr>
<tr>
<td>QMS</td>
<td>quality management system</td>
</tr>
<tr>
<td>RDT</td>
<td>rapid diagnostic test</td>
</tr>
<tr>
<td>RPM</td>
<td>revolutions per minute</td>
</tr>
<tr>
<td>R&amp;D</td>
<td>research and development</td>
</tr>
<tr>
<td>SOP</td>
<td>standard operating procedure</td>
</tr>
<tr>
<td>TP</td>
<td><em>Treponema pallidum</em></td>
</tr>
<tr>
<td>USP</td>
<td>United States Pharmacopeia</td>
</tr>
</tbody>
</table>
2 Definitions

The definitions given below apply to the terms used in this document. They may have different meaning(s) in other contexts. Common English dictionary definitions apply to non-defined concepts, such as device, constituent, equipment, evaluation, product, part, reaction, signal, substance.

**Accelerated stability evaluation:** Study designed to increase the rate of chemical and/or physical degradation, or change, of an IVD reagent by using stress environmental conditions to predict shelf life.

Note 1: The design of an accelerated stability evaluation can include extreme conditions of temperature, humidity, light or vibration. *(11)*

**Acceptance criteria:** A defined set of conditions that must be met to establish the performance of a system. *(232)*

Numerical limits, ranges or other suitable measures for acceptance of the results of analytical procedures. *(23)*

**Accuracy of measurement:** Closeness of the agreement between the result of a measurement and a true value of the measurand.

Note 1: Accuracy of measurement is related to both trueness of measurement and precision of measurement.

Note 2: Accuracy cannot be given a numerical value in terms of the measurand, only descriptions such as 'sufficient' or 'insufficient' for a stated purpose. *(4)*

**Arrhenius plot:** Mathematical function that describes the approximate relationship between the rate constant of a chemical reaction and the temperature and energy of activation. *(2)*

**Batch/Lot:** Defined amount of material that is uniform in its properties and has been produced in one process or series of processes.

Note: The material can be either starting material, intermediate material or finished product. *(5)*

**Biocidal products:** Active substances and preparations containing one or more active substances, put up in the form in which they are supplied to the user, intended to destroy, deter, render harmless, prevent the action of, or otherwise exert a controlling effect on any harmful organism by chemical or biological means. *(6)*

**Characteristic:** Distinguishing feature

Note 1: A characteristic can be inherent or assigned.

Note 2: A characteristic can be qualitative or quantitative.

Note 3: Characterisation: a description of the distinctive nature or features of something. *(7)*

**Component:** Part of a finished, packaged and labelled IVD medical device. *(5)*
Note 1: Typical kit components include antibody solutions, buffer solutions, calibrators and/or control materials. (5)

Constituent: For the purpose of this document, constituent refers to raw materials used to make a component.

Control material: Substance, material or article intended by its manufacturer to be used to verify the performance characteristics of an IVD medical device. (5) (8)

Design input: The physical and performance requirements of an IVD that are used as a basis for IVD design. (9)

Drift: Characteristic slow change of a metrological value from a measuring instrument. (10)

Environmental factors: Variables that might affect the performance or efficacy of IVD reagents e.g. temperature, airflow, humidity, light. (2)

WHO note: For WHO purposes, this also includes altitude and micro-organisms.

Evidence: Information which can be proved true, based on facts obtained through observation, measurement, test or other means. Modified from (77)

Independent lots: For the purpose of this document, independent lots are lots with different production (or manufacturing, purification, etc.) runs of critical reagents (e.g. biological reagents prepared in different syntheses, growths or purifications; other risk-defined critical reagents from different.

Instructions for Use (IFU): Information supplied by the manufacturer to enable the safe and proper use of an IVD.

WHO note: In order to avoid confusion, please note that, in the USA, the acronym IFU also stands for Indications for Use, and the acronym IU stands for Intended Use or Indications For Use. (The acronym PI is often used in the USA to indicate the package insert, which may contain instructions for use.) The International Organization for Standardization (ISO) definition and requirements (5) for IFU cover the intended use and the precise method of use and is the definition used by WHO and throughout this document (series).

In-use stability: Duration of time over which the performance of an IVD reagent within its expiration date remains within specified limits, after opening the container system supplied by the manufacturer and put into use under standard operation conditions (e.g. storage on the instrument).

WHO note: For the purpose of this guidance, WHO considers that it includes the number of times the reagents can be removed, used, and returned to the storage condition without impact on test kit performance. It must reflect the routine conditions of use e.g. on-board stability, reconstitution, and open-vial/bottle stability. A single product may have several different types of in-use stability claim, each reflecting different aspects of its usage. For example, an IVD reagent may have one in-use
stability claim for unopened storage on-board its associated instrument system and another stability claim once it is opened and put into active use. Another type of in-use life is the calibration interval of an IVD reagent. (2)

**In vitro diagnostic (IVD) medical device:** A medical device, whether used alone or in combination, intended by the manufacturer for the in vitro examination of specimens derived from the human body solely or principally to provide information for diagnostic, monitoring or compatibility purposes.

Note 1: IVD medical devices include reagents, calibrators, control materials, specimen receptacles, software, and related instruments or apparatus or other articles and are used, for example, for the following test purposes: diagnosis, aid to diagnosis, screening, monitoring, predisposition, prognosis, prediction, determination of physiological status.

Note 2: In some jurisdictions, certain IVDs may be covered by other regulations. (11)

**IVD reagent:** Chemical, biological or immunological components, solutions, or preparations intended by the manufacturer to be used as an IVD. (5)

*WHO note:* This document uses the terms IVD and IVD reagent interchangeably.

**Life cycle:** All phases in the life of a medical device, from the initial conception to final decommissioning and disposal. (12)

**Metrological traceability:** Property of the result of a measurement or the value of a standard whereby it can be related to stated references, usually national or international standards, through an unbroken chain of comparisons, all having stated uncertainties.

Note 1: Each comparison is affected by a (reference) measurement procedure defined in a calibration transfer protocol. (4)

**Performance claim:** Specification of a performance characteristic of an IVD as documented in the information supplied by the manufacturer.

Note 1: This can be based upon prospective performance studies, available performance data or studies published in the scientific literature. (5)

*WHO note:* “Information supplied by the manufacturer” includes but is not limited to: statements in the IFU, in the dossier supplied to WHO and/or other regulatory authorities, in advertising, on the internet.

*Referred to simply as “claim” or “claimed” in this document.*

**Precision:** The closeness of agreement between independent test results obtained under stipulated conditions. (4)
**Real-time stability evaluation:** Study designed to establish or verify the shelf life of the IVD reagent when exposed to the conditions specified by the manufacturer.

Note 1: Conditions that can affect stability of an IVD reagent include temperature, transport conditions, vibration, light, humidity. (1)

**Risk management:** The systematic application of management policies, procedures and practices to the tasks of analysing, evaluating, controlling and monitoring risk. (12)

**Risk management plan:** For the particular IVD being considered, the manufacturer shall establish and document a risk management plan in accordance with the risk management process. (12)

**Shelf life:** Period of time until the expiry date, during which an IVD reagent, in its original packaging, maintains its stability under the storage conditions specified by the manufacturer.

Note 1: Stability and expiry date are related concepts. (5)

**WHO note:** In this document “Labelled life” is considered to be the time up to the expiry date printed on the label of an IVD or a component of the IVD.

**Stability:** Ability of an IVD reagent to maintain its performance characteristics within the limits specified by the manufacturer

Note 1: Stability applies to
- IVD reagents, calibrators, and controls, when stored, transported and used in the conditions specified by the manufacturer
- reconstituted lyophilised materials, working solutions, and materials removed from sealed containers, when prepared, used and stored according to the manufacturer’s instructions for use
- measuring instrument or measuring systems after calibration.

Note 2: Stability of an IVD reagent or measuring system is normally quantified with respect to time:
- in terms of the duration of a time interval over which a metrological property changes by a stated amount,
- in terms of the change of a property over a stated time interval.

**WHO note:** because definition restricts IVD reagent only. Refer to (1) definition 3.10

**Stability monitoring:** Real-time stability testing at certain points in time during shelf life (or in-use) to assure that an IVD reagent performs within specified claims. (2)

Note: A continuing stability monitoring programme (ongoing stability monitoring) is required to verify that the stability claim is maintained over the life cycle of the product. Data on stability must be obtained at end of shelf life (Refer to (1) Section 4.1) and ideally at the halfway point of assigned shelf life, so that if problems occur they can be dealt with in a timely fashion.
Trueness of measurement: Closeness of agreement between the average values obtained from a large series of results of measurements and a true value. (4)

Validation: Confirmation by examination and provision of objective evidence that the requirements for a specific intended use or application have been fulfilled. (7)

Verification: Confirmation by examination and provision of objective evidence that a specified requirements have been fulfilled. (7), (13)
3 Introduction

3.1 Key concepts
Stability is the ability of an in vitro diagnostic medical device (IVD) reagent to maintain its performance characteristics over a defined time interval (12). The purpose of most stability studies is to establish or verify the time interval and the storage conditions that can maintain stable performance characteristics of an IVD.

3.2 Rationale of stability studies
The stability of an IVD is fundamental to its reliable performance over a defined period of time. It is a regulatory requirement for the manufacturer to provide objective, scientifically sound evidence to support all claims made regarding the stability of an IVD. In addition, a manufacturer can use stability studies to demonstrate the probability that lots manufactured up to the end of the life cycle of the IVD will meet predetermined user needs (as identified in design inputs).

3.3 Purpose of this document
The purpose of this document is to provide IVD manufacturers with guidance on possible approaches to determine stability. It also describes the expectations of WHO prequalification in terms of stability studies.

3.4 Standards
WHO recommends the following standards for use in establishing stability claims: International Organization for Standardization (ISO) 23640:2013 (1), Clinical and Laboratory Standards Institute (CLSI) EP25-A (2) and ASTM International D4169-14 (14). It is recommended that manufacturers be familiar with these standards and consider them when designing and planning their stability studies. For other relevant standards, see TGS 1: Standards applicable to the WHO Prequalification of in vitro diagnostic medical devices1.

3.5 Limitations of this guidance
This guidance document should not be taken as a prescriptive checklist of the stability testing that must be performed, but as a guide on how to improve processes and generate the evidence needed to ensure a comprehensive, systematic procedure with an appropriate risk management plan.

Depending on the particular categorization of the product and on the particular jurisdiction, additional regulatory and/or legal requirements, beyond the scope of this document, may apply.

---

1 Available at: http://www.who.int/diagnostics_laboratory/guidance/en/
The examples included throughout the document are not exhaustive and apply to the principles outlined in this document only. Manufacturers must still perform their own product-specific risk assessment for each of their IVDs, which may identify other critical characteristics (for example physical measurements).

4 Considerations when applying for WHO prequalification

WHO requires that reports of studies used in establishing the stability claims for the product be submitted as part of the prequalification application\(^2\). As part of the WHO prequalification assessment, manufacturers must describe the rationale, the study methods, the stability monitoring programme followed and the testing algorithms used, with references to the relevant standard operating procedures (SOP). The information provided must demonstrate the link to the predetermined user requirements and product development.

The expectations of WHO prequalification may be different from the requirements of the users and from the regulatory authority in the country of manufacture. In addition, the expectations expressed in this guidance may additional to the requirements of ISO 23640 (1) and the expectations of Clinical and Laboratory Standards Institute (CLSI) EP25A (2). Wherever possible this guidance attempts to explain the reasons for these additional expectations. Other approaches to meeting these additional expectations, supported by rigorous risk assessment or other evidence, may also be provided in dossiers submitted for WHO prequalification.

4.1 Manufacturer responsibility

It is a manufacturer’s responsibility to ensure that the evidence supporting performance claims regarding the end of the IVD shelf life is objective and scientifically rigorous.

4.2 Suitability for use in Member States

The stability studies submitted to WHO Prequalification shall accurately reflect the expected environmental conditions and the normal usage conditions/methods encountered by the users in WHO Member States, such as:

- Extremes of temperature for in-use conditions and during transportation

\(^2\) WHO documents PQDx_049 “Product dossier checklist” and PQDx_018 “Instructions for compilation of a product dossier” are available on the WHO Prequalification – Diagnostic assessment website http://www.who.int/diagnostics_laboratory/evaluations/en/
- Extremes of humidity encountered during in-use conditions, transportation and storage
- Any affects that light may have on the IVD functionality, especially on the length of time for which a result is claimed to be stable
- Micro-organisms.

4.3 Meeting customer requirements

By undertaking well-designed stability studies including periodic verification activities, the manufacturer can demonstrate that the product meets input requirements (i.e. customer requirements), as required by ISO 13485 (see (15) under 7.2, Customer-related processes). Meeting predetermined user expectations, not merely evaluating the capability of an IVD, is a fundamental aspect of development of IVDs (see (9) definition (f) and (15) Section 7.3.4). It is a proactive means for the manufacturer to prevent quality problems at lot release and in the post-production and marketing phase.

5 Basic principles for stability testing

5.1 Critical characteristics or metrics of the IVD

A well-designed stability study must generate evidence of stability of each of the critical constituents in the IVD (risk-evaluated critical constituents), stability for each of the claimed analytes, and for any particular level of performance including precision, sensitivity and specificity of the kit. A documented risk-based approach should be taken to determine which claims and constituents must be evaluated over the stated shelf life.

Examples:

1) A hepatitis C virus (HCV) assay containing the critical constituents related to detection of NS3 or core proteins must have the stability of all such constituents proven for the shelf life of the IVD.

2) For an assay designed to detect both immunoglobulin G (IgG) and immunoglobulin M (IgM) by use of protein A and protein L, the stability of both protein A and protein L must be proven in the IVD.

3) For an IVD to quantitate CD4 all the constituent antibodies used (e.g. anti-CD3 and anti-CD4) must be shown to be stable in the IVD.

4) For an IVD claimed to detect particular seroconversion specimens, or genotypes, or to have specified precision at particular analyte concentrations, or a particular specificity, each of these claims at risk or that change over time must be proven over the stated shelf life. (See TGS-4 Guidance on Test method validation for in vitro diagnostic medical devices (16).

Other critical characteristics (also called critical metrics) identified in the risk assessments may include physical measurement (e.g. volume, pH, flow rate, legibility and adhesion). These characteristics must be shown to meet
their specifications for the shelf life of the IVD but are outside the scope of this document.

5.2 Finalized product presentation

During stability testing, all IVD components (including the IVD, calibrator and/or control material, etc.) must be made and tested to the finalized manufacturing specifications and in the finalized packaging, including intended labels and containers (see Section 10.4). In most circumstances, all presentations (e.g. different buffer volumes used for different kit sizes) must be used during stability testing. Where some presentations are not tested, the manufacturer should document the rationale, justifying why all presentations have not been tested.

5.3 Environmental conditions

The stability study must subject the IVD to a combination of conditions that define, with predetermined confidence limits, the stability for lots marketed during the life cycle of the IVD. The combination of conditions, durations of exposure and the number of lots to be used will be driven by a manufacturer’s risk assessment for the IVD and data from research and development (R&D). The risk assessment should take into account the following minimum conditions:

- The variability of the constituent materials (identifying the most important sources of variation);
- An understanding of the nature of the users’ environments; and
- The extremes of conditions (temperature, humidity, ambient pressure, vibration) potentially occurring during transportation to those users (see also Section 4.2).

Boundary conditions for stability studies must reflect realistic extreme conditions that are consistent with the design input requirements for the IVD. The consequent stability studies will prove the IVD capable of meeting performance requirements at the end of its stated shelf life, after transport to the users.

5.4 Minimum number of lots

The design of stability studies must take into consideration lot-to-lot variability, with a risk assessment to identify the most important sources of variability. The degree of variation of individual lots affects the confidence that a future production lot will remain within specification throughout its shelf life. Lot variability is most often caused by minor differences in the biological reagents rather than by lack of reproducibility of the manufacturing process. Although existing standards (1, 2) recommend the use of a single lot for certain stability studies, the impact of lot-to-lot variability must be taken into consideration and use of additional lots may be necessary. Three lots, at a minimum, must be used to establish or verify shelf life; in-use claims require testing on a minimum of one lot. To ensure
the potential for lot-to-lot variability is addressed, independent lots, that is lots containing different batches of critical constituents such as nitrocellulose membranes, recombinant antigens, peptides, nucleic acids and the enzymes used in nucleic acid-based testing technology (NAT), must be used.

Example: For nucleic acid based technologies (NAT), it is critical to use independent lots of enzyme for stability studies, as the manufacturing process can affect them. Other components (including primer, probe and buffer) can also be affected by the manufacturing process (for example: purity, pH, DNase & RNase contamination, etc.). Thus for other components, independent lots that represent both material and process variability are also recommended.

5.5 Assessment of liquid components

The orientation of the product during storage i.e. upright versus inverted or horizontal, may need to be included in a protocol where contact of the product with the different parts of the container (such as the closure system, body of the container) may be expected to affect the stability of the products contained (e.g. liquid component). This is sometimes referred to as “inverted container stability”. The product orientation may be required to be moved occasionally during the stability study so as to be sure that there is direct contact between the liquid contents and all parts of the container. This aspect needs particular attention for in-use stability studies of those components that are diluted or reconstituted from a freeze-dried state before use.

5.6 Specimens for the stability testing panel\(^3\)

The specimens used in the stability testing panel(s) must reflect the performance claims related to the IVD. The specimen types most likely to be used in WHO Member States where the IVD is intended to be used must be considered and, as appropriate, included in the specimen panels used throughout the stability studies (see Appendix 2). If a variety of specimen types (e.g. serum, plasma, whole blood, saliva) are claimed as being suitable for use in the instructions for use (IFU), the stability plan must be designed to provide evidence that the IVD will meet its claims (e.g. sensitivity, specificity, proportion of valid runs, precision) for each of the specimen types for the whole of the claimed shelf life, including transport to the final users, unless an alternative approach can be justified using a documented rationale. Evidence must be statistically valid (see Section 11). Regulatory requirements may also dictate the addition of specified panel members.

---

\(^3\) A panel is a collection of well characterised specimens and other materials that are used to monitor aspects of IVD and component function during stability studies, for in-process control, for some aspects of design validation and at release to sale. The same materials might be used for each of these purposes but be assigned different acceptance criteria for the different functions.
5.7 Validation of stability testing panel

The stability testing panel(s) must be validated and rejection and replacement criteria must be established. The validation of the panel members used is critical. The panel members themselves must be stable, and they must monitor parameters that are useful to control the characteristic being tested.

Storage of a validated panel for testing stability is not always feasible. For example, this is often the case for assays requiring fresh and/or whole blood specimens e.g. counting CD4 cells. When replacing panel members, particularly for CD4 monitoring, the accuracy of results generated with the replacement material must be confirmed using an appropriate reference method (for example an instrument validated for use in an ISO 15189 (17) accredited laboratory). Replacement criteria for unstable panel members must include the duration for which a critical member will give valid results.

5.8 Selection and value assignment criteria to panel member

Panel members are chosen deliberately to ensure each member has an attribute relevant to the intended use. The goal of stability testing is to ensure that the test method appropriately monitors the functionality at the end of the assigned (shelf/in-use) life of the antigens, epitopes, and antibodies and any physical specifications that are relevant to the intended use.

For instance, an intended use claim may be that early seroconversion specimens are detected. To show that this claim is true at the end of the product’s shelf life, a panel member representative of a very early seroconversion specimen could be included in the stability panel. This specimen might be a weakly reactive IgM specimen, or some other specimen that has been shown to closely mimic the behaviour of the IVD with the critical specimens. Rare and valuable specimens would not be expected to be tested at all time points of stability studies. However, evidence must be provided that key performance claims made in the IFU, published material (including advertising) and dossiers submitted to WHO prequalification, are met at the end of the assigned shelf life and in-use life.

Each panel member is assigned an expected value and this is used to assign the acceptance criteria for that panel member. The expected value for each panel member is assigned in a measurable manner that is relevant to the outputs of the particular methodology. For instance, the acceptance criteria for each panel member may be assigned in terms of sample-to-cut-off ratio, cycle time (CT) values, or band intensity measured semi-quantitatively/quantitatively.

In the example of a weakly reactive IgM seroconversion specimen, the specimen at the start of shelf life may have a reading on a rapid diagnostic test (RDT) of 1+ out of 4, assigned as its expected value using a semi-quantitative value based on band intensity. The acceptance criteria assigned
as a result may be that: all reactive specimens remain reactive, and all non-reactive specimens do not react in the assay.

Panel members must be chosen so that they will not only be relevant to demonstrate the intended use, but also that they have values that will appropriately detect and therefore monitor any deleterious effects of storage. A strong positive specimen that has a 4+ out of 4 semi-quantitative reading may continue to give this reading despite decay in the assay, whereas a specimen with a reading of 1+ out of 4 (with an assigned acceptance criteria of ‘remaining positive’) is more likely to give an indication of the ongoing stability of the assay.

Thus it is essential to know (and document) that where a panel member meets the acceptance criteria, this is a true reflection of the stability of the product and not due to the inability of the specimen result output to reflect any change in the IVD.

5.9 Time points

A simple study design requires a minimum of three testing intervals (2):

i. an initial baseline test
ii. a test at the time point beyond the claimed stability limit (see 5.9.1 below)
iii. one point in between.

This simple study design is acceptable for submission to WHO prequalification under some circumstances and for some IVDs based on:

- the manufacturer’s risk analysis;
- when the manufacturer has prior objective, documented experience of the stability of the product; and
- when the statistical confidence in the result is sufficiently great for all lots tested.

The benefits of a simple study design are that a reduced number of testing intervals and resources are required. However, such a simple design represents a high risk approach that has the potential for wasting time and resources if the IVD does not meet the acceptance criteria with an appropriate margin of statistical confidence at the end of testing. If the acceptance criteria would have been met at the intermediate time point, that might be acceptable as an assigned shelf-life.

A more effective and well established approach routinely used is to test at a number of additional, predetermined intermediate time point intervals (between i. and ii. above). Typically, testing is at relatively short intervals (every 10 or 14 days) for the first three months, and then at monthly intervals until at least one month beyond the design input-specified shelf-life. This protocol provides information about whether the IVD ages more rapidly in the period just after manufacture than later during the shelf life, and usually provides sufficient data to enable assignment of a confidence interval to the shelf-life.
The manufacturer could identify the most practical intermediate test points from risk evaluation of a specific IVD and include them in the stability plan/protocol. This planning will also help manufacturers understand the resources required to execute the experiment.

Testing of all panel members is not expected at each of the test/time points. However, testing with all stability testing panel members is expected at the initial, the second to last and the last test/time point for all of the study types. The manufacturer should consider and document the rationale for the selection of intermediate test points, and choose panel members to be tested at these intermediate test points (e.g. representative members, specimens that are close to the medical decision points and those at the extremes of the assay range tested.)

5.9.1 Duration of testing
Testing conducted in stability studies should extend beyond the shelf life determined from user needs. At a minimum, testing should extend at least one time point (one testing interval) beyond the predetermined user requirement to provide a margin for uncertainty. The length of the time periods chosen will depend on risk assessment, but should provide a safeguard in the event of unexpected IVD failure during the testing period, where extrapolation from an earlier time point would not be considered acceptable.

It is recommended that the standard relevant units of measurement are used for the entire study (e.g. unopened kit shelf life is normally measured in months; opened IVD/reagent stability in days or weeks, allowed reading times for enzyme immunoassay (EIA) and RDT in minutes or hours after performing the assay).

5.10 “Zero time” values and variance
The value of each measured characteristic at the beginning of the stability study and its variability over the study are important pieces of information. They should be measured independently for each lot of material in the stability study. Analysis of the data will indicate if a statistically significant change has occurred to any measured parameter from any lot during the course of the study. A statistically significant change may not be of practical significance. Relevant practical limits will have been predetermined in IVD or process development. However, all statistically significant changes must be thoroughly evaluated to decide whether they represent some important change that would be otherwise undetected.

Zero time values could be obtained by evaluating each measured characteristic for each lot on five or more occasions to establish the value and its variance with freshly made materials. A definition of occasion, following appropriate consideration, could be specified as for example involving a different day, a different operator and a different set of equipment in order to investigate potential sources of analytical variation.
Later in the study, apparent differences in the characteristics’ values can be detected reliably, relative to the “zero time” value.

6 Shelf life studies

6.1 Requirements for determination of shelf life

The stated shelf life of an IVD must normally be based on real-time experimental results. Accelerated stability studies are usually not sufficient to support a claimed shelf life, although they may be used in situations where experience already exists with similar products (see Section 4.1 in Reference (1)) or when the stability of very similar products is already known (see Section 7.3.1 in Reference (2)).

Note: If at the time of dossier submission for WHO prequalification, the real-time study outcome is not available, accelerated studies might be considered. The manufacturer must justify why the accelerated study is acceptable as supportive evidence until real-time experimental results become available. In these cases, the results of real-time stability studies will be requested as a condition of WHO Prequalification. The shelf life of the IVD could be extended on WHO review of real-time data.

6.1.1 Real-time stability studies

Real-time stability is determined using storage temperatures derived from user requirements, over a period longer than the required life of the IVD.

Where a broad range of storage temperature is claimed (e.g. “Store at 4 — 40 °C”), WHO expects the studies will provide evidence for stability over the whole of the temperature range for at least the length of the claimed shelf life. However, where claimed stability is restricted to a limited range (e.g. “Store at 2 — 8 °C”), it is acceptable that stability studies are conducted at a single temperature within this range.

It is recommended that a sequential approach be used (2), in which IVDs are first submitted to stresses simulating transport before they are placed into a shelf life or in-use study. This approach best simulates the real-life situation, where products will first be transported to the end user and then stored under the recommended conditions before use, possibly almost until the end of their labelled shelf life.

It may be routine practice to store IVDs for an extended period after manufacture before shipping. In this case the IVDs would be kept first for a defined period of time under recommended storage conditions, then taken through the transport stress condition sequences, and finally put back into the recommended storage conditions for the duration of the study (2).

6.1.2 Accelerated stability studies

Accelerated stability studies are designed to predict the shelf life of an IVD using increased rates of chemical and/or physical degradation caused by
extreme environmental conditions (e.g. elevated temperature at higher humidity).

Accelerated stability studies provide results in a relatively short time. However the results of these studies are made using assumptions about the degradation of reagents and IVD components that may not reflect their observed performance under actual conditions of storage and use.

If the Arrhenius equation is used to calculate the expected life at temperatures other than those actually used, then the parameters of the equation must be derived from the experimental data and not assumed(2). Manufacturers must ensure that there is sufficient data (e.g. different temperatures, test intervals) to allow for reliable extrapolation.

7  **Component stability studies**

7.1  **General principles**

7.1.1  **Testing on final specifications**

Component stability studies, including antimicrobial and desiccant studies, must be performed using components made according to finalized and approved manufacturing specifications (ideally to validated manufacturing scale) on qualified manufacturing equipment and meeting finalized and approved in-process quality control (QC) specifications.

7.1.2  **Considering component stability**

Sometimes components of IVDs are prepared in bulk and stored before being used in several different lots of a completed IVD. The design input documentation should define how long components are likely to be stored before use. With that information, component stability studies should be planned to give evidence that component shelf lives will not restrict IVD shelf life, since an IVD cannot have a shelf life beyond that of any of its dependent components.

Shelf lives of components manufactured in bulk and used in several different lots of an IVD can be verified using three lots of the component as a minimum for shelf life studies and, depending on documented risk assessment related to variability, one or more lots subsequent to changes made to the component. It is possible there will be two shelf lives to evaluate: that of the bulk material stored prior to transferring to the final packaging and that of the component in its final packaging. The final contents of the evaluated lots of the component must differ in batches of critical constituents (independent lots) but, subject to documented risk assessment, may all be tested in their final presentation with a single set of the other components that will be used together to constitute the IVD.

*Examples of stored components:* Wash solutions and substrates for EIA, amplification reagents for NAT, calibrators for quantitative tests,
manufactured and stored in their final labelled vials ready to be put into a kit.

Component stability can be assessed from the functionality of the lot and also by factors related to the component that might change over time, such as turbidity, colour change, microbial contamination and the pH of liquid components. Depending on the IVD and the conditions it is subjected to, it may be necessary to distinguish between turbidity that arises from heat/cold denaturation and turbidity that arises from microbial contamination.

7.1.3 Considering constituent stability

The stability plan should consider whether components made from freshly made constituents (antigens, recombinant antigens, enzymes, antibodies, membranes) will have the same shelf lives as components made from stored raw materials. Evidence should be provided to support the use of stored constituents and detailing the lot-to-lot variability of the critical constituents.

The stability plan should also consider the choice of the reagents or methods to ensure that the most appropriate are used to measure the performance of the component being studied (whether that be made from freshly made constituents, or constituents with an already proven shelf life).

**Examples of stored constituents:** Purified recombinant antigens and monoclonal antibodies stored in aliquots ready for use.

7.2 Stability of control materials

Assay specific control materials provided by the manufacturer are used to show that an IVD has performed as intended during use. These are often referred to as “run controls” and are provided with some IVDs, along with an IFU statement that if the control meets a criterion then the IVD will have functioned as expected. Control materials does not refer to controls such as international calibrators or those in external quality assurance (QA) programmes.

The manufacturer must be able to demonstrate that the loss of signal from control materials does not occur at a different rate from the loss of signal from a validated panel member or from genuine, critical specimens; otherwise a failing IVD might be regarded as still functional. Thus, the stability of control materials must accurately reflect the stability of the IVD. A control material that is apparently more stable than the IVD and other components, or the use of incorrectly assigned values for the control material, must be avoided (18).

**Example:** It is frequently seen in dossiers submitted for WHO prequalification that a positive run control will produce a signal of >2.0 optical density (OD) in a freshly manufactured lot, and the IFU will state that an OD > 0.8 for the same control qualifies a run. Thus the IVD may have lost more than half its activity and still appear functional, even though some critical specimens are shown in the dossier to have very weak signals on freshly made IVDs. This is not considered appropriate unless data can be provided that
demonstrate that the critical specimens will still be detected at the end of shelf life and with a control material signal of 0.8.

7.3 Biocidal stability and efficacy

7.3.1 Rationale

Bacterial and fungal organisms relevant to the environment of use must be identified in the design input risk assessment, and antimicrobial preservatives should be chosen, based on risk assessment, to prevent contamination of the product in storage and in-use. Antimicrobial preservative effectiveness must be demonstrated throughout the shelf life of the IVD.

If a new or modified preservative (e.g. a different concentration) is used as a result of further information about conditions of intended use, the manufacturer must obtain evidence that the new antimicrobial preservative or concentration chosen does not negatively affect stability of the IVD.

7.3.2 Study conditions

The studies should reflect expected in-use conditions in opened containers: the stability of the IVD in the user-environment as intended by the manufacturer must be proven. On-board stability must be tested for an IVD used with an instrument.

See Reference (19), Sections <51>,<61> and <62>; and Reference (20), Appendix XI for suggested study methods. Examples of bacterial groups to consider are spore-forming bacteria, fungi, indigenous bacteria, bacteria found in the environment of the country of manufacture and those found in the countries of intended use. Specific examples outlined in References (19) and (20) include Aspergillus niger, Bacillus subtilis, Candida albicans, Escherichia coli, Salmonella species, Pseudomonas aeruginosa, Clostridium sporogenes and Staphylococcus aureus.

7.4 Desiccant functionality

Desiccants affect the stability of the entire IVD. Stability studies must show that the desiccant will support the product over the whole claimed shelf life within the predetermined extremes of transport, storage and in-use conditions.

Note: For WHO prequalification purposes

1) It is recommended that a self-indicator (a humidity indicator that changes colour upon saturation) be part of the desiccant design. However, WHO strongly recommends against the use of cobalt dichloride, the most commonly used humidity indicator, as it is a carcinogenic substance.

2) Sachets are preferred to tablets, since labelling “Do not eat” is more visible. There have been reports of desiccants in a tablet formulation being mistaken for antimalarial medicine.
8 Stability during transport

8.1 Rationale

Transport stability studies evaluate the tolerance of an IVD to the kinds of environmental conditions (e.g. temperature, humidity) and physical conditions (inversion, vibration, physical handling, stacking) to which it is likely to be subjected during and after shipping from the manufacturer to the final user. The studies should provide evidence that there will be no impact on the IVD performance over the whole of its stated shelf life after transportation of the IVD by the recommended methods.

The manufacturer should assess the potential impact of multiple factors and justify and document whether or not to include them in the evaluation. Final transport conditions recommended by the manufacturer should reflect (and the stability plan document) the assessment of the conditions expected to be encountered in the areas of use. The manufacturer should address any issues that arise as result of transportation studies (for example failing the stressed conditions), and address these limitations in the manufacturer documentation (e.g. shipping documents, IFU if applicable).

WHO expects that a transportation challenge would precede the real-time determination of shelf life and in-use studies. This serves to determine that transportation conditions do not reduce the shelf life of the IVD (see Section 6.1.1).

In some cases it may be acceptable that the product undergoes transportation stability studies without a subsequent long-term real-time stability study. In this case, shelf life must be established under specified storage conditions along with a stringent, evidence-based risk assessment of the probabilities of extreme transport stress affecting the performance at the end of the claimed life (see Section 4.2.3 in Reference (2)).

8.2 Challenge conditions

Determination of the stability during transportation of an IVD should take into consideration the local routes, transport means and transit used to supply the IVD, usually defined in the design input risk assessment. It is not necessary to test the IVD to the point where it is no longer usable, but merely to validate the window of transport conditions within which the IVD will retain its claimed performance to the end of its stated shelf life. However, knowledge of the possible limitations of an IVD and at what point the IVD becomes unusable is useful to a manufacturer when trouble shooting post-market problems. WHO expects the manufacturer to consider that the product might continue to be subjected to suboptimal storage conditions by the end user.

Example: A static challenge of 45°C for 3 days may represent conditions seen during actual transport of an IVD, however, a more stringent challenge of cyclical high and low temperatures (including freezing) for a longer period of time and followed or preceded by vibration
might better cover a ‘worst case scenario’ of shipment, storage and subsequent transportation to the end user.

8.3 Number of lots

Where transport stability studies are incorporated into studies to establish shelf life, as recommended in this guidance, a minimum of three lots of the IVD must be used. For transport studies alone, a minimum of one lot of the IVD may be used, however, as with shelf life studies, more lots may be required depending on lot-to-lot variability (see Section 10.1).

8.4 Simulated versus actual challenge

An actual shipping challenge can be used to verify the conditions found in the simulated transportation challenges. However it may only replace a simulated shipping challenge where there is an appropriate risk evaluation and where experience and data have been actively collected from similar products and documented in detail (for example it is not sufficient to note “no complaints”).

In the R&D phase, actual data from shipping can be used to define the conditions needed for an appropriate simulation of extremes. However, in the post-production phase, actual shipping challenges often do not explore the full range of shipping conditions that could be encountered, including extreme values.

8.5 Multiple stress test sequences (Simulated transport challenges)

Proof of performance after actual shipment is generally not sufficient evidence of stability under all conditions and with the hazards of delays. Multiple stress test sequences are typically needed to address the range of transport conditions used for global product delivery. Relevant guidance (14) recommends evaluation of some extreme conditions.

Appropriate stress test sequences may be developed on the basis of data from actual product transport studies. Testing multiple stress sequences allows a manufacturer to identify the most cost- and/or resource-effective transport conditions from a set of alternatives while ensuring adequate product stability protection (Reference (2) Section 4.2.3).

Note: For WHO prequalification, environmental conditions investigated as part of a stability study must reflect those likely to be encountered in resource-limited Member States. For example, temperatures at some airport tarmacs in Sub-Saharan Africa can exceed 40°C, while temperatures encountered during air transport fall below 0°C. Significant delays can be encountered at any time and especially during wet season transport to remote health centres.

See Appendix 1 for an example of a protocol for simulated transport challenges.
8.6 Physical conditions

Physical handling can be both manual and mechanical. The relevant user and commercial factors should be identified as part of the design input risk assessment and the packaging and shipping methods developed accordingly. Reference (14) defines a number of factors to be considered, and their evaluation: drop, impact, compression, vibration, repetitive shock, longitudinal shock, cyclic exposure, vacuum, impact, inversion; along with the size, weight, and composition of the packaging. This should be regarded as part of stability testing.

9 In-use stability studies

9.1 Rationale

In-use stability of an IVD is the period of time over which components retain adequate performance, after transport to the users, once they are opened, reconstituted and/or diluted and exposed to the environmental conditions in which they will be used.

As far as possible, the study should be designed to simulate the use of the product in practice. If a range of conditions for use is stated in the IFU (e.g. “use at 15—40°C”) evidence must be provided to prove the stability over that range with all the specimen types (e.g. serum, whole blood, oral fluid) claimed, unless a documented rationale is provided. It is considered best practice for the manufacturer to claim a stability range that includes an appropriate safety margin (e.g. test range 2—35°C, claimed 4—30°C) to ensure that the claimed stability range is acceptable. However, where claimed in-use stability is restricted to a limited range (e.g. “use at 35—37°C”) it is acceptable that in-use stability studies are conducted at a single temperature within this range, subject to evidence from documented robustness studies or risk assessments.

It is good practice to perform the in-use stability testing at both the start and end of the IVDs shelf life (or with components at the start and end of their shelf lives if any of the components have a longer shelf life than the complete IVD) and after simulated transport challenge (Section 8). This confirms that the IVD will have the claimed in-use life through its whole shelf life.

All studies should support precisely defined periods of in-use stability claims.

Example: An RDT test cassette may be labelled “Use immediately on opening”. However, it is still necessary to determine the interval (one hour, one day, etc.) over which the IVD performance remains stable after the component is opened.

9.2 Conditions of use

Determination of the in-use stability of an IVD and/or its components must reflect routine conditions of use of the IVD. Freeze-thaw stability should be
considered to address reagents that may be exposed to multiple freeze-thaw cycles during use.

*Note: For WHO prequalification, in-use stability studies should take into account environmental conditions and usage conditions encountered in Member States and by users, such as exposure to extreme temperature, humidity, light and micro-organisms.*

### 9.3 Multiple in-use stability claims

Depending on the way in which the IVD is used it may be necessary to have several in-use stability claims. In situations where multiple stability claims are made, a manufacturer must provide evidence from testing that investigates routine use supporting each of the claims.

*Examples:*

1) A reagent may have a stated period of stability once it has been placed on-board an instrument and another period of stability once it is in active use (i.e. during actual use/testing).

2) Multiple use reagents (e.g. buffers) may repeatedly be exposed to high temperatures during the day while in-use and exposed to lower temperatures when not in-use and stored in the refrigerator. The actual use of the multiple use reagent – squeezing of bottles, exposure of the lid and tip to working surfaces, hands, exposure to dust and light – may also affect stability. Stability studies and associated risk assessments should take into account all of these factors.

### 10 Production lots used in stability studies

#### 10.1 Considering variability

As noted in Section 12.3, planning for stability studies must take into consideration all possible sources of variation within and between manufactured lots. For most IVDs it is likely that differences between batches of the biological reagents will cause the most variation. Factors to consider include apparently minor, technically uncontrollable differences in culture and purification of recombinant antigens and antibodies; synthesis and purification of primers, probes and peptides; undocumented production changes of an outsourced buffer component and lot variability of nitrocellulose membrane used in lateral-flow IVDs.

At a minimum, lots chosen for stability studies must be independent lots, that is they must differ in the source lot of their critical constituents, e.g. different purification and/or culture batches for all recombinant antigens and monoclonal antibodies. If pilot or small scale lots are chosen, special attention must be paid to the potential for variability (see also Section 12.3). However, the sources of variation will depend on the particular process, product and component, and should be identified during product development risk analyses.
Use of different batches of critical components ensures that the stability evidence obtained is more likely to be representative of long-term manufacture. Any variability found can be taken into consideration when assessing the outcome of the studies against the design input requirements and when making claims. This minimizes user problems and hence complaints.

10.2 Testing the final configuration

Shelf life, in-use and transport stability must be determined for the finalized, approved product in terms of:

- manufacturing specifications
- release-to-market QA criteria
- packaging and labelling (see Section 10.4)
- validated manufacturing scale on qualified manufacturing equipment.

**Note:** For WHO prequalification, it is important that the stability studies has been conducted using the IVD intended to be prequalified, and not surrogates and/or closely-related products. Changes perceived as small (e.g. change in production scale, bulk container materials, supplier of a critical biological, change in vial stopper) can have unexpected effects on stability and other performance characteristics. After such changes, a new documented risk assessment and, if necessary, a stability plan and study, is needed. Manufacturers should have change control procedures in place compliant with ISO 13485 (15).

Stability studies undertaken in the R&D phase of the product life cycle provide important understanding of how to design the product so that it will meet the final stability requirements identified in the input documentation. However, these studies are usually not sufficient for submission to WHO prequalification assessment since they may not reflect the final design and manufacture of the IVD.

10.2.1 Exceptions

If any of the above criteria are not met (for example if “pilot lots” or small scale lots are used, or if the method of use described in the IFU is not finalized), strong evidence must be provided that the materials that were evaluated will perform exactly the same as the final commercial product.

**Note:** In some exceptional circumstances, where it is not possible to sample from actual production lots, samples from pre-production or development lots might be used. If this is the case, manufacturers should justify why production lots were not used, and provide robust evidence that the lots chosen are expected to behave identically to the production lots. Data concerning lot-to-lot variability must still be submitted. Although WHO will consider the available evidence on its merits, this preliminary information must be followed by stability claims conducted on fully qualified production lots.
10.3 Number of lots required for testing

Existing guidance (1, 2) recommends that three product lots at a minimum must be used to establish or verify shelf life; in-use claims require testing on a minimum of one lot. The actual minimum number of lots to be used must be determined by a stringent risk assessment based on evidence of variability obtained during R&D, (see Section 10.1). However, the minimum will never be less than three lots for shelf life verification.

**WHO note:** It is not acceptable to sample IVDs from a single production lot but label them so that they appear to have been taken from three separately manufactured production lots. This is true for all performance evaluation and regulatory submission purposes. WHO prequalification investigates batch records during on-site inspections. Non-compliance with this requirement may result in a critical non-conformity grading.

10.4 Components of lots required for testing

Existing guidance (1, 2) requires that stability work be performed using materials in their final packaging. Labelling is a significant factor of packaging and is known to present stability issues in some cases. For example, some label adhesives diffuse through some plastics, enter vials and affect the function of the reagents over time. Other label types lose adhesion over time, some printing inks fade. Physical stability of packaging requires the same degree of risk evaluation and subsequent experimental verification as chemical stability, with attention to the countries of intended use. This is most important for primary packaging but must also be considered for secondary packaging, particularly for transport stability studies.

If there is more than one configuration or version of the IVD (e.g. pack size differences, Conformité Européenne (CE) marked and non-CE marked), any potential effects on performance, including stability, must be assessed. In particular, if different reagent-container sizes are used in packs with different volumes of reagent (e.g. different volumes for single use and multiple use), stability evidence should be obtained on all variants, even if the contents of the containers are identical, unless stringent risk evaluation supported by physical or chemical evidence indicates otherwise.

Once component shelf lives are assigned, it is expected that both relatively fresh components and components which have progressed into their assigned shelf life will be used when selecting the different production lots for studies to establish the product shelf life (1 2)

11 Stability plan

Stability studies should be well-designed, scientifically sound, well implemented, well recorded and able to deliver meaningful conclusions about IVD performance. This will minimize the time and resources taken by the manufacturer to generate appropriate evidence and by the regulatory authority to assess it.
It is good practice to prepare, within the mechanisms of a quality management system (QMS), a plan for the investigation of each characteristic of IVD stability. A well-developed study plan, with clearly defined objectives, responsibilities and pass/fail criteria should be developed, reviewed and internally approved in advance of testing. The plan should be based on the design input requirements.

It is essential that the study plan takes into account the intended use of the product to ensure that the relevant critical characteristics are all captured by the stability study plan. The results of the stability studies should support the claims in the IFU.

Careful forward planning will help ensure that sufficient resources are made available, effective experiments are performed and both experimental results and associated documentation are recorded in an appropriate manner.

11.1 Responsibilities

The study plan should outline responsibilities and applicable training for all staff involved in the study. The responsibilities for implementing the study plan must be assigned to appropriately qualified and trained staff. Responsibilities to be allocated include set up of the study, testing, monitoring, validation of equipment and/or processes, sample selection, risk assessment and corresponding documentation.

In addition, the manufacturer must nominate a person responsible for investigating failures and a person responsible for conducting risk assessments if the IVD fails to meet the requirements of the design inputs.

11.2 Preparing the testing plan

A complete, detailed description should be prepared that documents all of the required testing and procedures to be undertaken and the expected outcomes. Authorization of the plan should be obtained internally in advance of commencing work. The plan should include the following details:

- The qualification and training of technical staff performing the work;
- Any biohazard issues identified with reagents;
- The instrumentation, including storage facilities or rooms, validation, calibration, monitoring, servicing;
- The lot/batch numbers of kits to be used, with justification for any manufacturing anomalies or deviations from documented procedures;
- The expected life of the kit from the input documentation;
- Any proposal, with justification, to launch a kit with a shelf life based on accelerated data, or to launch with a shorter shelf life than in the input documentation while awaiting the conclusion of real-time testing documentation;
- Documentation of the nature and extent of in-use testing;
- The justification for the selection of lots and components taking into account lot-to-lot variability and the critical characteristics;
- The number of units (cassettes, bottles, tablets, etc.) of each component to be collected and stored under each condition;
• The nature of the panel to be used, justifying each panel member’s inclusion and defining the volume and characterisation of the bulk specimen to be used and the aliquot size and number to be stored for the testing;
• The expected criteria for each panel member at the beginning and end of the product’s proposed shelf life;
• The statistical methods to be used for data analysis, including those used to identify outlying values and to establish criteria (see Section 11.5);
• The methods of approval and justification of any deviations from the plan.

11.3 Product storage
A sufficient number of product components from the identified lots should be reserved and stored separately to ensure that the study will be completed with identified products. Sufficient numbers of the testing IVDs should be retained to allow for additional testing, calculated from estimated invalid rates.

11.4 Documentation
The plan should make reference to the preparation of a study report that will be used to summarize interim, and ultimately, final study findings and conclusions. The study plan, the testing protocol, the study report and all associated documentation (worksheets, etc.) should be controlled within the manufacturer’s QMS. At the end of the study, the manufacturer should be able to confirm whether the design input requirements have been met.

Any changes from the methods identified in the plan must be recorded and undergo risk assessment. The plan should refer to the development of a detailed and valid testing protocol that includes all information and material relevant to testing.

11.5 Statistical methods
Statistical methods are used to support stability claims by providing estimates of the probability of results being as stated. For example: prior to the stability studies on an EIA, it has been documented that if a panel member has at least a particular optical density (OD) then the IVD will meet a particular claim. Given the results of the stability study using that panel member and showing the variability within and between lots of the IVD, the probability of future similar production of the IVD meeting claims at the assigned life can be estimated. The derivation of valid criteria and the probability of maintenance of all claims can be estimated by appropriate statistical methods.

There is a wealth of information on the statistical methods used in R&D of IVDs, from both ISO (2122, 23) and CLSI (2,24,25, 26, 27). Most of these
methods apply to quantitative assays, however information on statistical methods for qualitative assays is available in reference (28).

The fundamental considerations for stability testing are:

- the number of replicates required at each time point, and
- the number of different production lots required

which will produce an “acceptable overall probability estimate” of the likelihood of future production lots meeting claims (and hence user input requirements) at the end of the shelf life. However, consideration must also be given to what represents “an acceptable overall probability limit”. “Acceptability” is a decision critical to quality and must be decided in advance from the input requirements (for example 80% confidence that 95% of lots will meet the claims). This is a tolerance interval as described in ISO 16269-6:2014 (23). “How many replicates and how many different production lots” can then be derived from the tolerance interval required.

It is strongly recommended that manufacturers seek advice from a professional statistician once the quality critical requirements have been defined and before beginning any experimental work.

The statistical methods to be used must be documented in the plans and protocols of any stability study and consideration given to the treatment of unexpected and atypical results. In general, all results must be used unless there is a documented physical reason that the result can be ignored (e.g. known operator error, too little volume, incorrect timing, use of an unqualified instrument (one lacking maintenance or calibration). These ignored results must nevertheless be recorded and included in the report of the stability work.

11.6 Stability testing protocol

As part of an approved study plan for the determination of IVD stability, a detailed testing protocol should be prepared, as appropriate (examples of stability protocols are provided in Appendix 1: Example stability protocols) including the following as a minimum:

- QMS identifiers (e.g. experiment name, document references, etc.) that allow traceability to both the overarching study plan and to the records/documents generated, such as result worksheets;
- The training requirements of operator(s);
- The expected dates and times when the data will be collected;
- The objectives of the study (i.e. determination of shelf life, determination of in-use stability of a component, etc.);
- The name and lot number of the IVD and/or components to be investigated;
- Specification of how the components will be sampled from the production department;
- The panel members to be used and their characterisation, including valid test methods which reflect the IFU claims;
• The experimental method that will be used for testing. This must follow the finalized testing method from the IFU where appropriate. It must describe clearly how the experiment is to be performed in terms of:
  – required storage and/or challenge conditions
  – duration of storage/challenge
  – schedule of testing intervals (see Reference (2) Section 4.3)
  – stability testing panel
  – numbers of replicate tests performed for each panel member;
• How and where results are to be recorded;
• The acceptance criteria;
• How aberrant, discordant or invalid results will be dealt with;
• How storage/challenge conditions are to be applied
  Example: For determination of stability during transportation it should be made clear that each IVD will be subjected to a sequence of stated temperatures;
• How actual storage/challenge conditions are recorded
  Example: Recording of temperature not as “room temperature” but as an actual numerical value obtained from calibrated instrumentation.

Note: Statements of a general nature can be unclear to a regulatory or WHO reviewer. For example: “... Sample buffer was stored at the required temperature and tested each month...” This statement raises questions such as: (a) were the bottles of sample buffer stored open at the required temperature for the entire testing period? or (b) were the bottles stored capped and refrigerated, and only reopened briefly at the required temperature at each schedule test point? To avoid confusion, the details of actual storage and use procedures are required in the testing report.

11.7 Reading and recording results

11.7.1 Avoiding reader bias

It is good practice to use approaches that make the reading of results as objective as possible, such as using a documented scoring system. For IVDs where a subjective element forms part of the result, e.g. reading the intensity of an RDT band within a specified time frame, the results should always be reviewed by both a first and a second reader to avoid operator bias. Both readers must be blinded to the expected results; the second reader must also be blinded to the first reader’s results. If a validated band intensity scoring tool is to be included in the final RDT kit, this should be used to record results.

11.7.2 Recording actual individual results

The results of a test, not only the test interpretation, should be recorded. An interpretation on its own provides insufficient detail to detect the
degradation of a signal over time. Photographic records of qualitative tests are recommended, as appropriate.

Some IVDs, e.g. line-blots, may require the presence of particular band patterns to allow an interpretation to be reached, and several different patterns may yield the same final result. Recording only the final interpretation of a test specimen may cause the failure of particular bands to go unnoticed, while allowing the IVD to otherwise pass stability assessment.

Quantitative assays such as EIAs and NATs should be tested with sample panels containing concentrations of analyte across the quantitative range of the assay. Numerical results should be reported and statistical methods should be applied to ensure that the assay is measuring the analyte appropriately across the quantitative range.

Qualitative EIAs and NATs should also be tested with samples at several different analyte concentrations and include samples at low concentration near the cut-off of the assay. Results should be recorded as positive or negative according to the pre-determined cut-off level of the assay.

Example: Some RDTs may stipulate that the strength of test band is not correlated with the strength of antibody titre. Nevertheless, the following should be recorded: (1) the intensity of observed patterns according to a predetermined, validated intensity scoring system with as fine a gradation as possible, and (2) the final result interpretation.

11.7.3 Retention of records

WHO recommend the retention of photographic records, machine printouts, electronic data or physical retention of membranes from opened cassettes, as appropriate. Records should be retained for the period of time equivalent to the commercial lifetime of the IVD but not less than two years (modified from Reference (15) Section 4.2.4).

11.8 Instability versus imprecision

Testing at more than two time points can be important to avoid confusion between imprecision and instability. For example, if a 10% decrease (compared to the zero time value) is recorded from testing at the end of the shelf life, it may not be possible to judge if the difference was due to imprecision or instability. Inclusion of additional test points, for example one or more between the zero time and the end of the shelf life, allows fluctuation caused by imprecision to be distinguished from drift due to instability.

Increased clarity between instability and imprecision can be gained by increasing the number of replicates and runs, primarily with reference to the zero time values (Sections 5.9 and 5.10).

11.9 Testing schedule

Testing intervals should be selected to detect any trending of results over the testing period. Different testing intervals may be required for different
components. For example, it may be appropriate to test an IVD test cassette against a panel on a monthly or quarterly basis, but to test for open vial stability on a weekly basis.

11.9.1 Acceptance criteria for results

The acceptance criteria to establish what is acceptable or not acceptable should be defined according to the panel criteria for both qualitative and quantitative test methods. Results from failed (invalid) test runs must not be used in the determination of the stability claim. However, the invalid results should be recorded and included in the report of the stability testing.

12 Stability report

12.1 General

After testing has been completed, the findings should be summarized in a stability study report. The report should clearly identify the IVD that was tested, the objectives of the study, the conditions under which the IVD was tested and the conclusions that were drawn from findings. The report should be traceable to the study plan, testing protocol and input requirements. It should make clear references to other supporting documentation (e.g. result worksheets).

12.2 Link to claims

The results and conclusions of stability studies presented in the report must support the claims of IVD stability reported in the IFU and elsewhere in the WHO prequalification dossier.

12.3 Consider variability

An overall stability claim (whether for shelf life, in-use stability, or stability during transportation) must be based on the expected stability when taking into account inter-lot variability.

Example: The manufacturer should evaluate the variability between the different lots studied (see Section 10.1) and assume that any differences in shelf life are inherent to the manufacturing process. The claimed life should be calculated so that a known and stated proportion of all lots (usually >95%) will meet the claimed shelf life. Frequently, more than three lots are needed to obtain a realistic idea of the variability of the results.

12.4 IVD stability versus component stability

A claim of stability for an IVD as a whole must not exceed any individual component stability.

Example: For an IVD claimed to detect HIV-1 and HIV-2 antibodies – if detection of HIV-1 antibodies is stable to 24 months but that of
HIV-2 to only 18 months, then the shelf life must be based on the shorter time of 18 months.

13 Changes to a Prequalified IVD

13.1 Dealing with change

Any critical or major modification to a WHO prequalified IVD or to its process of manufacturing will require provision of new direct evidence of stability.

An appropriate risk assessment and an accelerated stability study comparing the original product and the modified product for usability, performance and lot-to-lot variability may serve to assess the impact of the changes to a product formulation or manufacture.

It would be necessary to validate the stability of the modified IVD on a minimum of one lot of the IVD (subject to risk assessment) in order to demonstrate equivalence between the original and modified IVDs. Testing of further lots may be appropriate depending on the product nature, variability of components and failure risk. (Reference (2) Section 7.1.2). WHO expects results of accelerated testing to be confirmed by real-time studies.

If there are different presentations, evidence of the stability of each one must be provided (see also Section 10.4).

The following examples illustrate the scope for considering the performance evidence from one IVD as support for performance of another. It should be noted that the observations discussed here refer specifically to IVD stability. Other aspects of IVD performance should still be validated as appropriate.

Examples:

1) An HIV RDT uses an identical cassette and physical components as a manufacturer’s existing, fully validated HCV RDT, but the reagent formulations are different (antigen/antibodies, buffers, conjugates, etc.) — evidence of stability of the HCV RDT would not suffice for the HIV RDT. Even if the manufacturer claims that both IVDs have been sold in a number of countries for several years and no adverse feedback has been reported, this would not constitute evidence in support of the stability of either IVD.

2) From an HIV RDT that has been fully validated for detection of HIV-1 antibodies, a new product is developed that includes detection of HIV-2 antibodies. The stability of any sample buffers that are identical between the two IVDs would, most likely, not need to be validated. However, other components (conjugates, antigens, antibodies) that are different between the two IVDs would need to be tested; it would not be sufficient to assume that HIV-1
reagents will have the same stability in the new IVD. An IVD modification of this nature is likely to require substantial new validation of stability.

3) An HIV RDT previously intended for testing serum/plasma has a claim added for detection of HIV-1 in whole blood. The only substantive design change associated with the new claim is the addition of a small filter pad near the sample port which acts as filter for whole blood specimens. Depending on the nature of the material, it may be reasonable to argue that the pad material would not be expected to age; that it is not, in any practical sense, chemically labile. Consequently, shelf life and in-use stability may not necessarily need to be retested in full. However, stability during transportation may need to be determined to provide confidence that the modification is able to withstand likely shipping conditions (e.g. that the extra square of filter pad material doesn’t dislodge when packages are jostled and bumped in transit).

4) Based on an HIV RDT that has been fully validated for detection of HIV-1 antibodies, a new IVD is developed which includes detection of antibodies to Treponema pallidum (TP). Detection of TP specific antibodies occurs on a completely separate membrane (and associated architecture) to that of HIV antibody detection. Additional handling steps may have an impact on the stability of the HIV-1 antibodies and retest may be required. It may be necessary to review evidence of stability during transportation to ensure that new components are not affected by transport (for example where a new packaging concept is used).

- If a new machine is used for striping of the HIV-1/TP IVD, validation of the new machine (installation qualification, operational qualification and performance qualification) would be required to show that the stability studies are still valid.

- If the IVD is designed in a way that HIV and TP detection occurs either on the same membrane and/or using most of the same architecture (and assuming that sample buffers are identical between IVDs) it is likely that this new IVD would need to be fully validated.
14 References


Appendix 1: Example stability protocols

This appendix contains examples for a wholly fictitious IVD, illustrating the kinds of experimental design that would be required to adequately determine the following:

1. Stability of whole kits during transport followed by stability of whole kits during shelf life
2. In-use stability of whole kits including reagents.

The information provided in these examples should be used as a guide to possible approaches to generate evidence of a standard sufficient to satisfy the expectations of WHO Prequalification. Further examples can be found in the WHO Prequalification Sample dossiers available on the WHO prequalification website.

WHO expects that a transportation challenge would precede the real-time determination of shelf life and in-use studies.

Description of fictitious IVD

IVD:
The fictitious IVD used in the examples in this appendix is a RDT for the detection of antibodies to HIV-1, HIV-2 and Treponema pallidum (TP) in serum, plasma and whole blood, and is referred to as the HIV/TP RDT.

The IVD kit components are: a test cassette sealed in a foil pouch (with desiccant), and a bottle of specimen buffer/diluent for use.

It is recommended that the kit be stored at 8—40°C and brought to 15—30°C before use.

The test cassette, sealed in its foil pouch, once opened, it is recommended that the cassette be used immediately.

The specimen buffer is expected to have similar stability as the sealed and pouches test cassette. The stability of the opened bottle of specimen buffer is determined below (see Example 2: In-use stability protocol).

Stability Plan:
The manufacturer has developed a stability plan to determine the stability of HIV/TP RDT. As part of this plan, a preliminary determination of accelerated stability has been conducted at several extremes of temperature and suggests that the IVD would be stable to an equivalent of 12 months following manufacture. The plan calls for the development of real-time stability protocols that will form the basis of subsequent testing of the IVD.

4 http://www.who.int/diagnostics_laboratory/guidance/sample_product_dossier/en/
Preliminary work has shown that the variability between lots is minimal, so that three independent lots (with no critical constituents in common) will suffice to enable a reasonable estimation of shelf life, taking lot to lot variability into account.

Example 1: Evaluation of transport stability followed by real time stability

Objective

To determine the stability after transportation of the HIV/TP RDT in real-time using simulated shipping conditions and to generate components that have already undergo stress testing to be used in real-time shelf life studies as proposed in Stability Study Plan XZY00001.

Preparation

Acquire sufficient numbers of IVD kits from three independent production lots using a predetermined sampling protocol (e.g. random, first X number of kits in first box, every 100th kit, etc.). Allow at least 10% overage for unexpected requirements and re-testing.

Note 1: To provide security against unforeseen events, duplicate tests should be performed as a minimum. However, testing in triplicate will provide more statistical confidence in the observed test result.

The IVD kits chosen for testing must be in their final packaging including all labelling (see section 10.4).

The IVD kits are stored so that the reagents are in contact with all elements of the packaging (e.g. the bottles in the IVD kits are stored horizontally, lying flat on their sides, allowing liquids to remain in contact with the bottle closures).

Acquire sufficient volume of each panel member for the duration of the testing schedule (see testing schedule below).

The protocol for these studies specifies the number of IVD kits to be picked, the statistical sampling plan to be used and the required panel members and their volumes.

Documentation

In Worksheet XYZ00001 record the following:

- The lot numbers from which the IVD kits were sampled
- The number of IVD kits sampled from each lot
- Details (including manufacturing/lot information) for each of the IVD kit components that will be tested as part of this protocol (test cassette and specimen buffer).
Testing schedule: for transport simulation

Testing will be conducted at 0, 3, 6, 9, 12 and 13 months.

*Note 2: Testing beyond 13 months will allow an understanding of when, in real-time, the IVD is likely to 'fail' and may allow an extension of the proposed shelf life.*

*Note 3: For determination of shelf life, a fresh bottle of specimen buffer must be opened at each testing point – although there may be circumstances in which multiple sampling could be taken from the same bottle after it has been opened.*

The IVD kits will be divided into two groups. One group will be stored at 40 ± 5°C, the other at 8 ± 2°C. IVD kits from each group will then be subjected to the following conditions:

**Condition 1.** Temperature and humidity sequence: all IVD kits will be taken through a temperature and humidity sequence consisting of:

i) Ambient humidity (X% RH)
   - Put at IFU storage temperature for 24±4 hours followed by
   - 30 ± 5°C for 24±4 hours followed by
   - 45 ± 5°C for 24±4 hours, followed by
   - 8 ± 5°C for 24±4 hours, followed by
   - IFU storage temperature for 24±4 hours

Followed by

ii) Desert humidity (30% RH)
   - Put at IFU storage temperature for 24±4 hours followed by
   - 30 ± 5°C for 24±4 hours, followed by
   - 45 ± 5°C for 24±4 hours, followed by
   - 8 ± 5°C for 24±4 hours, followed by
   - IFU storage temperature for 24±4 hours

Followed by

iii) Tropical humidity (85% RH)
   - Put at IFU storage temperature for 24±4 hours followed by
   - 30 ± 5°C for 24±4 hours, followed by
   - 45 ± 5°C for 72±4 hours, followed by
   - 8 ± 5°C for 24±4 hours, followed by
   - IFU storage temperature for 24±4 hours

Followed by

iv) Ambient humidity (X% RH)
   - Put at IFU storage temperature for 24±4 hours followed by
   - 30 ± 5°C for 24±4 hours, followed by
   - 45 ± 5°C for 24±4 hours, followed by
   - 8 ± 5°C for 24±4 hours, followed by
   - IFU storage temperature for 24±4 hours
**Note 1:** It is important to make clear that the above complete sequence of temperatures will be used, as opposed to separate IVD kits being held at individual temperatures. The actual temperatures, durations and the nature of the sequence will depend on the IVD and the kinds of conditions expected to be encountered during shipping.

**Note 2:** Freezing temperatures are not considered in this example but should be included if the IVD kits could be exposed to freezing temperatures during transport.

**Note 3:** If transport by air is anticipated, the effect of reduced pressure should be included in the protocol (14) for a period of time at least 10% longer than the longest anticipated flight, and at a pressure expected in aircraft holds.

**Note 4:** The protocol should call for testing of at least five individual IVD kits after each stress condition, using the stability panel members giving the most informative results. This approach will enable verification that the IVD kits are sufficiently stable to progress to the next condition, although this should already be known from preliminary experiments and R&D work.

**Condition 2,** Transport stress conditions - Shaking. Each IVD kit will be placed on a shaking table at X revolutions per minute (rpm) for X hours/days at 42±5°C as defined by ASTM D4169 section 12 (14).

After the simulated shipping challenge, each IVD kit will be returned to its corresponding storage temperature (42±5°C or 8±2°C).

**Testing schedule for real time stability studies**

Testing will be conducted at 0, 3, 6, 9, 12 and 13 months. At each scheduled time point, the allotted number of IVD kits will be brought to 15 to 30 °C and used to test each member of the panel in triplicate.

**Note 1:** The test at 0 months will provide evidence that the IVD kit is stable under extreme conditions of shipping (but similar to those likely to be experienced), the testing at later time points will give evidence to support the claimed shelf life after transport, and testing beyond the claimed shelf life will provide evidence that the IVD kit is stable and not close to a failure point.

**Documentation for transport stress conditions**

In Worksheet XYZ00001 record:

- The lot numbers of the IVD kits used to conduct the test
- The Operator(s) name(s)
- The dates of testing
- Identifying details for each member of the panel being tested
- The temperature at which the IVD kits are stored
- The values of temperature and humidity for each of the challenge conditions
Instrument settings for the shaking apparatus and duration of operation for challenge conditions.

- The ambient temperature and humidity during testing
- Each test result as an interpretation according to the IFU
- Each test result as a band intensity. Band intensity should be scored using the calibrated scale described in Protocol ZXY0001 (e.g. 0, faint/trace, +1, +2, +3 ... +10) (even though the IFU does not give scores to results)
- Any aberrations or deviations from the protocol, the reason for the deviation and any remedial action undertaken. Results from invalid assays must be recorded but not included in calculations of shelf life. Apparently aberrant results, unless the underlying cause can be positively identified as not related to a problem with the IVD, must be included in the calculations of shelf life.

Panel for monitoring stability

See the suggestions in Appendix 2: Suggested specimens for stability testing panel.

Acceptance criteria

Each panel member should show a band intensity result that matches its expected result at each tested time point. The expected result must be validated so that if the IVD fails to meet the claims (e.g. fails to detect critical specimens, has unacceptable performance at medical decision concentrations, has unacceptable specificity) the panel member would also fail to meet its specified result.

The stability after transportation of the IVD kit will be taken as the time point before the last time point to have met the acceptance criteria, e.g. if the IVD is stable to 13 months, the stability after transportation will be deemed to be 12 months.

The stability after transportation should be identical to the claimed shelf life of the IVD kit, i.e. the extremes of possible conditions to which the IVD kit is likely to subjected during transport must not affect the shelf life of the IVD.

Calculation of results

Detailed statistical instruction must be obtained from a professional statistician with an understanding of the expectations of the stability study plan and outcome. Professional statistical input is particularly recommended when calculating confidence limits for discrete data such as readings from a graduated scale.

Each of the following applies at each time point:

- The variance of the results for all replicates within and between all the lots must be calculated for each panel member. From the overall variance between lots, the confidence with which future lots of the IVD kit will detect the panel member at that time point after manufacture and transport can be calculated. If the confidence of the panel member meeting its specification is less than some pre-defined value (normally 95%), it must be
deemed to have failed at that time point and the shelf life of the IVD kit should be restricted accordingly.

If regression analysis is used to define the time point at which a panel member would not meet its criterion, then lot-to-lot variability must be included when setting the confidence limits around the regression line. However, real-time data must extend beyond the claimed shelf life so that the intercept of the regression confidence limit and the expected value must be at a time period longer than the claim. It is usually more appropriate to calculate as discussed in the previous paragraph, particularly if the regression cannot be proven to be linear.

Example 2: In-use stability protocol

Objective

To determine the stability of opened bottles of the Specimen Buffer used in the IVD kit in real-time when stored at 15–30°C as proposed in Stability Study Plan XYZ00001.

*In this example the manufacturer recommends that the test cassette be used immediately upon opening; this claim should also be validated in a separate experiment, so that it can be confirmed that the IVD will still perform satisfactorily after the test cassette has been removed from its pouch and open at room temperature for 1, 2, 6, 24 hours, etc., as appropriate.*

Acquire sufficient numbers of IVD kits from one production lot using a predetermined sampling protocol (e.g. random, first X number of kits in the first box, every 100th kit, etc.).

Acquire sufficient volume of each panel member for the duration of the testing schedule. Establish a method for randomising the panel for testing.

In Worksheet XYZ00001 record the following:

- The lot numbers from which the IVD kits were sampled
- The number of IVD kits sampled from each lot
- Details (including manufacturing/lot information) for each of the IVD kit components that will be tested as part of this protocol (test cassette and specimen buffer).

Preparation

Two lots of specimen buffer are to be tested. One lot of the component must be freshly made, the other should be towards the end of the assigned shelf life of the IVD kit.

The component is to be tested in its final packaging.

The IVD kits are stored so that the reagents are in contact with all elements of the packaging (e.g. the bottles in the IVD kits are stored horizontally, lying flat on their sides, allowing liquids to remain in contact with the bottle closures).
Half of each lot will be stored at 30± 5°C, the other half at 15± 5°C. At the start of testing each bottle will be brought to room temperature (20 ± 2°C), opened, used for testing and then recapped and returned to the stated storage temperature.

_Note 1: It is important that the components under test are opened and used under circumstances likely to occur in users’ laboratories (i.e. not in rooms with HEPA filtered air) mimicking, as far as possible, genuine use._

**Testing schedule**

At each subsequent scheduled time point the allotted number of bottles will be brought to room temperature and used to test each panel member in triplicate. Testing will be conducted at 0, 1, 2, 3, 4 weeks, etc., up to the end of the claimed in-use life.

**Documentation**

In Worksheet XYZ00001 record:

- The lot number of the IVD kit used to conduct the test
- The operator(s) name(s)
- The dates of testing
- The temperature at which the IVD kits are stored
- The ambient temperature during testing
- Identifying details for each member of the panel being tested
- Each test result as a band intensity. Band intensity should be scored using the calibrated scale described in Protocol ZXY0001 (e.g. 0, faint/trace, +1, +2, +3 … +10)
- Each test result as an interpretation according to the IFU
- Any aberrations or deviations from the protocol, the reason for the deviation and any remedial action undertaken.

**Panel for testing stability**

See the suggestions in _Appendix 2: Suggested specimens for stability testing panel._

**Acceptance Criteria**

Each panel member should show a band intensity result that matches its expected result at each tested time point. The in-use stability of the sample buffer will be taken as the time point before the last time point to have met the acceptance criteria.

_Example: If the IVD kit is observed to be stable to 5 weeks, the in-use stability will be deemed to be 4 weeks._
Appendix 2: Suggested specimens for stability testing panels

Examples in this section

Not all of the specimens in the examples that follow will be necessary for all IVDs, nor is the list exhaustive. Panels must be composed according to strict risk management principles, and all decisions must be documented and traceable.

The minimum specimens that are recommended to be included in a testing panel for the different products are outlined below.

1 Specimens to monitor tests for nucleic acid-based testing technology

If a proprietary nucleic acid preparation/extraction system is provided, the recovery must be shown to meet claims for each genotype from each of the specimen types claimed (e.g. dried blood spots, whole blood, plasma). Successful removal of inhibitory substances, if intended, must be demonstrated for appropriate specimen types. Unless potentially variable biological reagents are involved, this system would be expected to be verified in manufacture and not necessarily tested at release.

<table>
<thead>
<tr>
<th>Specimens</th>
<th>Remarks</th>
</tr>
</thead>
</table>
| Specimens to demonstrate maintenance of sensitivity and/or limit of detection, and/or accuracy, and precision | Traceability is required to one of the WHO international standards\(^5\) if available (e.g. Third HIV-1 International Standard National Institute for Biological Standards and Controls (NIBSC) code: 10/152; Fourth International Standard for hepatitis C virus for Nucleic Acid Amplification Techniques NIBSC code: 06/102) \[http://www.nibsc.org/\](http://www.nibsc.org/)

More than one genotype may be required to validate these claims: see First WHO International Reference Panel for Hepatitis B virus (HBV) Genotypes for NAT-Based Assays, Paul Ehrlich institute (PEI) code 5086/08. \[http://www.pei.de/\](http://www.pei.de/)

This may be required on each of the claimed specimen types.                                                                                      |
| Specimens to demonstrate specificity and validity of                                                                                         |
| Sufficient negative specimens should be included to ensure that the claims will be met at end of shelf life.                                    |

\(^5\) The catalogue of WHP International Reference Preparations is available at the following link \[http://www.who.int/bloodproducts/catalogue/en/\](http://www.who.int/bloodproducts/catalogue/en/)
<table>
<thead>
<tr>
<th>Specimens</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>runs</td>
<td></td>
</tr>
<tr>
<td>Specimens (or reagents) to demonstrate stability of each of the critical components of the IVD</td>
<td>If more than one part of the genome is to be detected, both systems must be shown to be stable. If both DNA and RNA are measured the complete system must be shown to be stable.</td>
</tr>
</tbody>
</table>
2 Specimens to monitor tests that measure CD4 cells

Rationale
CD4 measurements are quantitative, and accuracy at the clinical decision points is important. The design input should have information on the accuracy and other parameters required, and the panel must be designed to provide evidence that these parameters are maintained over the assigned life of the reagent and measuring IVD.

Parameters
The panel used in stability work must be able to demonstrate the following.

- Stability of all the antibodies used in the IVD (frequently anti-CD4 and anti-CD3 antibodies; any other critical components must also be covered)
- Accuracy and trueness of measurement maintained at the critical level (at least five specimens required)
- Claimed linearity over the required range of CD4 count (at least five specimens required)
- Measure drift

Specimens
Artificial specimens, such as stabilized blood specimens, can be used if a risk assessment based on R&D work indicates that they are effective. Fresh specimens are usually required. Measurements should be compared to an approved reference system.

Examples of approaches
Aged or in-use lots may be compared with a reference, e.g., a new lot.
Precision studies can be performed as described in Reference (27).

3 Specimens to monitor tests for HIV antibodies

<table>
<thead>
<tr>
<th>Specimens</th>
<th>Remarks</th>
</tr>
</thead>
</table>
| IgM first seroconversion specimens and IgG first seroconversion specimens | Possible approaches to obtain samples:
- Study the early data from commercial seroconversion panels where the seroconversion was frequently monitored by IgM and IgG blots
- Study the responses to second and third generation assays or protein A and protein L assays (this approach is less useful). |
| All other parts of the HIV proteome included, e.g., reverse transcriptase (RT) | |
| Late stage specimens – usually a high dilution set near the sample-to-cut-off ratio | This might serve to monitor any kit run control.
HIV serology is not particularly genotype dependent. It is usually not necessary to include controls for genotype detection unless risk assessment or experiment shows that it is required for a particular IVD. |
| HIV-2, diluted to near the sample-to-cut-off ratio | Seroconversion specimens are very rare. |
| HIV-1 (0), if claimed | |
| Difficult specimens to monitor specificity and invalid rates | 100 negatives at release subject to risk analysis and statistical analysis of the allowable (relative to the claimed) false reactive rate and invalidity rate |
4 Specimens to monitor tests for antibodies for HIV-1/2 and *Treponema pallidum* (TP)

<table>
<thead>
<tr>
<th>Specimens</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Specimens to detect HIV</td>
<td>See above section 3</td>
</tr>
<tr>
<td>Specimens to detect all the critical epitopes in the IVD, for example TpN47, TpN17 and TpN15</td>
<td>Note: Each of these epitopes play a role in detecting syphilis in different stages of the infection. It is necessary to have a panel member to monitor each epitope system present (and possibly each stage of infection), even if poly-fusion proteins are used. This can be avoided if the manufacturer can demonstrate that each epitope system is equally stable.</td>
</tr>
<tr>
<td>Specimens able to show that the invalidity and specificity rates do not fall outside the claims, particularly if whole blood is a claimed specimen type</td>
<td>Note: It would not be sufficient for WHO prequalification to extrapolate to the stability of HIV-2/TP detection by testing only HIV-1 positive specimens.</td>
</tr>
</tbody>
</table>

5 Specimens to monitor tests for hepatitis C virus antibodies

<table>
<thead>
<tr>
<th>Specimens</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>NS3 first seroconversion specimens and core first seroconversion specimens</td>
<td>Results can be obtained from line immunoassays that differentiate antibody responses to the different proteins.</td>
</tr>
<tr>
<td>Specimens to monitor any other antibodies claimed (frequently against NS5 and NS4)</td>
<td>Note: Hepatitis C virus serology is not particularly genotype dependent in terms of anti-core and anti-NS3, but it is possible to make serotyping assays based on NS4 that mimic genotyping reasonably well. It is usually not necessary to include controls for genotype detection, unless risk assessment or experiment for a particular IVD show otherwise.</td>
</tr>
<tr>
<td>A late stage dilution near the sample-to-cut-off ratio</td>
<td>100 negative specimens subject to risk analysis and statistical analysis of the allowable false reactive rate and invalidity rate (relative to the claimed rates)</td>
</tr>
<tr>
<td>Difficult specimens to monitor specificity and invalid rates</td>
<td></td>
</tr>
</tbody>
</table>
6 Specimens to monitor for tests for hepatitis B surface antigen (HBsAg)

<table>
<thead>
<tr>
<th>Specimens</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Specimens to define sensitivity relative to the claim</td>
<td>Traceability is required to one of the WHO international standards(^6) (e.g. Third International Standard for HBsAg (HBV genotype B4, HBsAg subtypes ayw1/adw2) NIBSC code: 12/226) [<a href="http://www.nibsc.org/%5C">http://www.nibsc.org/\</a>] for one or more specimens and probably also to the (ad) and (ay) standards available from a commercial supplier. Seroconversion specimens commercially available are almost all of the (adw2) serotype, different from the Third international standard – so claims of critical threshold specimen detection must be proven by specimens in the panel.</td>
</tr>
<tr>
<td>Specimens to monitor the maintenance of the claims of a variety of serotypes / genotypes and mutant forms</td>
<td>These will almost certainly be traceable to the “First International Reference Panel 2011, for Hepatitis B virus genotype panel for HBsAg-based assays” PEI code: 6100/09. [<a href="http://www.pei.de/%5C">http://www.pei.de/\</a>]</td>
</tr>
<tr>
<td>Specimens to control against prozone/high dose hook effect if found or if theoretically an issue</td>
<td></td>
</tr>
<tr>
<td>If detection of HBsAg in the presence of anti-HBsAg is claimed (current best practice) proof of maintenance of the claim</td>
<td></td>
</tr>
<tr>
<td>Specimens to monitor the critical components of the IVD</td>
<td>If the monoclonal antibodies used have particular function or bias, such as against the (ayr) or (adr) serotypes (not controlled by the standards) or to detect mutant forms of the antigen, each must be monitored to ensure viability at end of shelf life. These may be the same specimens as mentioned in the previous paragraphs. If there are critical dissociation chemicals or red-cell capture or rupture agents used, these must also be monitored.</td>
</tr>
<tr>
<td>Difficult specimens to monitor specificity and invalid rates</td>
<td>100 negatives subject to risk analysis and statistical analysis of the allowable (relative to the claimed) false reactive rate and invalidity rate.</td>
</tr>
</tbody>
</table>

\(^6\) The catalogue of International Reference Preparations is available at the following link \[http://www.who.int/bloodproducts/catalogue/en/\]
**Appendix 3: Summary table of standards relevant for stability studies**

<table>
<thead>
<tr>
<th>Recommendation</th>
<th>Comment</th>
<th>Standard</th>
</tr>
</thead>
<tbody>
<tr>
<td>Studies must be fully documented with risk evaluations, plans and protocols prior to initiation</td>
<td>Risk assessment must be specific to the analyte, type of physical device and assay format, and previous manufacturing experiences, not generic nor by rote.</td>
<td>CLSI EP25A (many sections), ISO 23640:2011 Section 2 ISO 14971:2007</td>
</tr>
<tr>
<td>Studies and risk management must take into consideration conditions likely to be encountered in the geographical and health care settings in which the IVD is intended to be used</td>
<td>This is particularly important for transport stress where extreme conditions must be evaluated.</td>
<td>CLSI EP25A Section 4.2.3 &amp; 5.2 (1)</td>
</tr>
<tr>
<td>IVDs must be subjected to simulation of transport stress before being used to establish any form of stability</td>
<td>This is particularly important to WHO-PQ as transport will always be involved before use of an IVD and transport conditions cannot be guaranteed nor predicted.</td>
<td>CLSI EP25A Section 4.2.3</td>
</tr>
<tr>
<td>Transport simulation must cover the extremes of environmental conditions ascertained during risk evaluations</td>
<td>It is most unlikely that actual transport will involve all extreme conditions that might occur during the marketing life of the IVD, nor that the conditions during actual transport can be adequately documented.</td>
<td>CLSI EP25A Section 4.2.3</td>
</tr>
<tr>
<td>IVDs used in any stability studies must be made to finalized manufacturing specifications, to final scale and in the packaging, including labelling, in which the IVDs will be made available</td>
<td>If IVDs are not made to final validated and documented manufacturing scales, a stringent proof that scale change will not affect any parameters of the IVD, nor any of the manufacturer’s claims, must be presented. Pre-production lots can only be used for stability work if these conditions are met.</td>
<td>Good manufacturing practice (GMP) CLSI EP25A</td>
</tr>
<tr>
<td>If several presentations of the IVD are to be presented all aspects of stability must be shown for each</td>
<td>If, for example two pack sizes are to be provided, even though the contents are identical except for vial size, each pack size must be evaluated completely.</td>
<td>CLSI EP25A</td>
</tr>
<tr>
<td>Recommendation</td>
<td>Comment</td>
<td>Standard</td>
</tr>
<tr>
<td>----------------</td>
<td>---------</td>
<td>----------</td>
</tr>
<tr>
<td>Sufficient numbers of independent lots of the IVD must be evaluated to enable each form of stability to be evaluated in terms of inter-lot variability</td>
<td>“Independent lots” means lots with different critical reagents (e.g. biological reagents prepared in different syntheses, growths or purifications; other risk-defined critical reagents from different manufactured lots, or different suppliers if applicable). CLSI EP25A and ISO 23640 specify <em>minimum</em> numbers of lots to be used but give no guidance to recommended numbers beyond documented risk evaluation.</td>
<td>CLSI EP25A Section 4.4</td>
</tr>
<tr>
<td>If critical components of the IVD are assigned lives independently of the life of the IVD the various forms of stability of the IVD must be proven with those reagents at different stages of their lives</td>
<td>It must be documented that stored materials, e.g. freeze thawed biological reagents operate as expected during the whole of the assigned shelf life.</td>
<td>CLSI EP25A Section 4.4</td>
</tr>
<tr>
<td>Each form of stability must be defined statistically with respect to any inter-independent lot variability, not just assigned to the minimum stability found among the lots that happened to be evaluated experimentally</td>
<td>If any lot-to-lot variability is found, the manufacturer must provide evidence that subsequent lots will not have worse stability than that claimed.</td>
<td></td>
</tr>
<tr>
<td>If any control material with a claim to prove the functionality of the IVD is provided to users that claim must be justified in stability studies in addition to any other studies</td>
<td>If the analytic function of the IVD is out of specification from any cause, including stability failure, the control material must be demonstrated to be able to alert the user to that fact.</td>
<td></td>
</tr>
<tr>
<td>Use of accelerated stability, even to provide interim life assignments, must justified scientifically</td>
<td>Accelerated stability is acceptable to provide interim life if the parameters of the Arrhenius equation, or any other method used, are adequately proven and documented.</td>
<td>CLSI EP25A Section 7.3 &amp; Appendix B</td>
</tr>
<tr>
<td>ISO 23640:2011 Section 5.3.1 notes 1 &amp; 2</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
WHO/EMP/RHT/PQT/TGS2/2017.02

The Technical Guidance Series for submission to WHO Prequalification – Diagnostic Assessment is developed to assist manufacturers in meeting prequalification requirements for their IVD. Further information on this guidance and other Technical Guidance series documents email diagnostics@who.in