

## **DECLARATION OF INTERESTS FOR WHO EXPERTS Attention: C. Vickers**

The assistance of distinguished authorities knowledgeable in a variety of medical and scientific professions is essential to the solution of international health issues. **It is expected that persons qualified to serve as an expert for the World Health Organization (WHO) may have private interests related to the subject of their expertise. At the same time, it is imperative that situations be avoided in which such interests may unduly affect, or may be perceived to affect, an expert's impartiality or the outcome of work in which he/she was involved.**

To assure the highest integrity, and hence public confidence, in the activities of the Organization, WHO regulations and policies require that all experts serving in an advisory role disclose any circumstances which could give rise to a **potential conflict of interest** (i.e., any interest which may affect, or may reasonably be perceived to affect, the expert's objectivity and independence). Accordingly, in this Declaration of Interest form, you are requested to disclose any financial, professional or other interest relevant to the subject of the work or meeting in which you will be involved and any interest that could be significantly affected by the outcome of the meeting or work. You are also asked to declare relevant interests of others who may, or may be perceived to, unduly influence your judgment, such as immediate family members, employers, close professional associates or any others with whom you have a substantial common personal, financial or professional interest.

Kindly complete this form and submit it to WHO Secretariat, well in advance of the meeting or work. You are also asked to inform the Secretariat of any change in this information that occurs before or during the course of the meeting or work. If WHO considers that a potential conflict of interest exists, one of several outcomes can occur, depending on the circumstances involved: (i) you may be invited to continue to participate in the meeting or work, provided that your interest would be publicly disclosed; (ii) you may be asked not to take part in the portion of the meeting, discussion or work related to your interest, or not participate in related decisions; or (iii) you may be asked not to take part in the meeting or work altogether. Non-completion of the DOI form would preclude further consideration of an expert's participation.

Experts are requested to agree that any relevant conflicts may be **publicly disclosed** to other meeting participants and in the resulting report or other work product. The Secretariat will assume that you consent to such a disclosure, unless you check "no" in the space provided on the last page of this form. The information disclosed by you **may later be made available** to persons outside of WHO if the objectivity of the work or meeting in which you are involved is questioned and the Director-General considers disclosure to be in the best interests of the Organization, although only after discussion with you.

Name:

Institution:

Email:

**Date and title of meeting or work, including description of subject-matter to be considered (if a number of substances or processes are to be evaluated, a list should be attached):**

### **DRAFT WHO/IPCS GUIDANCE ON MUTAGENICITY TESTING FOR CHEMICAL RISK ASSESSMENT**

*Please answer each of the questions below. If the answer to any of the questions is "yes", briefly describe the circumstances on the last page of the form.*

*The term "you" refers to yourself, your employer and your immediate family members (i.e., spouse (or partner with whom you have a similar close personal relationship) and your minor children). "Commercial entity" includes -- aside from any commercial business -- an industry association, research institution or other enterprise whose funding is significantly derived from commercial sources having an interest related to the subject of the meeting or work. "Organization" includes a governmental, international or non-profit organization. "Meeting" includes a series or cycle of meetings.*

#### **EMPLOYMENT AND CONSULTING**

***Within the past 3 years, have you received remuneration from a commercial entity or other organization with an interest related to the subject of the meeting or work?***

***Please also report any application or negotiation for future work.***

1a Employment

Yes  No

1b Consulting, including service as a technical or other advisor

Yes  No

## RESEARCH SUPPORT

*Within the past 3 years, have you or your department or research unit received support or funding from a commercial entity or other organization with an interest related to the subject of the meeting or work? Please also report any application or award for future research support.*

- 2a Research support, including grants, collaborations, sponsorships, and other funding Yes  No
- 2b Non-monetary support valued at more than US\$1000 overall (include equipment, facilities, research assistants, paid travel to meetings, etc.) Yes  No

## INVESTMENT INTERESTS

*Do you have current investments (valued at more than US\$10 000 overall) in a commercial entity with an interest related to the subject of the meeting or work? Please also include indirect investments such as a trust or holding company. You may exclude mutual funds, pension funds or similar investments that are broadly diversified.*

- 3a Stocks, bonds, stock options, other securities (e.g., short sales) Yes  No
- 3b Commercial business interests (e.g., proprietorships, partnerships, joint ventures) Yes  No

## INTELLECTUAL PROPERTY

*Do you have any current intellectual property rights that might be enhanced or diminished by the outcome of the meeting or work?*

- 4a Patents, trademarks, or copyrights (also include pending applications) Yes  No
- 4b Proprietary know-how in a substance, technology or process Yes  No

## PUBLIC STATEMENTS AND POSITIONS (during the past 3 years)

- 5a As part of a regulatory, legislative or judicial process, have you provided an expert opinion or testimony, related to the subject of the meeting or work, for a commercial entity or other organization? Yes  No
- 5b Have you held an office or other position, paid or unpaid, where you may be expected to represent interests or defend a position related to the subject of the meeting or work? Yes  No

## ADDITIONAL INFORMATION

- 6a If not already disclosed above, have you worked for the competitor of a product which is the subject of the meeting or work, or will your participation in the meeting or work enable you to obtain access to a competitor's confidential proprietary information, or create for you a financial or commercial competitive advantage? Yes  No
- 6b To your knowledge, would the outcome of the meeting or work benefit or adversely affect interests of others with whom you have substantial common personal, financial or professional interests (such as your adult children or siblings, close professional colleagues, administrative unit or department)? Yes  No
- 6c Is there any other aspect of your background or present circumstances not addressed above that might be perceived as affecting your objectivity or independence? Yes  No

## TOBACCO OR TOBACCO PRODUCTS (answer without regard to relevancy to the subject of the meeting or work)

7. Within the past 3 years, have you had employment or received research support or other funding from the tobacco industry or had any other professional relationship with an entity, directly involved in the production, manufacture, distribution or sale of tobacco or tobacco products or representing the interests of any such entity? Yes  No

**EXPLANATION OF "YES" RESPONSES: If the answer to any of the above questions is "yes", check above and briefly describe the circumstances on this page. If you do not provide, the amount or value of the interest, where requested, it will be assumed to be significant.**

<b>Nos. 1 - 4: 7</b> <b>Type of interest, question number and category (e.g., Intellectual Property 4.a copyrights) <u>and</u> basic descriptive details.</b>	<b>Name of company, organization, or institution</b>	<b>Belongs to you, a family member, employer, research unit or other?</b>	<b>Amount of income or value of interest (if not disclosed, is assumed to be significant)</b>	<b>Current interest (or year ceased)</b>

**Nos. 5-6: Describe the subject, specific circumstances, parties involved, time frame and other relevant details**

CONSENT TO DISCLOSURE. The Secretariat will assume that you consent to the disclosure of any relevant conflicts to other meeting participants and in the resulting report or work product, unless you check "no" in the space provided here. If you check "no", the Secretariat will not disclose the information without your prior approval, although this may result in your not being able to participate in the meeting or conference. **No:**

DECLARATION. I hereby declare on my honour that the disclosed information is true and complete to the best of my knowledge.

Should there be any change to the above information due to the fact that I acquire additional interests, I will notify the responsible staff of WHO and complete a new declaration of interests detailing the changes. This includes any change which occurs before or during the meeting or work itself and through the period up to the publication of the final results.

Date: \_\_\_\_\_

Signature \_\_\_\_\_