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Concise International Chemical Assessment Document 54

ETHYLENE OXIDE

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The **International Programme on Chemical Safety (IPCS)**, established in 1980, is a joint venture of the United Nations Environment Programme (UNEP), the International Labour Organization (ILO), and the World Health Organization (WHO). The overall objectives of the IPCS are to establish the scientific basis for assessment of the risk to human health and the environment from exposure to chemicals, through international peer review processes, as a prerequisite for the promotion of chemical safety, and to provide technical assistance in strengthening national capacities for the sound management of chemicals.

The **Inter-Organization Programme for the Sound Management of Chemicals (IOMC)** was established in 1995 by UNEP, ILO, the Food and Agriculture Organization of the United Nations, WHO, the United Nations Industrial Development Organization, the United Nations Institute for Training and Research, and the Organisation for Economic Co-operation and Development (Participating Organizations), following recommendations made by the 1992 UN Conference on Environment and Development to strengthen cooperation and increase coordination in the field of chemical safety. The purpose of the IOMC is to promote coordination of the policies and activities pursued by the Participating Organizations, jointly or separately, to achieve the sound management of chemicals in relation to human health and the environment.

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FOREWORD

Concise International Chemical Assessment Documents (CICADs) are the latest in a family of publications from the International Programme on Chemical Safety (IPCS) — a cooperative programme of the World Health Organization (WHO), the International Labour Organization (ILO), and the United Nations Environment Programme (UNEP). CICADs join the Environmental Health Criteria documents (EHCs) as authoritative documents on the risk assessment of chemicals.

International Chemical Safety Cards on the relevant chemical(s) are attached at the end of the CICAD, to provide the reader with concise information on the protection of human health and on emergency action. They are produced in a separate peer-reviewed procedure at IPCS. They may be complemented by information from IPCS Poison Information Monographs (PIM), similarly produced separately from the CICAD process.

CICADs are concise documents that provide summaries of the relevant scientific information concerning the potential effects of chemicals upon human health and/or the environment. They are based on selected national or regional evaluation documents or on existing EHCs. Before acceptance for publication as CICADs by IPCS, these documents undergo extensive peer review by internationally selected experts to ensure their completeness, accuracy in the way in which the original data are represented, and the validity of the conclusions drawn.

The primary objective of CICADs is characterization of hazard and dose-response from exposure to a chemical. CICADs are not a summary of all available data on a particular chemical; rather, they include only that information considered critical for characterization of the risk posed by the chemical. The critical studies are, however, presented in sufficient detail to support the conclusions drawn. For additional information, the reader should consult the identified source documents upon which the CICAD has been based.

Risks to human health and the environment will vary considerably depending upon the type and extent of exposure. Responsible authorities are strongly encouraged to characterize risk on the basis of locally measured or predicted exposure scenarios. To assist the reader, examples of exposure estimation and risk characterization are provided in CICADs, whenever possible. These examples cannot be considered as representing all

possible exposure situations, but are provided as guidance only. The reader is referred to EHC 170.¹

While every effort is made to ensure that CICADs represent the current status of knowledge, new information is being developed constantly. Unless otherwise stated, CICADs are based on a search of the scientific literature to the date shown in the executive summary. In the event that a reader becomes aware of new information that would change the conclusions drawn in a CICAD, the reader is requested to contact IPCS to inform it of the new information.

Procedures

The flow chart on page 2 shows the procedures followed to produce a CICAD. These procedures are designed to take advantage of the expertise that exists around the world — expertise that is required to produce the high-quality evaluations of toxicological, exposure, and other data that are necessary for assessing risks to human health and/or the environment. The IPCS Risk Assessment Steering Group advises the Coordinator, IPCS, on the selection of chemicals for an IPCS risk assessment based on the following criteria:

- there is the probability of exposure; and/or
- there is significant toxicity/ecotoxicity.

Thus, it is typical of a priority chemical that

- it is of transboundary concern;
- it is of concern to a range of countries (developed, developing, and those with economies in transition) for possible risk management;
- there is significant international trade;
- it has high production volume;
- it has dispersive use.

The Steering Group will also advise IPCS on the appropriate form of the document (i.e., EHC or CICAD) and which institution bears the responsibility of the document production, as well as on the type and extent of the international peer review.

The first draft is based on an existing national, regional, or international review. Authors of the first draft are usually, but not necessarily, from the institution that developed the original review. A standard outline has been developed to encourage consistency in form. The first draft undergoes primary review by IPCS to ensure that it meets the specified criteria for CICADs.

¹ International Programme on Chemical Safety (1994) *Assessing human health risks of chemicals: derivation of guidance values for health-based exposure limits*. Geneva, World Health Organization (Environmental Health Criteria 170) (also available at <http://www.who.int/pcs/>).

CICAD PREPARATION FLOW CHART

Selection of priority chemical, author institution, and agreement on CICAD format	<p style="text-align: center;">Advice from Risk Assessment Steering Group</p> <p>Criteria of priority:</p> <ul style="list-style-type: none"> \$ there is the probability of exposure; and/or \$ there is significant toxicity/ecotoxicity. <p>Thus, it is typical of a priority chemical that</p> <ul style="list-style-type: none"> \$ it is of transboundary concern; \$ it is of concern to a range of countries (developed, developing, and those with economies in transition) for possible risk management; \$ there is significant international trade; \$ the production volume is high; \$ the use is dispersive. <p>Special emphasis is placed on avoiding duplication of effort by WHO and other international organizations.</p> <p>A prerequisite of the production of a CICAD is the availability of a recent high-quality national/regional risk assessment document = source document. The source document and the CICAD may be produced in parallel. If the source document does not contain an environmental section, this may be produced <i>de nova</i> provided it is not controversial. If no source document is available, IPCS may produce a <i>de novorisk</i> assessment document if the cost is justified.</p> <p>Depending on the complexity and extent of controversy of the issues involved, the steering group may advise on different levels of peer review:</p> <ul style="list-style-type: none"> \$ standard IPCS Contact Points \$ above + specialized experts \$ above + consultative group
9	
Preparation of first draft	
9	
Primary acceptance review by IPCS and revisions as necessary	
9	
Selection of review process	
9	
Peer review	
9	
Review of the comments and revision of the document	
9	
Final Review Board: Verification of revisions due to peer review comments, revision, and approval of the document	
9	
Editing Approval by Coordinator, IPCS	
9	
Publication of CICAD on web and as printed text	

The second stage involves international peer review by scientists known for their particular expertise and by scientists selected from an international roster compiled by IPCS through recommendations from IPCS national Contact Points and from IPCS Participating Institutions. Adequate time is allowed for the selected experts to undertake a thorough review. Authors are required to take reviewers' comments into account and revise their draft, if necessary. The resulting second draft is submitted to a Final Review Board together with the reviewers' comments. At any stage in the international review process, a consultative group may be necessary to address specific areas of the science.

The CICAD Final Review Board has several important functions:

- to ensure that each CICAD has been subjected to an appropriate and thorough peer review;
- to verify that the peer reviewers' comments have been addressed appropriately;
- to provide guidance to those responsible for the preparation of CICADs on how to resolve any remaining issues if, in the opinion of the Board, the author has not adequately addressed all comments of the reviewers; and
- to approve CICADs as international assessments.

Board members serve in their personal capacity, not as representatives of any organization, government, or industry. They are selected because of their expertise in human and environmental toxicology or because of their experience in the regulation of chemicals. Boards are chosen according to the range of expertise required for a meeting and the need for balanced geographic representation.

Board members, authors, reviewers, consultants, and advisers who participate in the preparation of a CICAD are required to declare any real or potential conflict of interest in relation to the subjects under discussion at any stage of the process. Representatives of nongovernmental organizations may be invited to observe the proceedings of the Final Review Board. Observers may participate in Board discussions only at the invitation of the Chairperson, and they may not participate in the final decision-making process.

1. EXECUTIVE SUMMARY

This CICAD on ethylene oxide was prepared jointly by the Environmental Health Directorate of Health Canada and the Commercial Chemicals Evaluation Branch of Environment Canada, based on documentation prepared as part of the Priority Substances Program under the *Canadian Environmental Protection Act* (CEPA). The objective of assessments on priority substances under CEPA is to assess potential effects of indirect exposure in the general environment on human health as well as environmental effects. Data identified as of the end of May 1998 (environmental effects) and August 1999 (human health effects) were considered in this review.¹ Information on the nature of the peer review and availability of the source document (Environment Canada & Health Canada, 2001) is presented in Appendix 2. Other reviews that were also consulted include ATSDR (1990), BUA (1995), IARC (1976, 1994), US EPA (1985), and an earlier EHC monograph on this chemical (IPCS, 1985). Information on the peer review of this CICAD is presented in Appendix 3. This CICAD was approved as an international assessment at a meeting of the Final Review Board, held in Monks Wood, United Kingdom, on 16-19 September 2002. Participants at the Final Review Board meeting are presented in Appendix 4. The International Chemical Safety Card for ethylene oxide (ICSC 0155), produced by the International Programme on Chemical Safety (IPCS, 1999), has also been reproduced in this document.

Ethylene oxide (CAS No. 75-21-8) is a colourless, highly reactive gas at room temperature and pressure. It has a high water solubility.

Production of ethylene oxide in the source country for this CICAD (i.e., Canada) in 1996 was 625 kilotonnes, 95% of which was used in the manufacture of ethylene glycol. An estimated 4% was used in the manufacture of surfactants. Ethylene oxide is also used as a sterilant for health care materials and other heat-sensitive products.

Most ethylene oxide is released to the atmosphere. Releases of ethylene oxide from natural sources, such as waterlogged soil, are expected to be negligible. In the

¹ Critical new information has been scoped to indicate its likely impact on the essential conclusions of this assessment, primarily to establish priority for its consideration in an update. This ensures appropriate consideration in the context of the complete identified database through the several stages of internal and external national review and subsequent international review. More recent information not critical to the hazard characterization or exposure-response analysis, considered by reviewers to add to informational content, has been added.

source country (Canada), anthropogenic sources, not including sterilization, released an estimated 23.0 tonnes, all to the atmosphere, in 1996. An estimated additional 3.0 tonnes/year were lost to the atmosphere in 1996 from the servicing of medical facilities using ethylene oxide in sterilization processes and commercial sterilization operations.

Release of ethylene oxide to the atmosphere is unlikely to result in transfer to other environmental compartments in significant quantities. Atmospheric half-lives based on reaction with photogenerated hydroxyl radicals range from 38 to 382 days. In the event of release or spill to water, ethylene oxide is expected to be susceptible to evaporation, hydrolysis, and aerobic and, to a lesser extent, anaerobic biodegradation. In water, the volatilization half-life is about 1 h, the hydrolysis half-life about 12-14 days, the aerobic biodegradation half-life 20 days to 6 months, and the anaerobic biodegradation half-life 4 months to 2 years. In soil, ethylene oxide is expected to volatilize rapidly. Hydrolysis half-lives for soil and groundwater are estimated to be between 10.5 and 11.9 days. Ethylene oxide is not expected to bioaccumulate on the basis of its very low log octanol/water partition coefficient (K_{ow}).

Ethylene oxide is rapidly taken up via the lungs, distributed, and metabolized to ethylene glycol and to glutathione conjugates. Ethylene oxide can be absorbed through the skin from the gas phase or from aqueous solutions and is uniformly distributed throughout the body. Ethylene oxide is an alkylating agent and forms protein and DNA adducts. Haemoglobin adducts have been used for biomonitoring.

The acute inhalation toxicity of ethylene oxide in rodents and dogs is low, with 4-h LC_{50} s generally being greater than 1500 mg/m³. Available data on the non-neoplastic effects of repeated exposure to ethylene oxide in studies are limited, with past focus being primarily on the carcinogenicity of the compound. Reported effects in studies in animals were restricted primarily to those on the haematological and nervous systems.

Based on studies primarily in occupationally exposed populations, ethylene oxide is an ocular, respiratory, and dermal irritant and a sensitizing agent. Neurological effects (primarily sensorimotor polyneuropathy) have been observed in workers exposed to relatively high concentrations and in animals exposed to levels greater than those at which increases in tumours have been reported.

The route of likely greatest exposure and focus of the human health assessment is inhalation from air. Based on studies in animals, cancer is considered the critical end-point for effects of ethylene oxide on human

health for long-term exposure of the general population. In inhalation studies, ethylene oxide has induced a wide range of tumours (e.g., leukaemia, lymphoma, brain, lung), with a strong likelihood that the mode of action involves direct interaction with genetic material, for which there is consistent and convincing evidence. While there is some evidence of an association between exposure to ethylene oxide and the development of haematological cancers in epidemiological studies of occupationally exposed populations, limitations of the data preclude definitive conclusions.

Ethylene oxide induces gene mutations at all phylogenetic levels tested *in vitro* and *in vivo*. It also induces germ cell mutations and clastogenic effects in experimental animals. There is consistent evidence that ethylene oxide has induced clastogenic changes in exposed workers.

In experimental animals, ethylene oxide is fetotoxic in the presence and absence of maternal toxicity at concentrations higher than those associated with cancer and other non-cancer (i.e., neurological) effects; it is teratogenic only at very high concentrations (above about 1600 mg/m³). Evidence from epidemiological studies of reproductive effects (primarily spontaneous abortions) of ethylene oxide in humans is limited. In experimental animals, among non-neoplastic effects, reproductive effects occur at lowest concentration (>90 mg/m³). These include reductions in litter size, increased post-implantation losses, alterations in sperm morphology, and changes in sperm count and motility.

Cancer is considered the critical end-point for quantification of exposure–response for risk characterization for ethylene oxide. The lowest concentration causing a 5% increase in tumour incidence above background (TC₀₅) from a study in rats or mice that had optimal characterization of exposure–response was 2.2 mg/m³ (unit risk = 0.05/2.2 mg/m³ = 0.023 per mg/m³) for the development of mononuclear leukaemias in female F344 rats exposed via inhalation to ethylene oxide; the lower 95% confidence limit was 1.5 mg/m³. Primarily as a basis for comparison with the tumorigenic potencies for cancer, the concentration associated with a 5% increase in the incidence of germ cell mutations (BMC₀₅) is also presented (46 mg/m³), although it is based on dominant visible mutations only and does not take into account other genetic end-points in live offspring. Similarly, tolerable concentrations based on observed neurological or reproductive effects would be in the range of tens of micrograms per cubic metre.

On this basis, predicted cancer risks in the vicinity of industrial point sources, based on limited modelling and monitoring data, are greater than 10⁻⁵.

Since ethylene oxide is expected to be present primarily in air, the potential for adverse effects is greatest for terrestrial organisms, for which available data are limited. The most significant end-point with the greatest potential to result in population-level effects in wildlife was the induction of adverse reproductive effects. Comparison of the worst-case average concentration in air with the estimated no-effects value indicates that it is unlikely that terrestrial organisms are exposed to harmful levels of ethylene oxide in air.

2. IDENTITY AND PHYSICAL/CHEMICAL PROPERTIES

Ethylene oxide (CAS registry number 75-21-8) is also known as diethylene oxide, E.O., epoxyethane, 1,2-epoxyethane, oxane, oxidoethane, and oxirane. The chemical structure of ethylene oxide is shown in Figure 1.

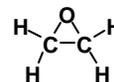


Fig. 1: Chemical structure of ethylene oxide

The molecular formula for ethylene oxide is C₂H₄O, and its relative molecular mass is 44.05. At room temperature (25 °C) and normal atmospheric pressure, ethylene oxide is a colourless, highly reactive, and flammable gas with a characteristic ethereal odour. It has a high vapour pressure (~146 kPa) and high water solubility (completely miscible). It is reactive in both the liquid and vapour phases (IPCS, 1985). Table 1 summarizes the physical and chemical properties of ethylene oxide. Additional properties are presented in ICSC 0155, which is reproduced in this document.

Table 1: Physical and chemical properties of ethylene oxide.

Property	Parameter	Reference
Boiling point (°C)	10.7	WHO (1985)
Vapour pressure (kPa)	66 (0°C)	Verschueren (1983)
	100 (10°C)	Keml (1995)
	146 (20°C)	
	208 (30°C)	
Henry's law constant (Pa·m ³ /mol)	14	BUA (1995)
	12.16	Conway et al. (1983)
	19.86	DMER & AEL (1996)
Sorption partition coefficient (log K _{oc})	1.204	Keml (1995)
Octanol/water partition coefficient (log K _{ow})	-0.22	WHO (1985)
	-0.30	
Solubility in water (g/litre)	infinitely soluble	WHO (1985)

The conversion factors¹ for ethylene oxide in air (at 20 °C and 101.3 kPa) are as follows:

$$1 \text{ ppm} = 1.83 \text{ mg/m}^3$$
$$1 \text{ mg/m}^3 = 0.55 \text{ ppm}$$

3. ANALYTICAL METHODS

The most common method for the identification of ethylene oxide in various media is gas chromatography (GC). GC with an electron capture detector (ECD) is often used to measure concentrations in workplace air. The sample is first adsorbed on hydrobromic acid-coated charcoal, desorbed with dimethylformamide, and then derivatized to 2-bromoethylheptafluorobutyrate for analysis. This method (NIOSH Method 1614) has an estimated detection limit of 1 µg ethylene oxide per sample (Eller, 1987a). The US Occupational Health and Safety Administration delineates a method with slight modification to sample collection: adsorption onto charcoal, desorption with a benzene:carbon disulfide solution, and conversion to 2-bromoethanol prior to analysis (Tucker & Arnold, 1984). NIOSH Method 3702 describes analysis with a portable gas chromatograph and a photoionization detector for ethylene oxide in workplace air. The sample is drawn directly into a syringe or collected as a bag sample and injected directly into the gas chromatograph. This method has an estimated detection limit of 2.5 pg/ml injection (Eller, 1987b). Passive samplers are also available for ethylene oxide sample collection.

Haemoglobin adducts of ethylene oxide (hydroxyethyl valine and hydroxyethyl histidine) have been determined by radioimmunological techniques, a modified Edman degradation procedure with GC/mass spectrometry (MS), GC with selective ion MS, and GC/ECD (IARC, 1994).

Ethylene oxide has been determined in emissions from production plants and commercial sterilizers by GC/flame ionization detection. GC and headspace GC have also been used to analyse ethylene oxide residues in sterilants, drugs, plastics, ethoxylated surfactants and

¹ In keeping with WHO policy, which is to provide measurements in SI units, all concentrations of gaseous chemicals in air will be given in SI units in the CICAD series. Where the original study or source document has provided concentrations in SI units, these will be cited here. Where the original study or source document has provided concentrations in volumetric units, conversions will be done using the conversion factors given here, assuming a temperature of 20 °C and a pressure of 101.3 kPa. Conversions are to no more than two significant digits.

demulsifiers, packaging materials, and processed food products (IARC, 1994).

4. SOURCES OF HUMAN AND ENVIRONMENTAL EXPOSURE

Data on production, sources, and emissions primarily from the source country of the national assessment on which the CICAD is based (i.e., Canada) are presented here as an example. Sources and patterns of emissions in other countries are expected to be similar, although quantitative values may vary.

4.1 Natural sources

Ethylene oxide is produced from a few natural sources. In certain plants, ethylene (a natural plant growth regulator) is degraded to ethylene oxide (Abeles & Dunn, 1985). It is also a product of ethylene catabolism in certain microorganisms (De Bont & Albers, 1976). Ethylene oxide can be generated from water-logged soil (Smith & Jackson, 1974; Jackson et al., 1978), manure, and sewage sludge (Wong et al., 1983). Quantitative estimates of production from these natural sources are not available, but emissions are expected to be negligible.

4.2 Anthropogenic sources

4.2.1 Production and use

Canadian production of ethylene oxide was estimated at 625 kilotonnes in 1996, and the forecasted total estimated for 1999 was 682 kilotonnes (CIS, 1997).

Virtually all of the ethylene oxide produced is used as an intermediate in the production of various chemicals (ATSDR, 1990). In 1993, 89% of the total Canadian production of ethylene oxide was used in the production of ethylene glycol (SRI, 1993); in 1996, 95% was used for this purpose (CIS, 1997). An estimated 4% (26 kilotonnes) is used in the manufacture of surfactants (CIS, 1997). Ethylene oxide, alone or in combination with other gases, such as carbon dioxide and nitrogen, is used to sterilize instruments from the health care, publication, and wood products sectors. Ethylene oxide is also used in other industries where heat-sensitive goods are sterilized (How-Grant, 1991; BUA, 1995) and in the manufacture of choline chloride, glycol ethers, and polyglycols (CIS, 1997). Other minor uses worldwide include its application in the manufacture of rocket propellant and petroleum demulsifiers (Lewis, 1993).

Ethylene oxide is used for the control of insects in stored products and for the control of bacteria in spices

and natural seasonings (J. Ballantine, personal communication, 1997). Ethylene oxide is also present as a formulant or component of a formulant in pest control products at concentrations up to 0.4%. The formulants include fungicides, insecticides, herbicides, and an adjuvant (J. Ballantine, personal communication, 1997).

4.2.2 Non-point sources

Non-point sources of release of ethylene oxide include its formation from fossil fuel combustion (US EPA, 1984) and presence in tobacco smoke (Howard, 1989). Neither source is expected to be significant (US EPA, 1984). Ethylene oxide is used as a component in the production of polyoxyethylene surfactants (Gaskin & Holloway, 1992). Ethylene oxide in this form is bound within the surfactant molecule, and any release is expected to be minimal. Similarly, ethylene oxide may be present in nonylphenol ethoxylate formulations at concentrations below 10 mg/litre (Talmage, 1994), and ethylene oxide may remain as a contaminant at 10 mg/kg in liquid detergents. A variety of other products, including paints and coatings, were reported to contain ethylene oxide at levels ranging from trace to <0.5%.

Ethylene oxide is used for the control of insect (i.e., fumigation) and microbial (i.e., sterilization) infestations (Agriculture and Agri-Food Canada, 1996; Health Canada, 1999a; S. Conviser, personal communication, 1999). Following fumigation, concentrations of ethylene oxide generally fall to negligible levels within a few hours (IARC, 1976).

4.2.3 Point sources

Gaseous and liquid forms of ethylene oxide can be released during production and use, as well as during the manufacture of ethylene glycol, ethoxylates, ethers, and ethanolamines (Howard, 1989). Ethylene oxide releases to the Canadian environment totalled 23.0 tonnes in 1996, with the reporting sectors being plastics and synthetics (0.24 tonnes), inorganic chemicals (6.1 tonnes), industrial organic chemicals (8.7 tonnes), and soap and cleaning compounds (8.0 tonnes) (NPRI, 1996). By 1997, emissions were reduced by 82% from the 1993 levels (ARET, 1999). An estimated additional 3.0 tonnes were lost to the atmosphere in 1996 from the servicing of medical facilities using ethylene oxide in sterilization processes and commercial sterilization operations.

Although sterilization is not a major use of ethylene oxide in terms of volumes consumed, it may be a very significant source of release to the environment (IPCS, 1985). Based on a survey of hospitals using ethylene oxide as a sterilizing agent, conducted in April 1994, the amount of ethylene oxide used as a sterilant was estimated to be 40 tonnes/year. Because many facilities now have improved control measures in place (Havlicek et

al., 1992; Canadian Hospital Association & Environment Canada, 1994) and because of the use of alternative equipment that does not involve the use of ethylene oxide (S. Smyth-Plewes, personal communication, 1998), the current volumes of ethylene oxide used and released may be significantly less than the 1994 estimates.

In an examination of the primary US sources of ethylene oxide releases, sterilization/fumigation sites, production/captive use, medical facilities, and ethoxylation accounted for 57%, 31%, 8%, and 4% of total emissions, respectively (Markwordt, 1985). In an early US study, it was estimated that <0.1% of ethylene oxide produced is used as a sterilizing agent or fumigant, yet this accounts for the majority of ethylene oxide released into the atmosphere (Markwordt, 1985). Similarly, Berkopec & Vidic (1996) reported that in Slovenia, emissions to the atmosphere during sterilization were higher than emissions from other processes, such as synthesis of glycols and other derivatives in the chemical industry, although the sterilization process accounts for only 2% of total ethylene oxide use. In Belgium, an estimated 0.07% of the total consumption of ethylene oxide was used in sterilization operations in health care and medical products industries (Wolfs et al, 1983).

In facilities that have recirculating-water vacuum pumps, there is practically no loss of ethylene oxide through the water drain (Meiners & Nicholson, 1988; US EPA, 1992, 1994). In those facilities that use once-through water-sealed vacuum pumps, some ethylene oxide dissolved in the water will be directed to a floor drain and will likely volatilize to the atmosphere at an outdoor ground-level drain near the facility or wastewater treatment facility (US EPA, 1992; WCB, 1994).

5. ENVIRONMENTAL TRANSPORT, DISTRIBUTION, AND TRANSFORMATION

Based on empirical data on fate, release of ethylene oxide to the atmosphere is unlikely to result in transfer to other environmental compartments in significant quantities. Reaction half-lives in the atmosphere may be significantly long (between 38 and 382 days). Although water solubility suggests that washout from the atmosphere by precipitation could be important, volatilization from the water is too rapid to suggest this to be a significant fate process. On the basis of a low log K_{ow} (-0.30), the potential for bioaccumulation of ethylene oxide is considered to be very low. As a result of its high water solubility and vapour pressure, ethylene oxide is not expected to bioaccumulate or accumulate in sediment or soil.

5.1 Air

The atmospheric half-lives for ethylene oxide following vapour-phase reactions with photochemically produced hydroxyl radicals, assuming an atmospheric concentration of 1×10^6 radicals/cm³, were estimated to be 120 days (Atkinson, 1986), 99 days (Lorenz & Zellner, 1984), 151 days (C. Zetzsch, personal communication, 1985, cited in Atkinson, 1986), and between 38 and 382 days (Howard et al., 1991).

The theoretical atmospheric lifetimes (approximately 1.43×10^4 h) for ethylene oxide were estimated at ~200 days (Bunce, 1996) and 330 days (Winer et al., 1987) and were calculated based on the reaction with hydroxyl radicals at a concentration of 8.0×10^5 and 1.0×10^6 radicals/cm³, respectively. Such lifetimes are expected to be long enough to allow a very small percentage of the amount emitted to reach the stratosphere (Bunce, 1996).

Ethylene oxide has a very high water solubility (completely miscible), which would suggest that some washout via precipitation can be expected; however, its high vapour pressure (~146 kPa) and rapid volatilization rate may limit the effectiveness of this process. An examination of the effect of atmospheric precipitation was conducted in a laboratory setting (Winer et al., 1987), resulting in evidence that washout has little impact on reducing atmospheric concentrations.

5.2 Water

Ethylene oxide is expected to undergo numerous fate processes in water, including evaporation, hydrolysis, and aerobic and anaerobic degradation. The reported experimental aquatic half-life for evaporation of ethylene oxide in water is 1 h with no wind and 0.8 h with a 5 m/s wind (Conway et al., 1983). Ethylene oxide degrades in water by hydrolysis and other nucleophilic reactions (US EPA, 1985). Ethylene oxide is hydrolysed in fresh water to ethylene glycol and in salt water to ethylene glycol and ethylene chlorohydrin. The half-life was estimated experimentally to be 12–14 days for hydrolysis at pH 5–7 in fresh water and 9–11 days for hydrolysis in salt water (Conway et al., 1983). The aqueous aerobic biodegradation half-life of ethylene oxide was approximately 20 days from a lightly seeded biological oxygen demand (BOD) test, and the rate in a biological waste treatment system is expected to be much faster (Conway et al., 1983). Based on the BOD test results of Bridié et al. (1979a) and Conway et al. (1983), Howard et al. (1991) estimated the unacclimated aqueous biodegradation half-life to be from 1 to 6 months. The aqueous anaerobic half-life, based on the estimated aerobic biodegradation half-life, is 4–24 months (Howard et al., 1991). The 5-day BOD was

3% of the theoretical oxygen demand of 1.82 g/g (Bridié et al., 1979a).

5.3 Soil and sediment

Ethylene oxide is miscible in water and poorly adsorbed to soil; however, owing to its high vapour pressure (146 kPa), a spill of ethylene oxide to soil will result in most volatilizing to the atmosphere, with only a small fraction infiltrating the soil. Evaporation will continue within the soil, but at a reduced rate (Environment Canada, 1985). Dilution with water will reduce the velocity at which the ethylene oxide moves downward and at the same time diminish the vapour pressure and reduce the rate of evaporation. Upon reaching the groundwater table, ethylene oxide will move in the direction of groundwater flow. The half-lives for hydrolysis in groundwater and soil are estimated to be between 10.5 and 11.9 days, based on measured rate constants at pH 5, 7, and 9 (Mabey & Mill, 1978; Howard et al., 1991). In general, volatilization is the primary removal mechanism, but ethylene oxide is expected to hydrolyse and be biodegraded relatively rapidly in most soils.

Information on the environmental fate of ethylene oxide in sediment has not been identified. Because of its physical and chemical properties, ethylene oxide is not expected to be sorbed by sediment or soil.

5.4 Biota

Reported levels of ethylene oxide in environmental biota have not been identified. On the basis of a low log K_{ow} (–0.30), the potential for bioaccumulation of ethylene oxide is expected to be very low (Verschuere, 1983; Howard, 1989).

5.5 Environmental partitioning

Fugacity modelling was conducted to characterize key reaction, intercompartment, and advection (movement out of a system) pathways for ethylene oxide and its overall distribution in the environment in the source country (Canada). A steady-state, non-equilibrium model (Level III fugacity model) was run using the methods developed by Mackay (1991) and Mackay & Paterson (1991). All physical and chemical property input values were selected from a compilation of literature values based on criteria for integrity (for details, see DMER & AEL, 1996).

Based on the ChemCAN Level III fugacity model, which depicts a mixedwood plain region of a densely populated area of southern Ontario, it is estimated that ethylene oxide will have an overall persistence of 3 days in that region from a reaction persistence estimated at 70 days. Owing to its short overall persistence, higher

ethylene oxide concentrations will likely be centralized in areas close to discharges. Based on the 1993 release volume of 53 200 kg to the atmosphere in southern Ontario (NPRI, 1993), the average steady-state levels in the southern Ontario region are estimated to be 1.02 ng/m³ in air (344 kg), 0.067 ng/litre in water (99.0 kg), 6.03×10^{-5} ng/g in soil (0.858 kg), and 3.27×10^{-5} ng/g in sediment (0.034 kg). Bioaccumulation is not expected (DMER & AEL, 1996).

The concentrations of ethylene oxide predicted above are based on the assumption that air entering southern Ontario from neighbouring regions contains no ethylene oxide. Estimates of concentrations of ethylene oxide in air in the 48 contiguous states of the USA, derived from atmospheric dispersion modelling and US emission inventories, are available (Woodruff et al., 1998). Mean concentrations predicted for 1990 in Michigan and New York, which border southern Ontario, were 4.9 ng/m³ and 5.9 ng/m³, respectively. When the average of these concentrations was assumed for the concentration of ethylene oxide in air advected into southern Ontario, the concentrations predicted by the ChemCAN model increased approximately 6-fold, to 6.2 ng/m³ in air, 0.4 ng/litre in water, 3.7×10^{-4} ng/g in soil, and 2.0×10^{-4} ng/g in sediment. Concentrations predicted in the ChemCAN auxiliary compartments of terrestrial animals and terrestrial plants were 4.3×10^{-5} ng/g and 1.4×10^{-3} ng/g, respectively, when this additional advective input was included in the fugacity modelling (Health Canada, 1999a).

6. ENVIRONMENTAL LEVELS AND HUMAN EXPOSURE

Data on concentrations in the environment from the source country of the national assessment on which the CICAD is based (i.e., Canada) are presented here as a basis for the sample risk characterization. Patterns of exposure in other countries are expected to be similar, although quantitative values may vary.

6.1 Environmental levels

6.1.1 Ambient air

Data on concentrations of ethylene oxide in emissions or ambient air are very limited.

Ethylene oxide was detected at concentrations of 3.7, 3.9, and 4.9 µg/m³ in 3 of 50 24-h samples of air collected outside of randomly selected residences during a multimedia exposure study conducted in Canada (Health Canada, 1999a). The censored mean value was

0.34 µg/m³ when a concentration equivalent to one-half the limit of detection (i.e., $\frac{1}{2} \times 0.19 \mu\text{g}/\text{m}^3 = 0.095 \mu\text{g}/\text{m}^3$) was assumed for the 47 samples in which ethylene oxide was not detected. Ethylene oxide was detected at 3 (or 33%) of 9 locations in Alberta, but at none of the 35 locations in Ontario or the 6 locations in Nova Scotia during this study (Health Canada, 1999a).

Based on data on 1993 air quality modelling predictions from a Canadian production facility, (Environment Canada, 1997), it was estimated that 1-h average ground-level concentrations of ethylene oxide would exceed 12 µg/m³ for a total of 17 h a year in the immediate vicinity of the plant. Predicted maximum 1-h average ground-level concentrations ranged from 3.7 to 20.1 µg/m³ at distances of 5 km and 2.7 km from the plant, respectively. No measurements were available to validate these predictions.

Estimated maximum average daily concentrations of ethylene oxide in the vicinity of Canadian hospitals were 0.26, 0.83, 1.3, and 2.12 µg/m³ between 100 and 70 m from the emission source and from stack heights of 30 m, 18 m, 15 m, and 12 m, respectively (Environment Canada, 1999). Concentrations closer to or farther from the source are predicted to be less. The estimate was based on the US EPA "SCREEN3" Gaussian plume model, which incorporates source-related and meteorological factors to estimate pollutant concentrations from continuous sources. The model assumes that the pollutant does not undergo any chemical reactions and that no other removal processes, such as wet or dry deposition, act on the plume during its transport from the source (for input parameters, see US EPA, 1995).

In an assessment of emissions and concentrations of ethylene oxide throughout California, USA, mean 24-h ambient air concentrations sampled in Los Angeles ranged from 0.038 to 955.7 µg/m³ ($n = 128$) (Havlicek et al., 1992). The authors reported that heavy usage of ethylene oxide within the Los Angeles basin coupled with restricted airflow out of the basin likely led to the large range of concentrations. There was a high degree of local variability that would be consistent with the release of ethylene oxide during a sterilization cycle. Concentrations in air sampled in northern California ranged from 0.032 to 0.40 µg/m³ ($n = 36$). At remote coastal locations in California, concentrations ranged from 0.029 to 0.36 µg/m³ ($n = 22$). The authors cautioned that it was not possible to draw definitive conclusions regarding the spatial and temporal distribution of ethylene oxide based on the samples collected. Levels were highly variable, especially in urban areas, with 100-fold shifts in airborne concentrations over a few minutes.

Peak short-term and long-term ambient concentrations of ethylene oxide as a result of emissions from four sterilization facilities in Duval County, Florida, USA, were estimated based on the US EPA SCREEN and Industrial Source Complex Short-Term dispersion models (Tutt & Tilley, 1993). These included a commercial spice fumigation facility (with estimated annual emissions of 1959.5 kg ethylene oxide) and three hospitals of decreasing emission profiles (i.e., from 210.9 to 2.1 kg/year). The predicted maximum average annual concentrations from the two highest emitters were $11 \mu\text{g}/\text{m}^3$ for a sterilization facility in Florida and $2 \mu\text{g}/\text{m}^3$ in the vicinity of a hospital in Florida, both at a distance of 32 m from their respective point sources.

6.1.2 Indoor air

Ethylene oxide was detected at a concentration of $4 \mu\text{g}/\text{m}^3$ in only 1 of 50 24-h samples of air collected inside randomly selected residences during a multimedia exposure study conducted in Canada (Health Canada, 1999a). The censored mean value was $0.17 \mu\text{g}/\text{m}^3$ when a concentration equivalent to one-half the limit of detection (i.e., $\frac{1}{2} \times 0.19 \mu\text{g}/\text{m}^3 = 0.095 \mu\text{g}/\text{m}^3$) was assumed for the 49 samples in which ethylene oxide was not detected. Ethylene oxide was detected at concentrations of $5 \mu\text{g}/\text{m}^3$ in 3 of 24 personal air samples collected from an occupant of each of the 50 residences (Conor Pacific Environmental, 1998).

6.1.3 Water, sediment and soil, and biota

Data on concentrations of ethylene oxide in drinking-water, surface water, groundwater, sediment, soil, or biota were not identified.

6.1.4 Food

Ethylene oxide was detected in 96 (or 47%) of 204 samples of food products taken from retail stores in Denmark in 1985 (Jensen, 1988). The reported concentrations reflect the total amount of ethylene chlorohydrin and ethylene oxide present at the time of analysis. These concentrations ranged from <0.05 to $1800 \mu\text{g}/\text{g}$ in the individual samples, without correction for recoveries. Ethylene oxide was detected frequently among 24 samples of spices (Jensen, 1988), at a mean concentration of $84 \mu\text{g}/\text{g}$ and a maximum concentration of $580 \mu\text{g}/\text{g}$.

Ethylene oxide was detected, but not quantified, in 1 of 2372 samples of eggs and in 1 of 3262 samples of fish collected in the USA in 1975 (Duggan et al., 1983).

6.1.5 Consumer products

Ethylene oxide may be present in tobacco as a result of its use as a fumigant and sterilizing agent (ATSDR, 1990). It has been detected in smoke from fumigated and

unfumigated tobacco at levels of $0.3 \mu\text{g}/\text{ml}$ and $0.02 \mu\text{g}/\text{ml}$, respectively (Binder, 1974).

Ethylene oxide may also be present as a contaminant of skin care products. Current commercial preparations of polyglycol ethers may contain residues of ethylene oxide monomer up to approximately $1 \mu\text{g}/\text{g}$, according to a European study (Filser et al., 1994). Kreuzer (1992) reported concentrations of ethylene oxide monomer in skin care products ranging from 1.9 to $34 \text{ nmol}/\text{cm}^3$ (0.08 – $1.5 \text{ mg}/\text{litre}$) and a range of maximum skin penetration of ethylene oxide of 1.0–14% in various product formulations.

6.1.6 Medical devices

Ethylene oxide is the most common agent currently used for sterilizing disposable dialysers, blood tubing, and heat-sensitive medical items (Henne et al., 1984; Babich, 1985). Ethylene oxide may be absorbed by medical equipment during sterilization and may remain there as the unchanged compound or as one of its reaction products (IPCS, 1985). Concentrations of residual ethylene oxide in medical devices immediately following their sterilization have ranged up to 1 or 2% (Gillespie et al., 1979; Gilding et al., 1980). These concentrations generally declined rapidly after a few days' aeration, although levels exceeding $180 \text{ mg}/\text{m}^3$ were sometimes measured following aeration.

6.2 Human exposure: environmental

The focus of this section and the basis for risk characterization is exposure in air, for which there are at least some data as a basis to estimate exposure. This is justified on the basis that most ethylene oxide is released to air and is unlikely to be transferred to other media. Moreover, ethylene oxide is not expected to accumulate in sediment or soil or to bioaccumulate, as a result of its high water solubility and vapour pressure.

The concentration of ethylene oxide predicted for ambient air (i.e., $6.2 \times 10^{-3} \mu\text{g}/\text{m}^3$) by ChemCAN fugacity modelling, assuming that air entering southern Ontario from the USA contains ethylene oxide at a concentration of $5.4 \times 10^{-3} \mu\text{g}/\text{m}^3$, was considered the basis for the minimum estimate of exposure via inhalation. Censored mean concentrations of ethylene oxide in outdoor and indoor air (i.e., $0.34 \mu\text{g}/\text{m}^3$ and $0.17 \mu\text{g}/\text{m}^3$, respectively), derived from the multimedia exposure study, were considered to represent the maximum concentrations to which the general population is exposed daily outdoors and indoors, respectively. Upper-bounding estimates of exposure via inhalation for the general population in Canada were based upon the maximum concentrations of ethylene oxide in outdoor and indoor air (i.e., $4.9 \mu\text{g}/\text{m}^3$ and $4.0 \mu\text{g}/\text{m}^3$, respectively) reported from the multimedia exposure study

(Conor Pacific Environmental, 1998). Mean concentrations in ambient air sampled in Los Angeles, California, ranged from 0.038 to 955.7 $\mu\text{g}/\text{m}^3$ (Havlicek et al, 1992).

Exposure to ethylene oxide in ambient air may be substantially higher for populations residing in the vicinity of point sources. An ethylene oxide concentration of 2 $\mu\text{g}/\text{m}^3$ was predicted for outdoor air in close proximity to hospitals in Canada (Environment Canada, 1999) and Florida (Tutt & Tilley, 1993). A concentration of 11 μg ethylene oxide/ m^3 was predicted for outdoor air in close proximity to a sterilization facility in Florida (Tutt & Tilley, 1993). A maximum 1-h concentration of 20.1 μg ethylene oxide/ m^3 was predicted for outdoor air near a production facility for ethylene glycol in Alberta (Environment Canada, 1997).

Limitations of the data preclude development of meaningful probabilistic estimates of exposure of the general population to ethylene oxide in air.

6.3 Human exposure: occupational

Workers may be exposed to ethylene oxide during its production or use in the manufacture of other chemicals. Because ethylene oxide is highly explosive and reactive, the processing equipment generally consists of tightly closed and highly automated systems, which limit occupational exposure. Exposures occur primarily during the loading or unloading of transport tanks, product sampling procedures, and equipment maintenance and repair (CHIP, 1982). The Toxic Chemical Release Inventory listed 197 industrial facilities that produced, processed, or otherwise used ethylene oxide in 1988 (US EPA, 1990).

Industrial workers may also be exposed to ethylene oxide during sterilization of a variety of products, such as medical equipment and products (surgical products, single-use medical devices, etc.), disposable health care products, pharmaceutical and veterinary products, spices, and animal feed. Although much smaller amounts of ethylene oxide are used in sterilizing medical instruments and supplies in hospitals and for the fumigation of spices, it is during these uses that the highest occupational exposure levels have been measured (IARC, 1994). There was a wide range in reported concentrations (from 0 to about 1500 mg/m^3), depending on operation, conditions, and duration of sampling for workers in US hospitals where ethylene oxide is used as a gaseous sterilant for heat-sensitive medical items, surgical instruments, and other objects and fluids coming in contact with biological tissues. Based on a limited field survey of hospitals, it was reported that concentrations of ethylene oxide near malfunctioning or improperly designed equipment may reach transitory levels of

hundreds or even a few thousand milligrams per cubic metre, but time-weighted average (TWA) ambient and breathing zone concentrations were generally below about 90 mg/m^3 (CHIP, 1982).

7. COMPARATIVE KINETICS AND METABOLISM IN LABORATORY ANIMALS AND HUMANS

Information on the kinetics and metabolism of ethylene oxide has been derived primarily from studies conducted with laboratory animals exposed via inhalation, although some limited data from humans have been identified.

Ethylene oxide is very soluble in blood, and pulmonary uptake is expected to be rapid, dependent only upon the alveolar ventilation rate and concentration in the inspired air (IPCS, 1985). There is a lack of quantitative data on the absorption of ethylene oxide in various species; however, studies have revealed that ethylene oxide is absorbed rapidly through the respiratory tract in rats (Filser & Bolt, 1984; Koga et al., 1987; Tardif et al., 1987), mice (Ehrenberg et al., 1974; Tardif et al., 1987), and rabbits (Tardif et al., 1987). Ehrenberg et al. (1974) estimated that close to 100% of the inhaled dose of ethylene oxide was absorbed by mice exposed for 1–2 h to average concentrations between 2 and 55 mg/m^3 .

Quantitative information on the absorption of ethylene oxide in laboratory animals following ingestion or dermal exposure was not identified.

Ethylene oxide and its metabolites are rapidly distributed throughout the body. In the study performed by Ehrenberg et al. (1974) with mice exposed to [^{14}C]ethylene oxide at concentrations of 2–55 mg/m^3 for between 1 and 2 h, the greatest amount of radioactivity was detected in the liver, kidneys, and lungs, with smaller amounts in the spleen, testes, and brain. In rats exposed to [^{14}C]ethylene oxide vapour at concentrations of 18.3, 183, or 1830 mg/m^3 for 6 h (estimated mean absorbed doses of 2.7, 20.2, and 106.8 mg/kg body weight, respectively), the greatest amounts of radioactivity were found in the urinary bladder, liver, packed blood cells, and adrenal glands; the lowest levels were in fat (Tyler & McKelvey, 1982).

Ehrenberg et al. (1974) reported that in mice, about 78% of an inhaled dose was eliminated in the urine within 48 h, with the majority excreted within the first 24 h. Tyler & McKelvey (1982) observed that at all exposure levels tested, the primary route of

[¹⁴C]ethylene oxide elimination in rats following inhalation exposure was in the urine (mean value of 59% recovered radioactivity), with lesser amounts expired as carbon dioxide (12%) and ethylene oxide (1%) or eliminated in the faeces (4.5%). After a single dose of 2 mg [¹⁴C]ethylene oxide/kg body weight (in propanediol) was administered intraperitoneally to rats, 43% of the radioactivity was excreted in the urine within 50 h, most (approximately 40%) appearing within the first 18 h following injection (Jones & Wells, 1981); 9% was identified as *S*-(2-hydroxyethyl)cysteine, and 33% was identified as *N*-acetyl-*S*-(2-hydroxyethyl)cysteine (both products of glutathione conjugation). In addition, 1.5% was exhaled via the lungs as carbon dioxide, and 1% was exhaled as unmetabolized ethylene oxide.

Brown et al. (1996) examined the distribution and elimination of ethylene oxide in rats and mice following inhalation exposure. Loss of ethylene oxide from the blood (and other tissues) was approximately 3- to 4-fold faster in mice than in rats. Following exposure, similar levels of ethylene oxide were measured in the brain, blood, and muscle within each species. However, concentrations in the testes of rats were 20% of those in other tissues; in mice, levels in the testes were 50% of those measured in other tissues.

In animals and humans, there are two routes of ethylene oxide catabolism, both of which are considered to be detoxification pathways. The first involves hydrolysis to ethylene glycol, with subsequent conversion to oxalic acid, formic acid, and carbon dioxide. The second involves conjugation with glutathione, with subsequent metabolic steps yielding *S*-(2-hydroxyethyl)cysteine [*S*-(2-carboxymethyl)cysteine] and *N*-acetylated derivatives (i.e., *N*-acetyl-*S*-(2-hydroxyethyl)cysteine [and *N*-acetyl-*S*-(2-carboxymethyl)cysteine]) (Wolfs et al., 1983; IPCS, 1985; ATSDR, 1990; Popp et al., 1994). Based upon available data, the route involving conjugation with glutathione appears to predominate in rats and mice; in larger species (rabbits, dogs), the conversion of ethylene oxide is primarily via hydrolysis through ethylene glycol (Jones & Wells, 1981; Martis et al., 1982; Gérin & Tardif, 1986; Tardif et al., 1987; Brown et al., 1996). Ethylene oxide may also be formed from the metabolism of ethylene (IARC, 1994).

A physiologically based pharmacokinetic (PBPK) model for the dosimetry of inhaled ethylene oxide was first developed for rats and included binding of ethylene oxide to haemoglobin and DNA in addition to tissue distribution, metabolic pathways (i.e., hydrolysis by epoxide hydrolase and conjugation by glutathione-*S*-transferase), and depletion of hepatic and extra-hepatic glutathione (Krishnan et al., 1992). The model was then refined and extended to mice and humans (Fennell & Brown, 2001). Simulations indicate that in mice, rats, and humans, about 80%, 60%, and 20%, respectively,

would be metabolized via glutathione conjugation (Fennell & Brown, 2001).

This is consistent with observed levels of theta-class glutathione *S*-transferase (GSTT1) enzyme activity in the order mice > rats > humans.¹ In rats and mice, GSTT1 activity was highest in the liver, followed by the kidney and testes. Rat brain and rat and mouse lung contained small amounts of activity compared with other tissues (enzyme activity in mouse brain was not examined).² Ethylene oxide is a substrate for the human GSTT1 enzyme (Hallier et al., 1993; Pemble et al., 1994; Hayes & Pulford, 1995).

Ethylene oxide is an electrophilic agent that alkylates nucleophilic groups in biological macromolecules, including DNA and proteins. In haemoglobin, for example, adducts can be formed at cysteine residues, *N*-terminal valine, as well as *N*^ε- and *N*^δ-histidine (Segerbäck, 1990). Since ethylene oxide is formed during the metabolism of ethylene, a natural body constituent, endogenous as well as exogenous sources of ethylene and ethylene oxide contribute to background alkylation of proteins such as haemoglobin and albumin, as well as DNA (Bolt, 1996). *N*-(2-Hydroxyethyl)valine (HEVal) and hydroxyethylhistidine (HEHis) adducts have been frequently monitored in tissues of workers exposed to ethylene oxide in occupational settings (see IARC, 1994). Background levels of HEVal in non-smokers ranged from 9 to 188 pmol/g globin (Törnqvist et al., 1986, 1989; Bailey et al., 1988; Hagmar et al., 1991; Sarto et al., 1991; Bates et al., 1991, 1992; van Sittert et al., 1993; van Sittert & van Vliet, 1994; Farmer et al., 1996; Granath et al., 1996). Ethylene oxide binding to DNA results primarily in the formation of 7-(2-hydroxyethyl)guanine (7-HEGua) (Föst et al., 1989; Li et al., 1992); other adducts have also been identified at much lower levels. In DNA extracted from the lymphocytes of unexposed individuals, mean background levels of 7-HEGua ranged from 2 to 8.5 pmol/mg DNA (Föst et al., 1989; Bolt et al., 1997). Although these levels were similar to those measured in rodents not exposed to ethylene oxide (Föst et al., 1989; Walker et al., 1992), Wu et al. (1999a), using a more sensitive technique, reported that human tissue contains 10- to 15-fold higher levels of endogenous 7-HEGua than rodent tissue.

Studies of smokers exposed to ethylene oxide in cigarette smoke (Fennell et al., 2000) and occupationally exposed workers (Yong et al., 2001) have revealed

¹ Although sites at which tumours occur in rats and mice vary, carcinogenic potency is generally greater in rats than in mice.

² These results are consistent with the observation of tumours in mouse lung and rat brain, if GSTT1 activity is the critical determinant, but not with the lack of observation of tumours in rat lung.

higher levels of haemoglobin HEVal adducts among individuals with a GSTT1 “null genotype” (i.e., homozygous deletion of GSTT1 gene) than among those with a GSTT1 “positive genotype” (i.e., having at least one copy of the GSTT1 gene). In mice, half-lives for the removal of 7-HEGua in DNA from a variety of tissues (brain, lung, spleen, liver, and testes) were 1.5- to 3.9-fold lower than in rats (Walker et al., 1992). In both rats and mice, substantive depletion of glutathione pools has been observed following single exposure to high levels (i.e., 550 mg/m³) of ethylene oxide (McKelvey & Zemaitis, 1986; Brown et al., 1998), although it should be noted that increases in tumour incidence have been observed at lower concentrations. Reports on two PBPK models for ethylene oxide in rodents and humans have recently appeared (Csanády et al., 2000; Fennell & Brown, 2001). The models for rats, mice, and humans are qualitatively similar in their elements and provide for interspecies comparisons of internal ethylene oxide dose. The models are consistent with the conclusion that ethylene oxide is acting as a direct-acting alkylating agent in humans and rodents. Quantitative differences in response in biomarkers of exposure and effect are accounted for by differences in basic physiology between rodents and humans, rather than by factors suggesting a different mode of action.

8. EFFECTS ON LABORATORY MAMMALS AND *IN VITRO* TEST SYSTEMS

8.1 Single exposure

Ethylene oxide is of low acute toxicity following inhalation, with 4-h LC₅₀s of about 2700, 1500, and 1800 mg/m³ for rats, mice, and dogs, respectively (Jacobson et al., 1956). LD₅₀s for ethylene oxide administered orally (in water) were 330 mg/kg body weight for male rats, 280 and 365 mg/kg body weight for female and male mice, respectively, and 270 mg/kg body weight for guinea-pigs (both sexes) (Smyth et al., 1941; Woodard & Woodard, 1971).

The lungs (oedema, congestion, haemorrhage) and nervous system (convulsions, prostration) are the principal organs affected following inhalation exposure to acutely toxic levels of ethylene oxide.

8.2 Short- and medium-term exposure

Available data on the repeated-dose toxicity of ethylene oxide are limited, being restricted primarily to inhalation studies in which animals were exposed to single concentrations.

Mortality was increased following the inhalation exposure of rats, mice, guinea-pigs, rabbits, and monkeys to concentrations of ethylene oxide ranging from about 730 to 1500 mg/m³ for 10 days to 8 weeks (Hollingsworth et al., 1956; Jacobson et al., 1956; Snellings, 1982; NTP, 1987). In rats exposed to ethylene oxide at concentrations between 180 and 915 mg/m³ for several weeks, there were haematological effects, changes in clinical chemistry, as well as histopathological alterations in various tissues (Jacobson et al., 1956; Snellings, 1982; Mori et al., 1990). Effects in mice exposed to ethylene oxide at 810 mg/m³ for 3 weeks included reduced body weight gain, poor coordination of the hindquarters, irregular breathing, convulsions, and red urine. In both rats and mice, body weight gain was reduced following repeated exposure to levels of ethylene oxide as low as 90 mg/m³ for approximately 7 weeks (Snellings, 1982).

Reductions in haemoglobin concentration, haematocrit, and red blood cell counts, accompanied by increases in reticulocytes, were observed in rats exposed to 915 mg ethylene oxide/m³ for 13 weeks (Fujishiro et al., 1990; Mori et al., 1990). This exposure regimen also produced declines in the activities of glutathione reductase and creatine kinase in blood and various tissues (Katoh et al., 1988, 1989; Matsuoka et al., 1990; Mori et al., 1990; Fujishiro et al., 1991), as well as increased hepatic lipid peroxidation (Katoh et al., 1988, 1989). Other effects observed in rats following medium-term exposure to ethylene oxide at concentrations ranging from 370 to 915 mg/m³ included those on the nervous system (Hollingsworth et al., 1956; Ohnishi et al., 1985, 1986; Matsuoka et al., 1990; Mori et al., 1990), disturbances in hepatic porphyrin-haem metabolism (Fujishiro et al., 1990), and histopathological changes in the testes, kidneys, and lungs (Hollingsworth et al., 1956). Effects in mice exposed to ethylene oxide are similar to those in rats (Snellings et al., 1984a; Popp et al., 1986); renal tubular degeneration was observed at concentrations as low as 183 mg/m³ (NTP, 1987). Exposure to concentrations as low as 86 mg/m³ reduced locomotor activity (Snellings et al., 1984a).

No differences in haematological parameters (red or white blood cell counts, haematocrit, haemoglobin, or white cell differential) were observed in rabbits exposed to 458 mg ethylene oxide/m³ for 12 weeks, compared with unexposed controls (Yager & Benz, 1982).

In the only short- or medium-term study identified on the oral toxicity of ethylene oxide, there was a loss of body weight, gastric irritation, and slight liver damage following exposure of rats to 100 mg ethylene oxide/kg body weight, 5 times/week, for a total of 15 doses in 21 days (Hollingsworth et al., 1956).

8.3 Long-term exposure and carcinogenicity

8.3.1 Chronic toxicity

Non-neoplastic effects associated with long-term exposure to ethylene oxide have not been investigated extensively, most studies having focused on the carcinogenicity of this substance. In several investigations conducted with rats exposed to ethylene oxide for 2 years, significant reductions in body weight gain at concentrations as low as 60.4 mg/m³ and decreased survival time at exposures of 92 mg/m³ have been observed (Lynch et al., 1984a,b; Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986). Additional non-neoplastic effects observed at exposures of 92 mg ethylene oxide/m³ include increased levels of aspartate aminotransferase in serum, reduced absolute kidney and adrenal weights, an increased incidence of inflammatory lesions in the lungs, nasal cavity, trachea, and internal ear, proliferative and degenerative lesions in the adrenal cortex, increased splenic extramedullary haematopoiesis, as well as an increased incidence of multifocal mineralization of the posterior layers of the choroid/sclera portion of the eye (Lynch et al., 1984a,b). Skeletal muscular atrophy (in the absence of sciatic nerve neuropathy) was noted following exposure to 183 mg ethylene oxide/m³ (Lynch et al., 1984a,b).

No exposure-related effects upon survival, body weight gain, clinical signs, or other non-neoplastic endpoints (examined in a wide range of tissues) were observed in B6C3F₁ mice exposed to 92 or 183 mg ethylene oxide/m³ for 2 years (NTP, 1987).

Monkeys exposed for 2 years to 92 mg ethylene oxide/m³ developed axonal dystrophy in the nucleus gracilis of the medulla oblongata of the brain, along with demyelination in the distal portion of the fasciculus gracilis (Sprinz et al., 1982; Lynch et al., 1984b). Decreased nerve conduction velocity was observed in only 2 of 12 monkeys exposed to 183 mg/m³. Weight gain was significantly reduced following exposure to 183 mg ethylene oxide/m³ (Lynch et al., 1984a,b; Setzer et al., 1996). Lynch et al. (1992) indicated subsequently that in animals exposed to 0, 92, or 183 mg ethylene oxide/m³, the incidence of lens opacities was 0/12, 2/11, and 3/11, respectively, when assessed during the last month of exposure, or 2/4, 2/3, and 4/4, respectively, when assessed 10 years after the cessation of exposure.

8.3.2 Carcinogenicity

Substance-related increases in a variety of tumour types have been observed in rodents exposed to ethylene oxide. Descriptions of study protocols and results (including incidence of tumours) are presented in Table 2 (rats) and Table 3 (mice). In two studies, inhalation

exposure increased the incidence of mononuclear cell leukaemia¹ and gliomas of the brain in F344 rats of both sexes and of peritoneal mesotheliomas in male rats. In mice, increased incidences of alveolar/bronchiolar adenomas or carcinomas and Harderian gland papillary cystadenomas were observed in both sexes, while the incidences of malignant lymphomas, uterine and mammary gland adenocarcinomas, and mammary gland adenocarcinomas or adenosquamous carcinomas (combined) were increased in females. An increase in squamous cell carcinomas of the forestomach was observed in female rats following the administration (by gavage) of ethylene oxide; the subcutaneous injection of ethylene oxide to female mice induced local fibrosarcomas.

In male Fischer 344 rats exposed to 0, 92, or 183 mg ethylene oxide/m³, the incidence of mononuclear cell leukaemia was increased, notably in the low exposure group (Lynch et al., 1984a,b). The incidence of peritoneal mesotheliomas and mixed cell gliomas in brain tissue was increased in an exposure-related fashion.

Results were similar when groups (*n* = 120 per sex) of male and female Fischer 344 rats were exposed to 0, 18.3, 60.4, or 183 mg ethylene oxide/m³ (Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986). Trend analysis of the incidence of mononuclear cell leukaemia revealed a significant association for both sexes, although the increase was clearly concentration related only in females and was significantly different from the control group in females at the highest concentration only (Snellings et al., 1984b). Among males, trend analysis of the incidence of peritoneal mesotheliomas indicated a relationship between exposure to ethylene oxide and tumour induction after adjustment for mortality (Snellings et al., 1984b). Concentration-related increases in primary brain tumours (gliomas, malignant reticulososes, and granular cell tumours) were observed in both sexes (Garman et al., 1985; Garman & Snellings, 1986). The incidence of subcutaneous fibroma (15/58) was significantly increased in male rats in the highest exposure group (i.e., 183 mg/m³) (Snellings et al., 1984b). The increase in the incidence of mononuclear leukaemia, mesothelioma, and brain tumours in these animals occurred during the later stages of this study (i.e., after about 20–24 months of exposure to ethylene oxide) (Snellings et al., 1984b; Golberg, 1986).

In male and female B6C3F₁ mice exposed to 0, 92, or 183 mg ethylene oxide/m³, there was a significant concentration-related increase in the incidence of alveolar/bronchiolar carcinomas and papillary

¹ Mononuclear cell leukaemias are a common spontaneous tumour in F344 rats. The exact etiology of this tumour type, including cell of origin, has not been definitively identified.

Table 2: Incidence of tumours in Fischer 344 rats exposed to ethylene oxide by inhalation.

Sex, n, exposure pattern	Exposure (ppm) ^a	Incidence of tumours ^b				Reference; comments		
		Mononuclear cell leukaemia		Peritoneal mesothelioma	Brain tumours ^c			
Male, 80/group, 7 h/day, 5 days/week, 104 weeks	0	24/77		3/78	0/76		Lynch et al., 1984a,b; trend significant for mesothelioma	
	50	38/79*		9/79	2/77			
	100	30/76		21/79**	5/79*			
Male and female, 120/group, 6 h/day, 5 days/week, 104 weeks	0	M	F	M	M	F	Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986; trend significant for leukaemias in males and females and for mesotheliomas in males	
		13/97	11/116	2/97	1/181	1/188		
		10	9/51	11/54	2/51	1/92		1/94
		33	12/39	14/48	4/39	5/85*		3/92
	100	9/30	15/26***	4/30	7/87**	4/80		

^a 1 ppm = 1.83 mg/m³.

^b *, P < 0.05; **, P = 0.01; ***, P < 0.001.

^c Brain tumours defined as "mixed cell gliomas" in the Lynch et al. (1984a,b) studies, as gliomas, malignant reticulososes, and granular cell tumours in the Snellings and Garman studies (Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986).

Table 3: Incidence of tumours in B6C3F₁ mice exposed to ethylene oxide. ^a

Sex, n, exposure pattern	Exposure (ppm) ^b	Incidence of tumours ^c						
		Alveolar/bronchial carcinoma		Papillary cystadenoma of Harderian gland		Malignant lymphoma in haematopoietic system	Uterine adenocarcinoma	Mammary adeno- and adenosquamous carcinoma
Males and females, 60/group, 6 h/day, 5 days/week, 102 weeks	0	M	F	M	F	F	F	F
	50	6/50	0/49	1/43	1/46	9/49	0/49	1/49
	100	10/50	1/48	9/44*	6/46	6/48	1/47	8/48
	100	16/50*	7/49*	8/42*	8/47*	22/49**	5/49	6/49

^a From NTP (1987).

^b 1 ppm = 1.83 mg/m³.

^c * P < 0.05; ** P < 0.01.

cystadenomas in the Harderian gland (NTP, 1987). In females, there were concentration-related increases in the incidence of malignant lymphomas of the haematopoietic system and uterine adenocarcinoma; the incidence of mammary adenocarcinoma and adenosquamous carcinoma was elevated in both treated groups (NTP, 1987).

In a strain A mouse short-term test for carcinogenicity, a concentration-related increase in the incidence of pulmonary adenomas was observed following exposure (6 h/day, 5 days/week) to 128 and 366 mg ethylene oxide/m³ for 6 months (Adkins et al., 1986).

In a carcinogenicity study involving oral exposure, the intragastric administration of 7.5 or 30 mg ethylene oxide/kg body weight to female Sprague-Dawley rats twice weekly for 150 weeks produced a dose-related increase in the incidence of forestomach tumours (mainly squamous cell carcinomas) (Dunkelberg, 1982).

In female NMRI mice, the subcutaneous injection of ethylene oxide for 95 weeks (mean total doses up to 64.4 mg/mouse) resulted in a significant dose-dependent increase in the number of tumours (i.e., sarcomas) at the site of injection (Dunkelberg, 1981). No skin tumours were observed in female ICR/Ha Swiss mice following the dermal application of approximately 100 mg ethylene oxide (10% in acetone) 3 times weekly for life (Van Duuren et al., 1965).

8.4 Genotoxicity and related end-points

The genotoxicity of ethylene oxide has been reviewed extensively (IARC, 1994). Owing to the consistency of the results, only a brief summary of studies conducted with *in vitro* systems or with laboratory animals is provided here. Ethylene oxide is a potent alkylating agent that has been genotoxic in virtually all studies in which it was examined (reviewed in IARC, 1994). In *in vitro* testing, it induced DNA damage and gene mutations in bacteria, yeast, and fungi and gene conversion in yeast. In mammalian cells, observed effects include gene mutations, micronucleus formation, chromosomal aberrations, cell transformation, unscheduled DNA synthesis, sister chromatid exchange, and DNA strand breaks. Notably, Hallier et al. (1993) observed that the frequency of sister chromatid exchange in human peripheral blood lymphocytes exposed *in vitro* to ethylene oxide was higher in cells isolated from individuals expressing low levels of GSTT1 than in cells from subjects expressing higher levels of this enzyme.

The results of *in vivo* studies on the genotoxicity of ethylene oxide have also been consistently positive (see IARC, 1994) following ingestion, inhalation, or injection. *In vivo* exposure to ethylene oxide induced

gene mutation at the hypoxanthine phosphoribosyl transferase (*Hprt*) locus in mouse and rat splenic T-lymphocytes; sister chromatid exchange was induced in lymphocytes from rabbit, rat, and monkey, in bone marrow cells from mouse and rat, and in rat spleen. Increases in the frequency of gene mutations in the lung (*lacI* locus) (Sisk et al., 1997) and in T-lymphocytes (*Hprt* locus) (Walker et al., 1997a) have been observed in transgenic mice exposed to ethylene oxide via inhalation, at concentrations similar to those in carcinogenesis bioassays with this species (NTP, 1987).

In male Big Blue[®] (*lacI* transgenic) B6C3F₁ mice exposed to 0, 92, 183, or 366 mg ethylene oxide/m³ for 6 h/day, 5 days/week, for 4 weeks, the observed mean (\pm SE) frequency of mutation at the *Hprt* locus in splenic T-lymphocytes was 2.2 (\pm 0.03) $\times 10^{-6}$, 3.8 (\pm 0.5) $\times 10^{-6}$ ($P = 0.009$), 6.8 (\pm 0.9) $\times 10^{-6}$ ($P = 0.001$), and 14.1 (\pm 1.1) $\times 10^{-6}$ ($P < 0.001$), respectively (Walker et al., 1997a). The frequency of *Hprt* mutations in splenic T-lymphocytes was increased (compared with unexposed controls) 5.0- to 5.6-fold in male F344 rats and (non-transgenic) male B6C3F₁ mice exposed to 366 mg ethylene oxide/m³ for 6 h/day, 5 days/week, for 4 weeks (Walker et al., 1997b). Similarly, the frequency of *lacI* mutations in the lungs, bone marrow, and spleen, but not in germ cells, was increased in male Big Blue[®] (*lacI* transgenic) B6C3F₁ mice exposed to 0 or 366 mg ethylene oxide/m³ (Sisk et al., 1997; Recio et al., 1999).

In vivo exposure to ethylene oxide also induced heritable mutations or effects in germ cells in rodents (IARC, 1994). Ethylene oxide induced dominant lethal effects in mice and rats and heritable translocations in mice. There were dominant visible and electrophoretically detectable mutations in the offspring of male mice exposed (by inhalation) to 366 mg ethylene oxide/m³ for 6 h/day, 5 days/week, for 7 weeks and then mated. This exposure regimen was adopted to ensure that all progeny originated from sperm exposed during the entire spermatogenic process (Lewis et al., 1986). In a study in which male (C3H \times 101)F₁ mice were exposed by inhalation to 0, 302, 373, 458, or 549 mg ethylene oxide/m³, 6 h/day, 5 days/week, for 6 weeks, then daily for an additional 2.5 weeks, and subsequently mated to T-stock (or [SEC \times 101]F₁) females, the percent dominant lethals ($P < 0.01$ at concentrations 373 mg/m³, compared with controls) was 0 (0), 6 (8), 14 (13), 23 (24), and 60 (45), respectively (Generoso et al., 1990). The frequency of translocation carriers ($P < 0.01$ at all concentrations, compared with controls) among the progeny of these groups of ethylene oxide-exposed male mice mated to T-stock (or [SEC \times C57BL]F₁) females (data combined) was 1/2068 (0.05%), 32/1143 (2.8%), 52/1021 (5.1%), 88/812 (10.8%), and 109/427 (25.5%), respectively (Generoso et al., 1990).

8.5 Reproductive toxicity

8.5.1 Effects on fertility

Degeneration of the seminiferous tubules and germ cells, decreased epididymal weight, decreased sperm count, and an increase in the percentage of abnormal sperm were observed in Wistar rats exposed to 458 mg ethylene oxide/m³ for 13 weeks (Mori et al., 1989, 1991). When abnormal sperm heads were classified into immature and teratic types, the frequency of teratic types was increased at exposures of 92 mg/m³, although it was not concentration dependent (Mori et al., 1991). Decreased relative testicular weight was observed in rats after exposure to 915 mg ethylene oxide/m³ (Mori et al., 1989). In a limited study in rats, slight degeneration of the tubules in the testes was observed after exposure to 370 mg ethylene oxide/m³ for 25–32 weeks (Hollingsworth et al., 1956). Embryotoxic and fetotoxic effects were observed in reproductive studies with rats after exposure of the dams via inhalation to concentrations of ethylene oxide between 183 and 275 mg/m³, prior to mating and throughout gestation. These effects included a decrease in the number of implantation sites per pregnant female, an increase in the incidence of resorptions, a decrease in the median number of pups born on day 0 postpartum per litter, as well as a lower ratio of the number of fetuses born to the number of implantation sites per female (Hackett et al., 1982; Snellings et al., 1982a,b; Hardin et al., 1983). Under these exposure conditions, adverse effects on the dams were not observed (based simply upon clinical appearance and demeanour).

Reproductive effects in mice are similar to those observed in rats. These include increases in the number of resorption bodies and reductions in the number of implants per female and in the number of living embryos per female in female animals exposed to 549 or 2196 mg ethylene oxide/m³ prior to mating (Generoso et al., 1987), concentration-related increases in the percentage of abnormal sperm in animals exposed to 366 mg ethylene oxide/m³ for 5 days (Ribeiro et al., 1987), and a decline in absolute but not relative testicular weight without histological changes in mice exposed to 86 mg ethylene oxide/m³ for 10 weeks (Snellings et al., 1984a).

A decline in sperm count and motility was observed in monkeys exposed to concentrations of ethylene oxide as low as 92 mg/m³ for 24 months (Lynch et al., 1984b,c).

8.5.2 Developmental toxicity

Exposure of Sprague-Dawley rats to a maternally toxic concentration of 275 mg ethylene oxide/m³ either prior to mating and throughout gestation or only during various stages of gestation resulted in reduced fetal body

weight and crown-to-rump length, as well as reduced skeletal ossification (Hackett et al., 1982; Hardin et al., 1983). Fetal body weights were reduced in Fischer 344 rats following exposure, only during the period of organogenesis, to 183 mg ethylene oxide/m³, a concentration having no overt toxic effects on the dams (Snellings et al., 1982a). Repeated brief exposures of pregnant Sprague-Dawley rats during gestation to 1464 or 2196 mg ethylene oxide/m³ produced a decline in fetal body weight (at both concentrations) and maternal toxicity (reduced body weight gain) at 2196 mg/m³ (Saillefait et al., 1996); however, there was no evidence of teratogenicity.

In offspring of female hybrid mice exposed to 2196 mg ethylene oxide/m³ at various intervals shortly after mating, there was a range of congenital malformations, including omphalocele, hydrops, eye defects, open thorax, cardiac defects, cleft palate, and tail and limb defects (Generoso et al., 1987; Rutledge & Generoso, 1989). There were also increases in the numbers of mid-gestational and late fetal deaths and offspring that did not reach weaning (Generoso et al., 1987; Rutledge & Generoso, 1989; Rutledge et al., 1992). In the offspring of female mice exposed to 1647 mg ethylene oxide/m³ for brief periods shortly after mating, skeletal ossification was reduced and the incidence of axial skeletal anomalies and cleft sternum was increased (Polifka et al., 1991, 1992).

The effect of exposure rate was assessed in pregnant C57BL/6J mice through inhalation exposure to ethylene oxide for 1.5, 3, or 6 h at 3800 or 4900 (mg/m³)-h on gestational day 7 (Weller et al., 1999). Animals with short, high exposures to ethylene oxide had increased adverse effects on fetal death and resorptions, malformations, crown-to-rump length, and fetal weight compared with those exposed to the same total (mg/m³)-h but at longer, lower exposures.

8.6 Neurotoxicity

Effects on the nervous system have been observed frequently in laboratory animals exposed to ethylene oxide. The paralysis observed in some animals was reversed upon cessation of exposure (Hollingsworth et al., 1956). Poor coordination of the hindquarters was observed in rats and mice following exposure to 810 mg ethylene oxide/m³ for 7–8 weeks (Snellings, 1982). In subchronic or chronic studies in rats exposed to 458–915 mg ethylene oxide/m³, there was a range of neurological effects, including awkward or ataxic gait, paralysis, and atrophy of the muscles of the hindlimbs, accompanied in some cases by pathological evidence of axonal degeneration of myelinated fibres in nerves of the hind legs (Hollingsworth et al., 1956; Ohnishi et al., 1985, 1986; Matsuoka et al., 1990; Mori et al., 1990).

Abnormal posture during gait and reduced locomotor activity were also observed in mice after exposure to ethylene oxide at concentrations ranging from 86 to 425 mg/m³ for 6 h/day, 5 days/week, for 10 or 11 weeks (Snellings et al., 1984a); effects on various reflexes (righting, tail pinch, toe pinch) were also noted at the highest concentration examined (i.e., 425 mg/m³).

Paralysis of the hind limbs and atrophy of the leg muscles have also been reported in rabbits and monkeys following exposure to 370 mg ethylene oxide/m³ (Hollingsworth et al., 1956).

Histological alterations in the axons and demyelination were reported in cynomolgus monkeys exposed to 92 or 183 mg ethylene oxide/m³ for 2 years (Sprinz et al., 1982; Lynch et al., 1984b).

8.8 Mechanisms of toxicity / mode of action

It is likely that the carcinogenicity of ethylene oxide in laboratory animals arises primarily as a result of its direct alkylation of biological macromolecules (i.e., nucleic acids). *In vivo* exposure to ethylene oxide induced mutations (5- to 5.6-fold) at the *Hprt* locus in splenic T-lymphocytes in rats and mice (Walker et al., 1997a,b). A statistically significant (i.e., $P < 0.05$) increase (1.5-fold) in the frequency of *lacI* mutations was observed in the lungs of transgenic mice exposed to 366 mg ethylene oxide/m³ (Sisk et al., 1997); the frequencies of *lacI* mutations in the bone marrow and spleen of these animals (1.9- and 1.3-fold, respectively), although increased, were not statistically different from those of the unexposed controls. Currently, there is no clear evidence of a relationship between the mutagenic response observed at these two "indicator" loci and the species- and tissue-specific carcinogenicity of ethylene oxide. Molecular analysis of ethylene oxide-induced mutations at the *HPRT* locus in human diploid fibroblasts exposed *in vitro* revealed that a high proportion involved large deletions of this gene (Bastlová et al., 1993).

A potential role of the formation of 7-HEGua in the carcinogenic response has been the focus of many studies, this adduct having been identified in both humans and laboratory animals. In reports by Walker et al. (1992) and Wu et al. (1999b), F344 rats and B6C3F₁ mice were exposed (via inhalation for 6 h/day, 5 days/week, for 4 weeks) to concentrations of ethylene oxide similar to those used in previous carcinogenicity bioassays involving these strains (Lynch et al., 1984a,b; Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986; NTP, 1987). Slightly higher levels of 7-HEGua were measured in tissues (lung, spleen, brain, liver) from rats than from mice; within each species, similar levels of the adduct were measured in the lung, spleen, brain, and liver. Since an increased incidence of

brain tumours has been observed in rats but not in mice exposed to ethylene oxide and an increased incidence of lung tumours has been observed in mice but not in rats exposed to this substance, the results provided by Walker et al. (1992) and Wu et al. (1999b) point to no obvious relationship between the overall level of 7-HEGua within various tissues and the observed species-specific carcinogenic response. The potential roles of this and other ethylene oxide-induced DNA adducts, as well as other factors, in mediating the carcinogenicity of ethylene oxide have not been defined.

9. EFFECTS ON HUMANS

9.1 Non-neoplastic effects

9.1.1 Irritation and sensitization

Exposure to ethylene oxide vapour can cause irritation of the eyes and respiratory tract (Thiess, 1963; ATSDR, 1990). Mild irritation of the skin has been reported after contact with aqueous solutions of ethylene oxide as low as 1% (Sexton & Henson, 1949). Dermal injury is characterized by oedema and erythema, occurring 1–5 h after exposure, followed by the formation of vesicles. Dermal irritation has also been observed after contact with ethylene oxide-sterilized materials and clothing (Royce & Moore, 1955; Marx et al., 1969; Hanifin, 1971; Biro et al., 1974; LaDage, 1979; Bommer & Ritz, 1987; Fisher, 1988; Lerman et al., 1995).

Ethylene oxide is a sensitizing agent. Type I (anaphylaxis) and Type IV (contact dermatitis) hypersensitivity reactions have been observed in individuals exposed to ethylene oxide. Anaphylactic reactions (ranging from mild to severe) have been noted among patients undergoing dialysis involving equipment sterilized by exposure to ethylene oxide (reviewed in Bommer & Ritz, 1987). Asthmatic reactions may occur either alone or in combination with anaphylactic events; case reports of occupational asthma attributed to ethylene oxide exposure have appeared (Dugue et al., 1991; Verraes & Michel, 1995). Reports of contact dermatitis attributed to ethylene oxide are not uncommon; these may be due to allergic reaction or to the irritative effects.

9.1.2 Reproductive effects

Hemminki et al. (1982) determined the incidence of spontaneous abortion among Finnish hospital staff who had used ethylene oxide, glutaraldehyde, and formaldehyde for instrument sterilization. Sterilizing staff employed in Finnish hospitals in 1980 were included in the analysis, with a total of 1443 pregnancies (545 workers exposed during pregnancy). No measurements of

exposure were taken specifically as part of this study (Hemminki et al., 1982). However, independent measurements carried out in 24 Finnish hospitals between 1976 and 1981 revealed 8-h TWA exposures ranging from 0.2 to 0.9 mg ethylene oxide/m³, with peak concentrations up to 458 mg/m³ (Hemminki et al., 1982, 1983), although concentrations of ethylene oxide may have been higher prior to 1976. Nurses from auxiliaries in the same hospitals having no exposure to sterilizing agents, anaesthetic gases, or X-rays served as controls. Information on exposure to sterilizing agents was obtained from the supervising nurses. Information on pregnancy outcome was obtained via a questionnaire and confirmed using a hospital discharge register for all of Finland between 1973 and 1979. The adjusted (for age, parity, decade of reported pregnancy, coffee and alcohol consumption, and smoking) rate of spontaneous abortion for the sterilization staff as a whole (9.7%) was similar to the rate in the control group (10.5%). However, when the pregnancies of the sterilizing staff were analysed according to employment at the time of conception, the rate of spontaneous abortion was significantly ($P < 0.001$) increased in the exposed (15.1%) versus the unexposed group (4.6%). When the associations between ethylene oxide and the different sterilizing agents were analysed, only exposure to ethylene oxide during early pregnancy was related to an increased frequency of spontaneous abortion (adjusted rate of 16.1% in exposed versus 7.8% in unexposed workers; $P < 0.01$). Hospital discharge records revealed a similar pattern, with spontaneous abortion rates of 22.6% (significantly higher than controls, $P < 0.05$), 9.9%, and 9.2% in sterilizing workers exposed to ethylene oxide, unexposed workers, and controls, respectively. In a subsequent analysis, only pregnancies that began during hospital employment were analysed in all groups, with controls chosen from the same hospitals (Hemminki et al., 1983). The rate of spontaneous abortion remained significantly higher ($P < 0.05$) among the pregnancies associated with exposure to ethylene oxide (20.4%) compared with controls (11.3%).

Rowland et al. (1996) examined the occurrence of spontaneous abortion and pre- and post-term delivery in relation to ethylene oxide exposure among 7000 randomly selected dental assistants (aged 18–39) identified from the 1987 dental assistant registry in California, USA. The most recent pregnancy outcome was chosen for analysis to maximize recall of pregnancy and exposure information, with 1320 women who provided information on age and ethylene oxide exposure contributing to the analysis. A total of 32 women reported ethylene oxide exposure during pregnancy; no quantitative measures or details on timing of exposure during pregnancy were available. The age-adjusted relative risk of spontaneous abortion among ethylene oxide-exposed women was 2.5 (95% confidence interval [CI] = 1.0–

6.3); the relative risks of pre-term births (21–37 weeks) and post-term births (> 42 weeks) were 2.7 (95% CI = 0.8–8.8) and 2.1 (95% CI = 0.7–5.9), respectively. Using a logistic model, ethylene oxide-exposed women were 2.7 times (95% CI = 1.2–6.1) more likely to have any of the three adverse pregnancy outcomes after adjusting for age. Adjustment for unscavenged nitrous oxide exposure, high amalgam use, and smoking yielded a relative risk of 2.1 (95% CI = 0.7–5.7).

In the only identified study in which the effect of paternal exposure to ethylene oxide on reproductive outcome was assessed, Lindbolm et al. (1991) reported a significantly ($P < 0.05$) increased risk of spontaneous abortion (odds ratio [OR] = 4.7; 95% CI = 1.2–18.4) among Finnish women whose partners had been exposed to ethylene oxide. In total, 99 186 pregnancies were included in the analysis. Paternal exposure to ethylene oxide was based upon the job and industry in which the men were employed; quantitative data on exposure were not available, and the numbers of spontaneous abortions ($n = 3$) and pregnancies ($n = 10$) in the paternal ethylene oxide-exposed group were small. Other potential confounding factors, such as previous abortions and alcohol and tobacco consumption, were not considered in the analysis.

9.1.3 Neurological effects

Sensorimotor polyneuropathy was reported for a number of cases following single or long-term exposure to ethylene oxide (exposure concentrations, when reported, ranged from 7.7 to >1281 mg/m³) (Gross et al., 1979; Finelli et al., 1983; Kuzuhara et al., 1983; Zampollo et al., 1984; Schroder et al., 1985; Fukushima et al., 1986; Ristow & Cornelius, 1986; Crystal et al., 1988). Amelioration of the symptoms following cessation of exposure has been commonly observed. In individuals exposed to >1300 mg ethylene oxide/m³, sural nerve biopsies revealed axonal degeneration with mild changes in the myelin sheath; muscle biopsies revealed degeneration atrophy (Kuzuhara et al., 1983). Effects on the central nervous system (e.g., seizures) have been observed following single exposure to 915–1281 mg ethylene oxide/m³ (Gross et al., 1979; Salinas et al., 1981).

9.1.4 Genetic effects

Increases in chromosomal aberrations in peripheral blood lymphocytes have been consistently reported in studies of workers exposed to concentrations of ethylene oxide of 9.2 mg/m³ (Table 4). Effects observed at lower concentrations (i.e., <9.2 mg/m³) have been mixed.

Significant increases in the frequency of sister chromatid exchange in peripheral blood cells have also been observed among individuals exposed to elevated

Table 4: Cytogenetic effects in humans. ^a

Number exposed (number of controls)	Exposure time (years)		Ethylene oxide level in air (ppm) ^b		Cytogenetic observations ^c			Reference
	Range	Mean	Range	Mean (TWA)	CA	SCE	MN	
75 (0)				50	+	+		Abrahams (1980)
33 (0)	1-14		0.05-8	0.01 ^d	(+)			Clare et al. (1985)
13 (site I)			0.5 ^e		-	-		Stolley et al. (1984); Galloway et al. (1986)
22 (site II)			5-10 ^e		-	+		
25-26 (site III)			5-20 ^e		+	+		
(171 controls total)								
12 (12)			36			+		Garry et al. (1979)
14 (14)			<0.07-4.3 ^e			-		Hansen et al. (1984)
18 (factory I)	0.5-8	3.2		<1	+	-	+ ^f	Hogstedt et al. (1983)
10 (factory II)	0.5-8	1.7		<1	+	-		
(20 controls total)								
18 (sterilization centres) (10)	1-8		0-2.6		+			Karelová et al. (1987)
14 (laboratory - 1983) (10)	1-15		0-4		+			
11 (laboratory - 1984) (10)	1-15		0-2.3		-			
21 (production workers) (20)	2-17		0-3.7		+			
15 (smokers) (7)	0.5-10	5.7	20-123			+		Laurent et al. (1984)
10 (non-smokers) (15)	0.5-10	4.5	20-123			+		
10 (10)		3	60-69 ^e		+	+		Lerda and Rizzi (1992)
9 (low dose) (48)		4	2.7-10.9	2.7	+	-		Major et al. (1996)
27 (high dose) (10)		15	2.7-82	5.5	+	+		
34 (23)		8 ^g	<0.1-2.4 ^e	<0.3	-	+	-	Mayer et al. (1991)
12	1-8	4	0.5-1		-			Pero et al. (1981)
5	0.8-3	1.6	5-10		+			
(11 controls total)								
11 (smokers)			0.5-417 ^h			-		Popp et al. (1994)
14 (non-smokers)			0.5-208 ^h			-		
(10 controls total)								
75 (22)	3-14	7	2-5 ^e		+		+	Ribeiro et al. (1994)
56 (141)	1-10		1-40 ^e		+	+		Richmond et al. (1985)
22 (22)	0.6-4	3	0.2-0.5 ^e	0.35	(+)	+		Sarto et al. (1984)
19 (19)	1.5-15	6.8	3.7-20 ^e	10.7	+	+		
10 (10)			0-9.3 ^e	1.84		+		Sarto et al. (1987)
9 (27 controls total)	0.5-12	5	0.025-0.38 ^e				-	Sarto et al. (1990)
3			>0.38 ⁱ				+ ^j	

Table 4 (continued)

Number exposed (number of controls)	Exposure time (years)		Ethylene oxide level in air (ppm) ^b		Cytogenetic observations ^c			Reference
	Range	Mean	Range	Mean (TWA)	CA	SCE	MN	
5 (10 controls total)	0.1-4	2		0.025		-	- ^k	Sarto et al. (1991)
5	4-12	8.6	<1-4.4	0.38		+	- ^k	
32 (8 controls total)		5.1	0-0.3 ^f	0.04		+	-	Schulte et al. (1992)
11		9.5	0.13-0.3 ^e	0.16		+	-	
9 (hospital workers) (8)	2-6	4	20-25		+	+	-	Tates et al. (1991)
15 (factory workers) (15)	3-27	12	17-33		+	+	+	
7 (7 controls total)	Accidental		28-429 ^e			-	-	Tates et al. (1995)
7	<5		<0.005-0.02			-	-	
7	>15		<0.005-0.01			-	-	
9 (low exposure)				13 ^j		-		Yager et al. (1983)
5 (high exposure) (13 controls total)				501 ^l		+		
19 (35 controls total)	1-5		<0.05-8	<0.05	-			van Sittert et al. (1985)
17	6-14		<0.05-8	<0.05	-			

^a Modified from IARC (1994).

^b 1 ppm = 1.83 mg ethylene oxide/m³.

^c CA = chromosomal aberrations; SCE = sister chromatid exchange; MN = micronucleus; + = positive; (+) = weakly positive; - = negative.

^d Calculated by linear extrapolation.

^e TWA (8-h).

^f Positive for erythroblasts and polychromatic erythrocytes (negative for lymphocytes).

^g Maximum years exposed.

^h Peak concentrations.

ⁱ Single exposure to high concentration from sterilizer leakage in addition to long-term exposure.

^j Nasal mucosa.

^k Buccal cells.

^l Average 6month cumulative exposure (mg).

levels of ethylene oxide (i.e., usually 9.2 mg/m^3). Results of studies of individuals exposed to lower levels (i.e., $<0.9 \text{ mg/m}^3$) have been mixed. In some studies, increases in the frequency of sister chromatid exchange have been observed to persist after exposure had ceased. Effects have been related to the concentration or duration of exposure to ethylene oxide in a number of studies.

In some studies, the frequency of micronuclei in peripheral blood was increased in workers exposed to relatively high ($3.7\text{--}60.4 \text{ mg/m}^3$) levels of ethylene oxide (Tates et al., 1991; Ribeiro et al., 1994). However, in the majority of the studies involving exposures to lower levels, no effect on the frequency of micronuclei was observed. Apparent inconsistencies in the data could reflect the influence of peak exposures, differences in exposure duration, or smoking status.

In a study involving small numbers (i.e., $n = 4\text{--}12$ per group) of non-smoking males and females exposed to ethylene oxide through the sterilization of medical equipment, Fuchs et al (1994) reported increases (1.5-, 2.2-, and 1.5-fold; $P < 0.05$, $P < 0.05$, $P > 0.05$, respectively) in single-strand DNA breaks in peripheral mononuclear blood cells obtained from individuals exposed to (4-h TWA) ethylene oxide concentrations of $0.1\text{--}0.49 \text{ mg/m}^3$, $0.5\text{--}2.0 \text{ mg/m}^3$, and $>2 \text{ mg/m}^3$, respectively.

9.1.5 Other non-neoplastic effects

Haematological effects were observed among a group of 59 women exposed to ethylene oxide released from sterilizers while employed at nine hospitals in the USA and one in Mexico (Schulte et al., 1995). Exposure was classified as none, low, or high, based on mean 4-month cumulative exposure categories of 0, $>0\text{--}60$, or $>60 \text{ (mg/m}^3\text{)-h}$, respectively. Monitoring data revealed mean 8-h TWA exposures in the US hospitals of 0.15 mg/m^3 (range = $0\text{--}0.55 \text{ mg/m}^3$) and 0.31 mg/m^3 (range = $0.24\text{--}0.55 \text{ mg/m}^3$) for the low- and high-exposure categories, respectively; the corresponding measurements in the Mexican hospital were 0.04 mg/m^3 and 0.99 mg/m^3 (range = $0.5\text{--}2.5 \text{ mg/m}^3$), respectively. Among the US workers, haematocrit and haemoglobin levels were reduced (not statistically significant) in the high-exposure group, compared with the unexposed controls; the levels were significantly lower in the high-exposure group than in the low-exposure group ($P = 0.03$ and 0.02 for haemoglobin and haematocrit levels, respectively). Compared with unexposed controls, US workers in the high-exposure subgroup exhibited a statistically significant ($P = 0.04$) increase in the percentage of lymphocytes and a reduction ($P = 0.03$) in the percentage of neutrophils in the blood. Among the Mexican workers, there were no statistically significant relationships between exposure to ethylene oxide and changes in haematocrit or haemoglobin levels (there was

only one worker in the unexposed group), although an exposure-related increase (not statistically significant) in the percentage of neutrophils in the blood was observed. More recently, Shaham et al. (2000) reported that, compared with 88 non-occupationally exposed controls (matched for age, sex, and smoking habits), among 46 Israeli hospital workers exposed (at three locations with a mean period of employment of 6.6 years) to 145- to 210-min TWA concentrations of $<0.02\text{--}0.1 \text{ mg ethylene oxide/m}^3$, there were statistically significant (i.e., $P < 0.01$) increases in the absolute mean numbers of red blood cells, monocytes, and eosinophils, increases in the percent haematocrit, and reductions in the absolute mean numbers of lymphocytes and platelets. There were no significant differences in the absolute mean numbers of neutrophils and basophils or in haemoglobin levels.

Haematological changes were not observed either in a group of 36 male workers employed at an ethylene oxide manufacturing plant with estimated 8-h TWA exposures below 0.09 mg/m^3 (van Sittert et al., 1985) or in a group of 84 male workers involved in the manufacture of ethylene oxide who were exposed to estimated concentrations of $<1.83 \text{ mg/m}^3$ (Currier et al., 1984).

The prevalence of lens opacities and cataracts was assessed in a group of 55 workers exposed to ethylene oxide (airborne concentrations ranged from 0.11 mg/m^3 during a 97-min exposure to 71 mg/m^3 during a 2.5-min exposure) at five hospitals in Paris, France (Deschamps et al., 1990). There was no difference between the exposed and control groups in the prevalence, location, importance, or type of lens opacities observed, but cataracts were observed in six exposed individuals, compared with none in the control group.

9.2 Cancer

Associations between occupational exposure to ethylene oxide and various types of cancer have been examined in a number of epidemiological studies. A summary of the risk measures for selected cancers (stomach, pancreas, brain, haematological system) is presented in Table 5.

In a cohort study of 709 ethylene oxide production and sterilization workers in Sweden, the incidence of leukaemia (after excluding the three cases in the original cluster; standardized incidence ratio [SIR] 630; 95% CI 200–1500) and stomach cancer (SMR = 546; 10 observed deaths) was increased (Hogstedt, 1988). Excess mortality was greatest in operators and repairmen employed in an old production plant where ethylene oxide had been synthesized by the chlorohydrin method from 1941 to 1947 (mostly in an enclosed building). Levels of ethylene oxide in all of the facilities were estimated to have been relatively high in the early years (average exposure levels were estimated to have been

Table 5: Summary of risk measures for selected cancers (stomach, pancreas, brain, haematopoietic, lymphosarcoma, Hodgkin's disease) from epidemiological studies.

Cancer	Exposure to ethylene oxide	Risk measure ^a	Reference
Stomach Blood and lymphatic Leukaemia	male and female ethylene oxide production workers (two plants) and medical equipment sterilizers	SMR = 546: 10 SMR = 459: 9 SMR = 921: 7	Hogstedt (1988)
Stomach Leukaemia	workers from older production plant workers from older production plant	SMR = 707: 9 SMR = 703: 3	
	10-year update of male workers producing or using ethylene oxide (excluding chlorohydrin production) studied by Greenberg et al. (1990)		Teta et al. (1993)
Stomach Pancreas Brain and nervous system Leukaemia and aleukaemia	entire cohort entire cohort entire cohort entire cohort	SMR = 160 (95% CI = 69–315): 8 SMR = 61 (95% CI = 17–156): 4 SMR = 150 (95% CI = 55–327): 6 SMR = 106 (95% CI = 35–248): 5	
Stomach Stomach	intermediate-exposure subgroup low-exposure subgroup	SMR = 364 (95% CI = 102–957): 4 SMR = 222 (95% CI = 61–575): 4	
	male and female workers in facilities producing sterilized medical equipment and spices		Steenland et al. (1991)
Haematopoietic cancers Haematopoietic cancers Lymphosarcoma/reticulosarcoma Non-Hodgkin's lymphoma Haematopoietic cancers	those with >20 years since first exposure males only males only males only males with >7 years exposure and >20 years since first exposure	SMR = 1.76 (95% CI = 0.94–3.01): 34 SMR = 1.55 (P = 0.05): 27* SMR = 2.6 (P = 0.05): 7 SMR = 2.16: 7 SMR = 2.63 (95% CI = 1.05–5.42): 7*	
Haematopoietic cancers Non-Hodgkin's lymphoma Leukaemia/aleukaemia	workers with highest cumulative exposure workers with highest cumulative exposure workers with highest cumulative exposure	SMR = 124 (95% CI = 66–213): 13 SMR = 192 (95% CI = 77–395): 7 SMR = 75 (95% CI = 15–218): 3	Stayner et al. (1993)
Haematopoietic cancers Haematopoietic cancers Haematopoietic cancers Haematopoietic cancers	males with highest cumulative exposure males with moderate cumulative exposure males with lowest cumulative exposure workers with >20 years since first exposure	SMR = 196 (95% CI = 101–343): 12* SMR = 143 (95% CI = 62–283): 8 SMR = 95 (95% CI = 26–243): 4 SMR = 155 (95% CI = 77–277): 11	
Lympho-/haematopoietic cancers Leukaemia	male and female workers sterilizing medical equipment male and female workers sterilizing medical equipment	SIR = 1.78 (95% CI = 0.65–3.88): 6 SIR = 2.44 (95% CI = 0.3–8.81): 2	Hagmar et al. (1995)
Leukaemia Brain	workers having a minimum 10 years latency (but excluding those with cumulative exposure <0.13 ppm-years) ^b	SIR = 7.14 (95% CI = 0.87–25.8): 2 SIR = 3.80 (95% CI = 0.78–11.1): 3	
Hodgkin's disease Hodgkin's disease	male workers at a chemical manufacturing plant nested case-control analysis of male workers at the chemical manufacturing plant	SIR = 497 (95% CI = 238–915): 10* OR = 8.5 (95% CI = 1.4–39.9): 3*	Swaen et al. (1996)
Leukaemia Stomach	male workers at chemical plants male workers at chemical plants	SMR = 0.85 (95% CI = 0.10–3.07): 2 SMR = 1.38 (95% CI = 0.75–2.31): 14	Kiesselbach et al. (1990)
Leukaemia Stomach	males and females at ethylene oxide production/use facilities males and females at hospitals	SMR = 2.25 (95% CI = 0.47–6.59): 3 SMR = 1.19 (95% CI = 0.15–4.32): 2	Gardner et al. (1989)

Table 5 (continued)

Cancer	Exposure to ethylene oxide	Risk measure ^a	Reference
Pancreas Brain and central nervous system Hodgkin's disease	male petroleum plant workers	SMR = 377 (95% CI = 76–1102): 3 SMR = 285 (95% CI = 32–1030): 2 SMR = 570 (95% CI = 64–2058): 2	Morgan et al. (1981)
Brain and central nervous system Lympho-/haematopoietic	male workers involved in the production of ethylene chlorohydrin and propylene chlorohydrin	SMR = 123 (95% CI = 25–358): 3 SMR = 129 (95% CI = 62–238): 10	Olsen et al. (1997)
Lympho-/haematopoietic Lympho-/haematopoietic	male workers involved in the production of ethylene chlorohydrin male workers involved in the production of ethylene chlorohydrin (analysis included a 25-year latency period)	SMR = 149 (95% CI = 60–307): 7 SMR = 194 (95% CI = 71–423): 6	
Haematopoietic cancers Lympho/reticulosarcoma Leukaemia/aleukaemia Stomach Pancreas	male workers licensed to handle ethylene oxide and other chemicals male workers licensed to handle ethylene oxide and other chemicals male workers licensed to handle ethylene oxide and other chemicals male workers licensed to handle ethylene oxide and other chemicals male workers licensed to handle ethylene oxide and other chemicals	SMR = 250 (95% CI = 91–545): 6 SMR = 682 (95% CI = 186–1745): 4* SMR = 193 (95% CI = 23–699): 2 SMR = 122 (95% CI = 40–287): 5 SMR = 254 (95% CI = 52–744): 3	Bisanti et al. (1993)
Haematopoietic cancers Lympho/reticulosarcoma Leukaemia/aleukaemia	male workers licensed to handle ethylene oxide only male workers licensed to handle ethylene oxide only male workers licensed to handle ethylene oxide only	SMR = 700 (95% CI = 227–1637): 5* SMR = 1693 (95% CI = 349–4953): 3* SMR = 650 (95% CI = 79–2349): 2	
Leukaemia Pancreas	male and female workers using ethylene oxide as a sterilant male and female workers using ethylene oxide as a sterilant	SMR = 1.85 (<i>P</i> = 0.42): 1 SMR = 3.92 (<i>P</i> = 0.09): 2	Norman et al. (1995)
Leukaemia Non-Hodgkin's lymphoma Stomach Pancreas Brain and central nervous system	meta-analysis of reports published between 1979 and 1993	sSMR = 1.06 (95% CI = 0.73–1.48): 31 sSMR = 1.35 (95% CI = 0.93–1.90): 31 sSMR = 1.28 (95% CI = 0.98–1.65): 57 sSMR = 0.98 (95% CI = 0.69–1.36): 34 sSMR = 0.89 (95% CI = 0.55–1.36): 19	Shore et al. (1993)
Leukaemia Non-Hodgkin's lymphoma Stomach Pancreas Brain	update of Shore et al. (1993) analyses, but including two additional studies	mSMR = 1.08 (95% CI = 0.61–1.93): 35 mSMR = 1.34 (95% CI = 0.96–1.89): 33 mSMR = 1.23 (95% CI = 0.71–2.13): 59 mSMR = 0.95 (95% CI = 0.69–1.31): 37 mSMR = 0.96 (95% CI = 0.49–1.91): 25	Teta et al. (1999)

^a Unless otherwise noted, value in italics is the number of observed deaths or cases. Asterisk (*) indicates increase reported as statistically significant. SMR = standardized mortality ratio; SIR = standardized incidence ratio; OR = odds ratio; mSMR = meta standardized mortality ratio; sSMR = summary standardized mortality ratio.

^b 1 ppm = 1.83 mg/m³.

^c Number of cases exposed to ethylene oxide.

26 mg/m³ between 1941 and 1947 at the plant producing ethylene oxide by the chlorohydrin method; however, peaks above the odour threshold of 732 mg/m³ had been reported). Workers were also exposed to a variety of other chemicals.

Greenberg et al. (1990) conducted a study of 2174 workers employed at two ethylene oxide production plants in the USA, for which a 10-year update of this cohort, which excluded 278 chlorohydrin workers, was reported by Teta et al. (1993). Exposure was evaluated using latency and duration of employment in ethylene oxide-related departments, not by the direct analysis of ethylene oxide levels. Comparisons were with both the general population and unexposed workers in the plants. In this cohort, there were no statistically significant increases in deaths from any cause for the entire cohort (Teta et al., 1993). Mortality in men from the high-exposure departments was not increased, but an excess of deaths due to stomach cancer was observed in the intermediate-exposure group, and there was an increase (not statistically significant) in the low-exposure group. When risks associated with duration of assignment were examined, there were no significant trends for any cancer, but the numbers of deaths from cancer at any site were small. The relative risk for stomach cancer (2.77; 95% CI = 1.11–6.93; 5 observed deaths) was significantly elevated for those exposed from 2 to 9 years. The SMR for lymphatic/haematopoietic cancers for the 278 ethylene chlorohydrin production workers, considered to have only low, intermittent exposure to ethylene oxide, was 294 (95% CI = 127–580; 8 observed deaths) (Benson & Teta, 1993). A 2- to 3-fold increase in risk for leukaemia was observed among workers with more than 10 years of assignment to ethylene oxide departments.

TWA exposures were estimated over four time periods and three exposure intensity categories (low, medium, high). Average exposures in the most recent time period were based on industrial hygiene monitoring conducted in the plants and were inferred for earlier time periods based on exposure levels in similar manufacturing operations during the period of interest. A separate age-dependent exposure history was developed for each worker, based on the worker's assignments and estimated exposure levels (i.e., low, medium, high). For exposures between 1974 and 1978, data were collected directly in the area where the subjects worked. For all other time periods (1925–1973), inferences on exposure in the plants in West Virginia were made from data gathered in other facilities in Texas and Sweden. Different ventilation rates in plants located in geographically diverse locations are likely to lead to large variations in exposure.

While there were no quantitative estimates of individual exposure in this investigation (assignments were to low, medium, or high exposure only), the length of follow-up was among the longest in any study (mean duration of follow-up, 27.2 years; mean length of exposure, 5.4 years). Workers were exposed to a variety of other chemicals (approximately 26, including butadiene and benzene) (Shore et al., 1993).

In the largest single cohort studied to date, Steenland et al. (1991) examined mortality in 18 254 male and female workers exposed to ethylene oxide at 14 plants producing sterilized medical supplies and spices in the USA. Comparisons were with the US general population. A more detailed analysis of exposures in this cohort was subsequently conducted by Stayner et al. (1993), being restricted to workers from 13 of the 14 original facilities having adequate information for estimating historical exposures. The database on which these exposure estimates were based was collected in the course of walkthrough surveys of 36 companies in the medical supplies and spice industries and in-depth sampling surveys of 2 of these 36 companies; the database included 2350 individual TWA exposure values acquired from 18 facilities between 1976 and 1985 (Greife et al., 1988). Arithmetic mean exposures were calculated by facility, year, and exposure category. The exposure categories were based on grouping of all sampled jobs into eight categories with similar potential for exposure. Exposure to ethylene oxide was estimated based on an industrial hygiene-based regression model for each exposure category. This model predicted exposures to ethylene oxide within 2.0 mg/m³ of a validation data set (46 measurements not used in the model), with a standard deviation of 6.8 mg/m³ (Hornung et al., 1994). Cumulative exposure for each individual was estimated by integration of estimated ethylene oxide concentration (in parts per million) for each job held multiplied by the duration of time (days) spent on the job.

For the entire cohort, there was no increase in mortality from haematopoietic cancer. There was a slight but significant increase among men, however, but a decrease among women (Steenland et al., 1991). The SMR for deaths due to "all haematopoietic neoplasms" among the group with the highest cumulative exposure was 124 (not statistically significant), and the trend with cumulative exposure was not statistically significant. For the group with the highest cumulative exposure, the SMRs for non-Hodgkin's lymphoma and leukaemia/aleukaemia were 192 (not statistically significant) and 75, respectively. Increased mortality from kidney cancer (SMR = 322) was observed in the intermediate cumulative exposure group; no trend with exposure was observed (Stayner et al., 1993).

When the results for “all haematopoietic neoplasms” were stratified according to sex, increased mortality was observed among males in the highest exposure category (SMR = 196; 95% CI = 101–343; 12 observed deaths). The authors noted a suggestive positive trend in the SMRs with exposure in males ($\chi^2 = 1.69$; $P = 0.19$; 24 observed deaths) and suggestive negative trend in females ($\chi^2 = 1.01$; $P = 0.31$), the latter based upon a small number of observed deaths ($n = 9$), particularly in the highest exposure category ($n = 1$). When results for all workers were stratified according to time since first exposure, the greatest excess in deaths due to “haematopoietic neoplasms” was observed among workers with more than 20 years since their first exposure. Regression analysis revealed a highly statistically significant exposure–response relationship between cumulative exposure to ethylene oxide and mortality from lymphocytic leukaemia and non-Hodgkin’s lymphoma combined. A marginal relationship was also observed between cumulative exposure and mortality from all haematopoietic neoplasms and from non-Hodgkin’s lymphoma. There was a positive, but not statistically significant, exposure–response relationship between cumulative exposure and leukaemia. Inclusion of a 5-year lag period yielded a stronger exposure–response relationship for “lymphoid” neoplasms; the relationship between cumulative exposure and mortality from all haematopoietic neoplasms and from non-Hodgkin’s lymphoma was statistically significant, after inclusion of a 10-year lag period in the analysis. There was a negative exposure–response relationship between cumulative exposure and cancers of the stomach, pancreas, brain, and kidney (Stayner et al., 1993).

While this is one of the few studies for which there are individual estimates of cumulative exposure, the monitoring data on which these estimates are based are limited to those collected after 1978 (however, results for the subgroup of this cohort exposed before 1978 were essentially identical to those for the entire cohort, suggesting that exposures may have been similar). There was no evidence of confounding exposure to other occupational carcinogens. Although this is by far the largest of all of the studies conducted to date, the average follow-up period was short; 28% of workers had attained >20 years since first exposure (average duration of follow-up, 16 years; mean duration of exposure, 4.9 years). In this regard, it should be noted that five of the seven men who died of leukaemia died in the most recent calendar period, generating statistically significant excess mortality for these years (SMR = 345; 95% CI = 111–806), and additional follow-up of this cohort is desirable. The variation in response between men and women in this study cannot be explained on the basis of sex ratio of the study population (i.e., small numbers of women); indeed, a greater proportion of the population was female (55% versus 45%).

In a subsequent analysis of data from the cohort evaluated by Steenland et al (1991), in which the categorization of non-Hodgkin’s lymphoma included an additional International Classification of Disease category that was omitted in the original Steenland et al. (1991) analysis (i.e., “other neoplasms of lymphoid tissue”), Wong & Trent (1993) reported increased mortality due to non-Hodgkin’s lymphoma in males (SMR = 247; 95% CI = 141–402). No indication of an exposure–response relationship associated with either duration of employment or latency was observed. Similarly, there was no mortality pattern by latency or duration of employment for any cancer site examined. While this study is slightly larger than the corresponding study by Steenland et al. (1991), individual estimates of exposure frequency and intensity were not assigned.

Cancer risks were not significantly increased in a cohort of 2170 male and female Swedish workers exposed to ethylene oxide at two plants producing disposable medical equipment (Hagmar et al., 1995). Non-statistically significant elevations were observed for lymphopoietic/haematopoietic cancers and leukaemia. When the analysis excluded workers with cumulative exposures to ethylene oxide below the median value of 0.24 (mg/m^3)-years, but included a minimum 10-year latency period, there was an increased (although not statistically significant) risk of leukaemia. Cases with leukaemia had only a slightly higher cumulative exposure to ethylene oxide than the average cohort member. In this study, the levels of adducts in haemoglobin correlated well with the estimated exposure levels (Hagmar et al., 1995). This is one of the only studies in which there were cumulative estimates of individual exposure; moreover, biological dosimetry of haemoglobin adducts was conducted to corroborate exposure estimates. Exposures were also mainly to ethylene oxide; the only other exposures were to methyl formate or fluorochlorocarbons. However, the median duration of follow-up was relatively short (11.8 years), and exposure levels were relatively low for most workers, since fewer than 200 workers had more than 1.83 (mg/m^3)-years of cumulative exposure.

A case–control study in a cluster of 10 cases of Hodgkin’s disease among male employees of a Belgian chemical manufacturing firm revealed a statistically significant increased risk associated with exposure to ethylene oxide (Swaen et al., 1996). The risk remained significantly elevated after restricting the analysis to individuals with durations of exposure of more than 10 years, but estimated exposure was not related to the risk.

No statistically significant increased risks of cancer of the haematopoietic system or other sites were observed in a number of other epidemiological studies in which there were no estimates of individual exposure

and small numbers of haematopoietic and other cancers (Morgan et al., 1981; Gardner et al., 1989; Kiesselbach et al., 1990; Olsen et al., 1997). In the study by Kiesselbach et al. (1990), there were no increases in leukaemias or total haematopoietic cancers, nor were there any trends associated with exposure intensity, duration of exposure, or latency in 3658 men from six chemical companies, with median length of exposure of 9.6 years. Gardner et al. (1989) reported a small excess of leukaemia mortality among chemical workers (3 observed/1.33 expected) and a deficit among hospital workers (0 observed/0.76 expected), although neither was statistically significant, in 2876 men and women from four companies that produced or used ethylene oxide and eight hospitals that used ethylene oxide sterilizers (average duration of follow-up not reported). Morgan et al. (1981) observed non-significant excesses of brain cancer, pancreatic cancer, and Hodgkin's disease, but a deficit of leukaemia, in 767 men at an ethylene oxide production plant. For the entire cohort examined by Olsen et al. (1997), there were non-statistically significant excess deaths from cancer of the large intestine, lung, kidney, lymphopoietic/haematopoietic tissue, and other lymphatic tissues. Among those involved only in the production of ethylene chlorohydrin, the SMR was increased for lymphopoietic/haematopoietic cancer (SMR = 149; 95% CI = 60–307; 7 observed/4.7 expected).

In two additional small studies, significantly increased risks of lymphosarcoma/reticulosarcoma and breast cancer were observed by Bisanti et al. (1993) and Norman et al. (1995), respectively. In the former investigation, the SMR for lymphosarcoma/reticulosarcoma was 682 (4 observed deaths, $P < 0.05$) among 1971 chemical workers licensed to handle ethylene oxide between 1938 and 1984 in Italy, in comparison with the local population (mean length of follow-up, 9.8 years; no estimates of individual exposure). However, there was no association with duration or latency, although the former could not be accurately determined.

10. EFFECTS ON OTHER ORGANISMS IN THE LABORATORY AND FIELD

Information on the toxicity of ethylene oxide to natural aquatic and terrestrial organisms is limited. A brief summary of effects is presented below, with an emphasis on the most sensitive end-points in the studies of sufficient quality (e.g., those where concentrations were measured or measures taken to prevent evaporation). In numerous studies, ethylene oxide has induced dose-dependent genetic mutations in various types of biota, including plants, fungi, insects, mammalian cell

cultures, and bacteria (IPCS, 1985; US EPA, 1985; Dellarco et al., 1990; IARC, 1994; BUA, 1995; see also section 8.4). The actual population-level impact on wild-life from mutagenic end-points is not clear. In general, however, these effects occur at levels of ethylene oxide exposure similar to or slightly lower than those observed to induce other effects.

10.1 Aquatic organisms

The toxicity of ethylene oxide to bacterial cultures has been examined primarily in terms of mutagenicity (Dellarco et al., 1990). In one of the few reported measures of direct toxicity, the IC_{50} for the effect of ethylene oxide on activated sludge microorganisms in a 16-h bacterial toxicity test at 22 °C was in the range of 10–100 mg/litre (Conway et al., 1983).

In a modified Ames test, direct increases in revertant bacterial mutations in *Salmonella typhimurium* strains TA1535 and TA100 were observed (Pfeiffer & Dunkelberg, 1980). Similarly, there was a dose-response relationship for mutation induction in *Escherichia coli* Sd-4 (Hussain, 1984). There was a linear relationship for induction of guanine alkylation at concentrations between 2.6 and ~1000 mg/litre for 1-h exposures (nominal concentrations). Bacterial survival remained essentially constant, at 100%, at all dose levels (Hussain, 1984).

There were dose-response relationships in point mutations in various strains of bacteria following exposure in liquid suspensions up to 4210 mg/litre (Dellarco et al., 1990). From the above studies and others, it is difficult to interpret the effects of ethylene oxide on natural bacterial populations in view of variations in bacterial strains, reproductive potential, natural variability, DNA repair mechanisms, and population resilience.

Ethylene oxide appears to be slightly less toxic to invertebrates than to microorganisms. In a US EPA standard static acute toxicity test on *Daphnia magna*, 24-h LC_{50} values based on measured concentrations were 260–300 mg/litre; 48-h LC_{50} values were 137–300 mg/litre. In acute toxicity tests on brine shrimp (*Artemia salina*) under similar conditions, 24-h LC_{50} s were between 350 and 500 mg/litre ($n = 3$), and 48-h LC_{50} values were between 490 and 1000 mg/litre ($n = 3$) (Conway et al., 1983).

Fish are moderately sensitive to ethylene oxide. Bridié et al. (1979b) reported a 24-h LC_{50} of 90 mg/litre in goldfish (*Carassius auratus*) at 20 °C based on measured concentrations. In acute static toxicity tests performed according to US EPA standards using fathead minnows (*Pimephales promelas*) under aerated

conditions, under sealed oxygen, or under no aeration, 24-h LC₅₀s were 274, 86, and 90 mg/litre, respectively. With no aeration, the 48- and 96-h LC₅₀s were 89 and 84 mg/litre, respectively (Conway et al., 1983).

10.1.1 Toxicity of breakdown products

Ethylene glycol and ethylene chlorohydrin are the principal breakdown products of ethylene oxide in water. In acute toxicity tests with ethylene glycol, 24-h LC₅₀s were >10 000, >10 000, and >20 000 mg/litre for the fathead minnow, *Daphnia magna*, and brine shrimp, respectively; for ethylene chlorohydrin, 24-h LC₅₀s were 768, 675, and >1000 mg/litre for the fathead minnow, *D. magna*, and brine shrimp, respectively (Conway et al., 1983).

10.2 Terrestrial organisms

Ethylene oxide produces gene mutations in plant cells, including barley, rice, and peas, exposed *in vitro* (Ehrenberg et al., 1956, 1959; Blixt et al., 1963; Shulovská et al., 1969; Jana & Roy, 1975; Migliore et al., 1982). Chromosome damage and sister chromatid exchange were observed in barley, wheat, spiderwort (*Tradescantia paludosa*), and pollen (Smith & Lotfy, 1954; Ehrenberg et al., 1956, 1959; Mackey, 1968; Moutschen-Dahmen et al., 1968). Ehrenberg et al. (1956) reported a 5-fold increase in sterility caused by chromosomal aberrations in barley seeds treated at a gaseous concentration of 1.5×10^6 mg/m³ (80%) for 6 days. Second-generation chlorophyll gene mutations increased 33 times over controls under this treatment. Barley seeds soaked for 2 h in solutions of 3084 and 11 894 mg/litre induced second-generation chlorophyll gene mutations 3.7- and 13.8-fold over controls. Jana & Roy (1975) determined that for two genotypes of rice (*Oryza sativa*), mutagenic efficiency decreased with increasing concentration of ethylene oxide. Concentrations ranged from 888 to 6167 mg/litre, based on nominal concentrations and exposure for 8 h.

In an examination of the control of pathogenic fungi (*Fusarium*, *Alternaria*, and *Helminthosporium* spp.) on sorghum (*Sorghum vulgare* Pers.), ethylene oxide applied to a filter paper disc at 8 mg/litre was 92.3% effective in controlling fungal growth and 100% effective in inhibiting the viability of the sorghum seed (Raghunathan et al., 1969). Concentrations applied to the filter paper discs are not easily related to air or soil concentrations.

Although data are limited, insects appear to be relatively insensitive to atmospheric exposure to ethylene oxide. In 24-h fumigation experiments, there was an increase in the control-corrected mortality rates from 24.5 to 98.6% in the khapra beetle (*Trogoderma granarium*) as the nominal concentration of ethylene

oxide increased from 1000 to 3000 mg/m³, respectively. Reproduction of the surviving beetles did not differ significantly from that of untreated controls (Rajendran, 1982). Rajendran & Shivaramaiah (1985) studied the effect of 24-h exposure to ethylene oxide at concentrations ranging from 250 to 1500 mg/m³ on the reproductive rate of the lesser grain borer (*Rhyzopertha dominica* F.). Only concentrations above 500 mg/m³ had any significant effect ($P = 0.01$). Chromosome damage has been observed in insects exposed to ethylene oxide, including observations of gene mutations in *Drosophila melanogaster* from a sex-linked recessive lethal test and *in vitro* evidence of chromosomal breaks and translocations (IPCS, 1985).

Information on the effects of ethylene oxide on birds or wild mammals has not been identified. Laboratory animals are therefore used as surrogates for wildlife. The chronic reproductive effects in rats following inhalation of ethylene oxide at 183 mg/m³, reported by Snellings et al. (1982b), are assumed to represent effects on wild rodent species and are selected as the most environmentally significant measurement end-point for the assessment of the effects of ethylene oxide on the terrestrial environment (see also section 8.5.1).

11. EFFECTS EVALUATION

11.1 Evaluation of health effects

11.1.1 Hazard identification

11.1.1.1 Carcinogenicity

While increases in mortality due to liver, colon, breast, bladder, oesophageal, stomach, brain, or pancreatic cancer have occasionally been reported in epidemiological studies of workers exposed to ethylene oxide, evidence is not consistent or convincing.

Although generally based on small numbers of observed cases, increased risks of leukaemia, all haematopoietic neoplasms (or non-Hodgkin's lymphoma in the same cohort), lymphopoietic/haematopoietic cancers, or lymphosarcoma/reticulosarcoma have been reported for production and sterilization workers (Hogstedt, 1988), workers in plants producing sterilized medical supplies and spices (Steenland et al., 1991; Stayner et al., 1993; Wong & Trent, 1993), and those producing disposable medical equipment (Hagmar et al., 1995) (Table 5). These excesses occurred in workers exposed primarily to ethylene oxide in the sterilization of medical supplies and equipment rather than in facilities associated with its production or use, where numerous other substances would have been present.

Risks for lymphopoietic/haematopoietic cancers among the various industrial cohorts have varied, although in general by less than 2-fold (Shore et al., 1993). However, it should be noted that with the single exception of the investigation of workers at ethylene production plants in which there was no increase in haematopoietic cancers reported (Teta et al., 1993), length of follow-up was relatively short in the critical investigations, averaging 11.6 years and 16 years for the more reliable studies in which excesses were observed — namely, Hagmar et al. (1995) and Steenland et al. (1991). In the largest investigation (Steenland et al., 1991; Stayner et al., 1993), only 28% of workers had attained greater than 20 years since first exposure, and five of the seven men who died of leukaemia did so within the most recent calendar period. Therefore, limited strength of the observed associations could be due, at least in part, to the limited period of follow-up.

Risks associated with the frequency or intensity of ethylene oxide exposure could be examined in only three studies, and no trends were observed in either the individual or combined studies. A positive trend by cumulative exposure was, however, noted in the largest investigation (Shore et al., 1993). There were no trends in the individual or combined studies with respect to duration of exposure or latency. However, in the largest cohort examined ($n = 18\,254$), with an extensive characterization of individual exposure and a quantitative estimation of cumulative exposure, regression analysis revealed a significant ($P < 0.01$) exposure-response relationship between cumulative exposure to ethylene oxide and mortality due to lymphocytic leukaemia and non-Hodgkin's lymphoma combined (termed "lymphoid" neoplasms) (Steenland et al., 1991; Stayner et al., 1993). An association was also observed between cumulative exposure to ethylene oxide and mortality from all haematopoietic neoplasms and non-Hodgkin's lymphoma; the exposure-response relationship between cumulative exposure and leukaemia was positive, although not statistically significant. Of interest is the additional observation that none of the other measures of exposure (i.e., duration, average, and maximum) in this analysis were predictors of haematopoietic cancers, consistent with results of other investigations.

Therefore, the available epidemiological studies of the association between haematological cancers and exposure to ethylene oxide in occupationally exposed human populations fulfil, in part only, some of the traditional criteria for causality, including exposure-response and temporal relationship. The risks observed are moderate, but this may be a function of short length of follow-up.

Cytogenetic changes within the peripheral blood cells have been reported in a number of cross-sectional

studies, principally of populations exposed occupationally to ethylene oxide (Table 4). Inherent limitations of cross-sectional design make these studies less reliable than cohort or case-control studies as a basis for inference of causality. Nevertheless, observation of cytogenetic effects in some groups of workers exposed to elevated concentrations of ethylene oxide in the most sensitive studies, while not necessarily an indicator of chronic adverse health outcomes, provides some limited additional supporting evidence for the ability of ethylene oxide to interact with the genome in individuals exposed to this substance. An increased occurrence of cytogenetic changes has tended to be observed at exposures to $9.2\text{ mg ethylene oxide/m}^3$, thereby satisfying the criterion of exposure-response. In addition, results were consistently positive in the larger studies of populations exposed to higher concentrations (Stolley et al., 1984; Galloway et al., 1986; high-dose group in Mayer et al., 1991; Ribeiro et al., 1994; Richmond et al., 1985). While there was inadequate control for confounding in several of the early investigations (Garry et al., 1979; Yager et al., 1983), frequencies of clastogenic effects were sufficiently elevated in some cases that they were unlikely to be due to potential confounders (Laurent, 1988).

The carcinogenicity of ethylene oxide in humans is also biologically plausible. The incidence of mononuclear leukaemias in F344 rats and lymphomas in mice (in addition to other types of tumours in both species) is increased following inhalation of ethylene oxide (Lynch et al., 1984a,b; Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986; NTP, 1987). The unequivocal genotoxicity of ethylene oxide almost certainly plays a critical role in tumour induction. Ethylene oxide is a potent alkylating agent that has been genotoxic in almost all available studies in laboratory animals. Gene mutations, DNA damage, and cytogenetic effects have been observed routinely in bacterial, rodent, and human cells exposed *in vitro* to ethylene oxide and in the somatic cells of laboratory species exposed *in vivo* to this chemical.

Therefore, there is suggestive but inconclusive evidence for an association between exposure to ethylene oxide and haematological cancers in occupationally exposed populations. There is consistent evidence that ethylene oxide interacts with the genome of cells within the circulatory system in occupationally exposed humans and overwhelming supporting evidence of biological plausibility based on carcinogenicity and genotoxicity in laboratory animals. Based on these considerations and the apparent lack of qualitative differences in metabolism between humans and laboratory animals, ethylene oxide is considered highly likely to be carcinogenic to humans.

11.1.1.2 Germ cell mutations

Although relevant data in humans are not available, dominant lethal mutations, heritable translocations, chromosomal aberrations, DNA damage, and adduct formation in rodent sperm cells have been observed in a number of studies involving the exposure of rats and mice to ethylene oxide. Based upon the likely role for DNA alkylation in production of the genotoxic effects in germ cells in laboratory animals exposed to ethylene oxide, as well as the lack of qualitative differences in the metabolism of this substance between humans and animals (including DNA adduct formation), ethylene oxide can be considered a likely human germ cell mutagen.

11.1.1.3 Non-neoplastic effects

Based on reports in occupationally exposed populations, ethylene oxide is irritating to the eyes, skin, and respiratory tract. It is also a sensitizing agent.

Neurological effects have been clearly documented in workers exposed to relatively high concentrations of ethylene oxide. These include a range of clinical signs related to sensorimotor polyneuropathy, diminished or impaired psychomotor skills, reduced peripheral nerve conduction velocity, and, in individuals exposed to $>1281 \text{ mg/m}^3$, axonal degeneration with mild changes in the myelin sheath of sural nerves and degeneration atrophy of muscles (Kuzuhara et al., 1983). In monkeys, histological alterations in the axons and demyelination in the central nervous system have been observed after exposure to ethylene oxide (Sprinz et al., 1982; Lynch et al., 1984b). In mice, abnormal gait and reduced locomotor activity have been observed after medium-term exposure to low concentrations (Snellings et al., 1984a).

Evidence from epidemiological studies of reproductive effects (i.e., spontaneous abortions) of ethylene oxide in humans is limited (Hemminki et al., 1982, 1983; Rowland et al., 1996). There is also a single report of increased risk of spontaneous abortion in one investigation of women with partners who had had some potential for exposure to this chemical (Lindholm et al., 1991). With respect to biological plausibility, the data are supported by studies in animals that indicate that, among non-neoplastic effects, reproductive effects (reductions in litter size, increased post-implantation losses, and alterations in sperm morphology, count, and motility) occur at lowest concentration.

11.1.2 Exposure-response analysis

11.1.2.1 Carcinogenicity

Cancer is considered the critical end-point for quantification of exposure-response for the risk characterization for ethylene oxide.¹

Quantification of exposure-response for cancer for ethylene oxide is based on studies in laboratory animals, because of limitations of the existing epidemiological data. Moreover, available data indicate that the metabolism and mode of action of ethylene oxide in humans and laboratory animals do not differ qualitatively.

Data suitable for analysis of exposure-response are available from two carcinogenesis bioassays in F344 rats (Lynch et al., 1984a,b; Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986) and one in B6C3F₁ mice (NTP, 1987). In F344 rats, there were dose-related increases in the incidence of mononuclear leukaemias, peritoneal mesotheliomas, and brain tumours; in mice, the incidence of lung carcinomas, malignant lymphomas, uterine adenocarcinomas, mammary adenocarcinomas and adenosquamous carcinomas, and Harderian cystadenomas was increased.

Concentrations of ethylene oxide causing a 5% increase in tumour incidence over background (i.e., tumorigenic concentration_{05s}, or TC_{05s}), calculated as described in Appendix 4, range from 2.2 mg/m^3 (unit risk = $0.05/2.2 \text{ mg/m}^3 = 0.023 \text{ per mg/m}^3$) (95% lower confidence limit [LCL] = 1.5 mg/m^3) for mononuclear leukaemia² in female F344 rats to 31.0 mg/m^3 (95% LCL = 16.1 mg/m^3) for brain tumours in female 344 rats. TC_{05s} for comparable tumours in the study in which exposure-response was less well characterized (Lynch et al., 1984a,b)³ were somewhat higher ($12.5\text{--}31.9 \text{ mg/m}^3$, respectively) (Table 6).

Values of the TC_{05s} in mice ranged from 6.7 mg/m^3 (95% LCL = 4.2 mg/m^3) for Harderian cystadenomas in males to 22.7 mg/m^3 (95% LCL = 11.4 mg/m^3) for uterine adenocarcinomas. It should be noted, however, that characterization of exposure-response in the NTP (1987) bioassay on which these values are based was not

¹ However, in situations of short-term or intermittent exposure, other effects could be considered as critical.

² Mononuclear cell leukaemias are a common spontaneous tumour in F344 rats. The exact etiology of this tumour type, including cell of origin, has not been definitively identified.

³ Notably, the incidence of leukaemia in male rats in the control group in the study by Lynch et al. (1984a,b) was more than twice that in the controls in the study conducted by Snellings and co-workers (Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986) and was similar to the incidence observed in animals exposed to $183 \text{ mg ethylene oxide/m}^3$ in the latter study.

Table 6: TC₀₅s for ethylene oxide.

Tumour incidence	TC ₀₅ (mg/m ³)	LCL on TC ₀₅ (mg/m ³)	Chi-square	df	P-value
Male rats exposed to 0, 92, or 183 mg ethylene oxide/m³, 7 h/day, 5 days/week					
(Lynch et al., 1984a,b)^a					
Incidence of mononuclear cell leukaemia: 24/77, 38/70, 30/76	12.5	5.1	3.5	1	0.06
Incidence of peritoneal mesothelioma: 3/78, 9/79, 21/79	14.4	6.1	0	0	–
Incidence of brain mixed cell glioma: 0/76, 2/77, 5/79	31.9	18.3	0	1	1.0
Male and female rats exposed to 0, 18.3, 60.4, or 183 mg ethylene oxide/m³, 6 h/day, 5 days/week (Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986)^b					
Incidence of mononuclear leukaemia in males: 13/97, 9/51, 12/39, 9/30	6.0	3.1	2.2	2	0.34
Incidence of mononuclear leukaemia in females: 11/116, 11/54, 14/48, 15/26	2.2	1.5	0.58	2	0.75
Incidence of peritoneal mesothelioma in males: 2/97, 2/51, 4/39, 4/30	10.8	5.6	0.78	2	0.68
Incidence of primary brain tumours in males: 1/181, 1/92, 5/85, 7/87	17.5	10.8	1.6	2	0.50
Incidence of primary brain tumours in females: 1/188, 1/94, 3/92, 4/80	31.0	16.1	0.45	2	0.80
Male and female mice exposed to 0, 92, or 183 mg ethylene oxide/m³, 6 h/day, 5 days/week (NTP, 1987)^b					
Incidence of lung carcinoma in males: 6/50, 10/50, 16/50	10.2	4.1	0	0	–
Incidence of lung carcinoma in females: 0/49, 1/48, 7/49	19.8	10.3	0.34	2	0.84
Incidence of malignant lymphoma in females: 9/49, 6/48, 22/49	12.2	6.3	3.5	1	0.06
Incidence of uterine adenocarcinoma: 0/49, 1/47, 5/47	22.7	11.4	0.07	2	0.97
Incidence of mammary adenocarcinoma and adenosquamous carcinoma in females: 1/49, 8/48, 6/49	10.4	6.0	3.0	1	0.08
Incidence of Harderian cystadenoma in males: 1/43, 9/44, 8/42	6.7	4.2	2.0	1	0.16
Incidence of Harderian cystadenoma in females: 1/46, 6/46, 8/47	9.1	5.5	0.30	1	0.58

^a For this study, the resulting TC₀₅s (and LCL on TC₀₅s) were multiplied by (7 h/day / 24 h/day) × (5 days/week / 7 days/week) to adjust for intermittent to continuous exposure.

^b For this study, the resulting TC₀₅s (and LCL on TC₀₅s) were multiplied by (6 h/day / 24 h/day) × (5 days/week / 7 days/week) to adjust for intermittent to continuous exposure.

optimal; there were only two dose groups and controls, with the lowest administered concentration being 92 mg/m³ (Table 6).

Based on modelling (using THC program; Howe, 1995) of the incidence of *Hprt* mutations in splenic T-lymphocytes of male B6C3F₁ mice (Big Blue[®], *lacI* transgenic) exposed to ethylene oxide for 4 weeks¹ (Walker et al., 1997a), the benchmark concentration₀₅ (BMC₀₅) for somatic cell mutations (i.e., the concentration associated with a 5% increase in the incidence of *Hprt* mutation) (adjusted for intermittent to continuous exposure) was within the range of the lowest TC₀₅s in rats and mice. It should be noted, however, that characterization of exposure–response in Walker et al. (1997a) was not optimal; although there were three dose groups and controls, the lowest administered concentration was 92 mg/m³.

In the interest of utilizing all available data to inform characterization of exposure–response, the tumorigenic potencies developed based on studies in animals were compared with risks of haematological cancers reported in epidemiological studies in populations occupationally exposed to ethylene oxide. The protocol and results of these analyses are reported elsewhere (Health Canada, 1999b). Results indicated that risks predicted based on the most sensitive outcome in rats (mononuclear cell leukaemia in female F344 rats) were consistent with the confidence intervals of the SMRs observed for both leukaemias overall and all haematopoietic neoplasms in males in the cohort study by Stayner et al. (1993) (i.e., the only epidemiological study in which individual cumulative exposure was characterized). However, the limitations of this comparative exercise preclude its meaningful contribution to quantification of risk. These include uncertainties of the available epidemiological data on ethylene oxide, which prevent adequate consideration of traditional criteria for causality (particularly with respect to periods of follow-up in investigations of greatest sensitivity). Moreover, meaningful direct comparison of potency in laboratory animals with that in humans is precarious at best, in light of the inadequacy of available information on interspecies variations in kinetics and metabolism and mode of action to serve as a basis for characterization of site concordance between animals and humans and the extremely wide range of the confidence limits on the SMRs in the epidemiological studies.

11.1.2.2 Germ cell mutations

There have been several efforts to quantify the genetic risk to the offspring of humans exposed to

¹ The mean frequency ($\times 10^{-6}$) of *Hprt* mutations was 2.2, 3.8, 6.8, and 14.1 in animals exposed to 0, 92, 183, and 366 mg/m³, respectively.

ethylene oxide, the most comprehensive of which is that of Natarajan et al. (1995), which documents the outcome of deliberations of an international workshop of experts. This exercise was undertaken to identify data gaps that would permit a more refined estimate of heritable genetic risk from ethylene oxide and to acquire experience with the parallelogram approach to better inform future efforts in this area. The outcome is presented here primarily as a basis for comparison with the tumorigenic potencies for cancer, to ensure that measures developed for this end-point will be protective for other reported effects. However, it meets this objective only in part, since the calculated genetic risk is underestimated; it is based on induced dominant visible mutations only and does not take into consideration recessive mutation, dominant lethal mutations, or heritable translocations. The relevant data for these end-points were judged either not to be sufficiently robust or to result in a very small increment in actual genetic risk to live offspring. An increase in dominant lethal mutations in humans might be manifested in an increase in spontaneous abortions, as reported in some hospital sterilization workers (Hemminki et al., 1982).

The analysis was based on induced dominant visible mutations in mice from a study by Lewis et al. (1986), which was designed to mimic human occupational exposure (i.e., involving exposure for prolonged periods in order to cover all stages of spermatogenesis). Using the parallelogram approach and additional quantitative data on somatic mutations (*Hprt* in splenocytes) in mice (Walker et al., 1994) and in an occupationally exposed human population (*HPRT*) (Tates et al., 1991), it was estimated that exposure for one working year (1800 h) to 1.8 mg ethylene oxide/m³ would lead to an incremental risk of 4×10^{-4} above background that a disease with dominant inheritance would be transferred to the offspring. As a basis for comparison with the potency estimates for cancer, the BMC₀₅ for this effect would be 46 mg/m³.²

Identified sources of uncertainty of the estimate were the doubling dose for *Hprt* mutations in the mouse, the doubling dose for *HPRT* mutations in humans, the mutation rate in mice, the number of loci involved, the risk from exposure of females, the extrapolation from mutation frequency to dominant disease states, and the possible influence of dose rates (Natarajan et al., 1995). Although there was some attempt by the authors to quantify uncertainty from these sources, such an estimate does not reflect uncertainty associated with reliance on limited (possibly unrepresentative) data, which could be considerably greater.

² Value has been adjusted for intermittent (occupational) to continuous exposure, but not for reproductive lifetime, due to relatively short period of spermatogenesis.

11.1.2.3 Non-neoplastic effects

Non-neoplastic effects of ethylene oxide have been observed only at concentrations greater than those at which increases in tumours have been reported in other studies. In addition, in view of the likely critical role of the genotoxicity of ethylene oxide, for which the weight of evidence is consistent and convincing in the induction of tumours, cancer is clearly the critical end-point for quantification of exposure–response for risk characterization for long-term exposure of the general population, and measures based on this end-point will be protective for other reported effects. For example, a tolerable concentration based upon observed effects on the sperm and brain in monkeys exposed to ethylene oxide over a long period (Sprinz et al., 1982; Lynch et al., 1984b,c; Setzer et al., 1996) or upon reproductive effects observed in rats exposed to ethylene oxide in a subchronic toxicity study (Snellings et al., 1982b) would be in the range of tens of micrograms per cubic metre.

11.1.3 Sample risk characterization

Although limited, available data are consistent with air being the principal medium of exposure of the general population to ethylene oxide; intake from other media is likely to be negligible in comparison. Moreover, with the exception of air in the vicinity of industrial point sources, ethylene oxide has seldom been determined or detected in samples of ambient air, indoor air, or drinking water.

For compounds such as ethylene oxide, where induction of tumours likely involves direct interaction with genetic material, in view of the consistent and unequivocal evidence of genotoxicity, estimates of exposure are compared with quantitative estimates of cancer potency to characterize risk. The lowest TC_{05} in the study in rats with optimal characterization of exposure–response was 2.2 mg/m^3 for the development of mononuclear leukaemias in female F344 rats exposed via inhalation to ethylene oxide; the 95% LCL was 1.5 mg/m^3 (Table 6). The margins between carcinogenic potency and the extremely limited data on measured and predicted concentrations of ethylene oxide in ambient (and indoor) air in Canada (and elsewhere) are presented in Table 7. Based upon margins between censored mean concentrations for monitoring data from a multi-media exposure study conducted in Canada, predicted risks are within the category of equivalent low-dose risk estimates¹ of 10^{-7} to 10^{-5} , although it should be noted that this is based on detection in a very small proportion of samples in the study. The predicted risks in the vicinity of an ethylene oxide production facility or facilities using this substance for sterilization are within

¹ Categories of predicted low-dose risk estimates: $<10^{-7}$; 10^{-7} to $<10^{-5}$; and 10^{-5} (see Health Canada, 1994).

the category of equivalent low-dose risk estimates of 10^{-5} . However, it should be noted that this is based on concentrations modelled taking into account information on releases, which have not been validated by monitoring data. Although monitored levels in Los Angeles, California, in 1990 exceeded these values considerably (maximum mean = $956 \text{ } \mu\text{g/m}^3$), the 95% confidence interval was wide ($0.75\text{--}5600 \text{ } \mu\text{g/m}^3$), and the sample size ($n = 6$ samples from single location with highest concentrations) was small (Havlicek et al., 1992).

11.1.4 Uncertainties and degree of confidence in human health risk characterization

While some limitations of the data on exposure have been indicated above, this text addresses uncertainties in data on effects, since it is this information that is likely most relevant in an international context. Exposure estimates and resulting risk characterizations² presented in CICADs are examples, only.

The degree of confidence in the database on the toxicity of ethylene oxide is moderate. While the database on non-cancer toxicity in laboratory animals is limited, there is a high degree of confidence that cancer and heritable genotoxicity occur at lowest concentrations, and risk management measures developed on the basis of exposure–response for these effects will be protective for other adverse effects in the general population.

The carcinogenicity of ethylene oxide in humans has been investigated in a number of studies, the largest of which involved a cohort of more than 18 000 individuals. However, limitations of these investigations prevent adequate consideration of traditional criteria for causality (particularly with respect to periods of follow-up in investigations of greatest sensitivity).³ Similarly, epidemiological studies on cytogenetic changes and reproductive effects in human populations are inadequate to allow any inference concerning causality to be drawn.

While there is a high degree of certainty that the genotoxicity of ethylene oxide plays an important role in the carcinogenicity of this substance and that the metabolism and mode of action of ethylene oxide in humans and laboratory animals do not differ qualitatively, the mode of action in inducing cancer or heritable genotoxic effects has not been clearly delineated. Possible

² Quantitative estimates of cancer risk based upon the modelling of epidemiological data are lower than those derived on the basis of animal studies (Teta et al., 1999).

³ The Final Review Board became aware that the mortality analysis in this study (Steenland et al., 1991) was recently updated by Steenland and co-workers (unpublished) and that this should be considered in setting priorities for updating.

Table 7: The margins between carcinogenic potency and limited available data on predicted and measured concentrations of ethylene oxide.

Concentration of exposure to ethylene oxide	Margin between exposure and potency estimates: TC ₀₅ (2200 µg/m ³) and 95% LCL (1500 µg/m ³)		Category of equivalent low-dose risk estimate ^a
0.0062 µg/m ³ ; concentration in ambient air in Southern Ontario predicted from ChemCAN fugacity model	TC ₀₅	350 000	10 ⁻⁷ to 10 ⁻⁵
	95% LCL	240 000	
0.34 µg/m ³ ; censored mean concentration in ambient air from multi-media survey in Canada (Health Canada, 1999a)	TC ₀₅	6 500	10 ⁻⁷ to 10 ⁻⁵
	95% LCL	4 400	
0.17 µg/m ³ ; censored mean concentration in indoor air from multi-media survey in Canada (Health Canada, 1999a)	TC ₀₅	13 000	10 ⁻⁷ to 10 ⁻⁵
	95% LCL	8 800	
2.12 µg/m ³ ; predicted maximum average daily concentration in ambient air in the vicinity of Canadian hospitals	TC ₀₅	1 040	10 ⁻⁵
	95% LCL	710	
4.9 µg/m ³ ; maximum concentration in ambient air from multi-media survey in Canada (Health Canada, 1999a)	TC ₀₅	450	10 ⁻⁵
	95% LCL	310	
4.0 µg/m ³ ; maximum concentration in indoor air from multi-media survey in Canada (Health Canada, 1999a)	TC ₀₅	550	10 ⁻⁵
	95% LCL	375	
20.1 µg/m ³ ; predicted maximum 1-h ground-level concentration in ambient air in the vicinity of an ethylene oxide production facility in Canada	TC ₀₅	110	10 ⁻⁵
	95% LCL	75	
2 µg/m ³ ; predicted maximum average annual concentration in ambient air in the vicinity of a sterilization facility in Florida (Tutt & Tilley, 1993)	TC ₀₅	1 100	10 ⁻⁵
	95% LCL	750	
11 µg/m ³ ; predicted maximum average annual concentration in ambient air in the vicinity of a sterilization facility in Florida (Tutt & Tilley, 1993)	TC ₀₅	200	10 ⁻⁵
	95% LCL	140	
956 µg/m ³ ; highest mean 24-h ambient air concentration sampled in Los Angeles (Havlicek et al., 1992)	TC ₀₅	2.3	10 ⁻⁵
	95% LCL	1.6	

^a Categories of equivalent low-dose risk estimates: <10⁻⁷; 10⁻⁷ to <10⁻⁵; and 10⁻⁵ (Health Canada, 1994).

quantitative variations between humans and animals have also not been elucidated.

Meaningful direct comparison of carcinogenic potency in laboratory animals with that in humans is precluded due to limitations of the epidemiological database, the inadequacy of available information on interspecies variations in kinetics, metabolism, and mode of action to serve as a basis for characterization of site concordance between animals and humans, and the extremely wide range of the confidence intervals on the SMRs in the epidemiological studies.

There is some uncertainty concerning the relevance to humans of mononuclear cell leukaemias in F344 rats, since this type of tumour is specific to this strain of rat, it arises spontaneously with a significant frequency in older unexposed animals, and its etiology has not been definitively identified. However, TC₀₅s for the tumours with next greatest potency for the studies in rats and mice with optimum characterization of exposure–response would be approximately only 3-fold greater, and the outcome of the sample risk characterization would remain the same. The 95% LCL on the TC₀₅ for mononuclear leukaemia in female rats was 1.5 mg/m³, versus the maximum likelihood estimate of 2.2 mg/m³. Based upon the highest TC₀₅ identified from the study in which exposure–response was best characterized (i.e., 31.0 mg/m³ for primary brain tumours in female F344 rats), the resulting potency would be approximately 14-fold lower than those derived (in section 11.1.3) on the

basis of the mononuclear cell leukaemias in female F344 rats.

11.2 Evaluation of environmental effects

11.2.1 Assessment end-points

All reported releases of ethylene oxide are to air, and pathways analysis indicates that, following release to air, ethylene oxide is unlikely to partition to other compartments in significant amounts. Its high water solubility may encourage some washout via precipitation; however, available evidence indicates that this removal mechanism has minimal impact.

Although releases to water and soil are not common, it is understood that some releases to these media may occur in the event of a spill or similar release scenario. Persistence in these media is not expected, as ethylene oxide has a high Henry's law constant (12.2–19.9 Pa·m³/mol), and the experimental data indicate that volatilization from water will occur rapidly (half-life ~1 h). Although no information was available regarding concentrations of ethylene oxide in wastewater discharged from manufacturing and processing operations, releases from these sources are expected to be minimal, especially when one considers temperatures and retention times in wastewater treatment processes. Based on these considerations, aquatic concentrations are expected to be negligible; therefore, potential for adverse effects on aquatic organisms is also considered negligible.

Given that the primary medium of release for ethylene oxide is the atmosphere and that the chemical's properties cause it to remain in and react in that compartment, the assessment end-point will be atmospherically exposed organisms. Although evidence is strong concerning ethylene oxide-induced genotoxic and carcinogenic effects (see sections 9.1 and 9.2), the actual population-level impact on wildlife from these end-points is not completely clear when one considers population resilience, dose-response, and induction frequency. Among the observed effects, adverse impacts on reproduction are decidedly the end-point that would have the greatest potential to adversely impact wildlife population levels. Other effects may occur at slightly lower concentrations.

11.2.2 Environmental risk characterization

There are only a few ambient measurements of ethylene oxide in Canada. Some limited additional data were identified for the urban area of Los Angeles, California, USA (Havlicek et al., 1992). The maximum mean 24-h ambient air concentration detected in the Los Angeles urban area was $956 \mu\text{g}/\text{m}^3$ (95% CI = 0.75–5600 $\mu\text{g}/\text{m}^3$; $n = 6$ samples from single location with highest concentrations) in May 1990 and will be used as the estimated exposure value (EEV) to represent a worst-case atmospheric concentration.

Data on toxicity are very limited for all of the environmental compartments. The most sensitive terrestrial organisms were laboratory test rodents, which will be considered as surrogates for wild rodent species. The critical toxicity value (CTV) is derived from a reproductive study by Snellings et al. (1982b); the effects reported in this study were determined to represent the most significant ecological end-point in terms of the potential to adversely impact natural wildlife populations. The authors reported that at the highest exposure concentration ($183 \text{ mg}/\text{m}^3$), there was a significant drop in the number of pups born per litter. There were also fewer implantation sites and fewer pups born per implantation site. Thus, the CTV for terrestrial animals is $183 \text{ mg}/\text{m}^3$. The CTV is based on a relatively small data set and was the maximum concentration tested in the study. In addition, the study was conducted in the laboratory (not the field), and no statistics were applied to determine whether $183 \text{ mg}/\text{m}^3$ is truly the lowest adverse effect concentration, nor was the study designed to make this determination. For these reasons, and because effects less clearly related to population-level impacts (e.g., decreased weight) were observed at slightly lower levels, a relatively large application factor of 100 is applied to the CTV to derive the estimated no-effects value (ENEV) for terrestrial biota of $1830 \mu\text{g}/\text{m}^3$. The quotient EEV/ENEV thus is $956/1830 = 0.52$. Since the quotient is less than 1, the risks posed by long-term

exposure of terrestrial biota to ethylene oxide in the environment are expected to be minimal.

11.2.3 Discussion of uncertainty

Data on toxicity are available for a limited number of species in all environmental compartments. Some level of uncertainty remains for those studies prepared using nominal concentrations as opposed to measured. In addition, although mutagenic effects have been observed in a variety of terrestrial plants and mammals, their population-level impacts are uncertain.

The large variation in atmospheric concentrations in the state of California over a short period of time (see section 6.1.1) suggests that there may be some uncertainty in these ambient values.

12. PREVIOUS EVALUATIONS BY INTERNATIONAL BODIES

IARC (1994) had concluded that there is limited evidence for the carcinogenicity of ethylene oxide in humans, but there is sufficient evidence for the carcinogenicity of ethylene oxide in experimental animals. As ethylene oxide is a powerful mutagen and clastogen at all phylogenetic levels and induces clastogenic changes and DNA and haemoglobin adducts in exposed workers, and as the tumorigenic response in experimental animals is similar to that in humans, IARC (1994) concluded that ethylene oxide is carcinogenic to humans (Group 1).

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APPENDIX 1 — SOURCE DOCUMENT

Environment Canada & Health Canada (2001)

Copies of the *Canadian Environmental Protection Act* Priority Substances List assessment report (Environment Canada & Health Canada, 2001) and unpublished supporting documentation for ethylene oxide (Environment Canada, 1999; Health Canada, 1999a,b) may be obtained from:

Commercial Chemicals Evaluation Branch
Environment Canada
14th floor, Place Vincent Massey
351 St. Joseph Blvd.
Gatineau, Quebec
Canada K1A 0H3

or

Environmental Health Centre
Health Canada
Address Locator: 0801A
Tunney's Pasture
Ottawa, Ontario
Canada K1A 0L2

Initial drafts of the supporting documentation and assessment report for ethylene oxide were prepared by staff of Health Canada and Environment Canada. Sections of the supporting documentation and assessment report on genotoxicity were reviewed by G. Douglas (Environmental and Occupational Toxicology Division, Health Canada). H. Hirtle contributed additional information in the preparation of the draft CICAD.

Environmental sections of the assessment report and supporting documentation (Environment Canada, 1999) were reviewed externally: D. Maletski (BUA, Germany) and D. Markwordt (US Environmental Protection Agency).

In order to address primarily adequacy of coverage, sections of the supporting documentation pertaining to human health were reviewed externally by:

T. Fennell, Chemical Industry Institute of Toxicology
R. Gingell, Shell Chemical Co.
L. Recio, Chemical Industry Institute of Toxicology
W.M. Snellings, Union Carbide
M.J. Teta, Union Carbide
V. Walker, New York State Department of Health

Accuracy of reporting, adequacy of coverage, and defensibility of conclusions with respect to hazard characterization and dose-response analysis were considered in written review by staff of the Information Department of BIBRA International and at a panel meeting of the following members, convened by Toxicology Excellence for Risk Assessment (TERA) on 12 August 1999 in Ottawa, Canada:

M. Bogdanffy, DuPont Haskel Laboratory
J. Christopher, California Environmental Protection Agency
M. Dourson, TERA
S. Felter, Procter & Gamble
J. Mandel, Exponent
R. Rudel, Silent Spring Institute
V. Walker, New York State Department of Health

J. Preston (US Environmental Protection Agency) provided written comments on the draft supporting documentation, hazard characterization, and dose-response analysis.

APPENDIX 2 — CICAD PEER REVIEW

The draft CICAD on ethylene oxide was sent for review to IPCS national Contact Points and Participating Institutions, as well as to identified experts. Comments were received from:

M. Baril, International Programme on Chemical Safety/Institut de Recherche en Santé et en Sécurité du Travail du Québec, Canada

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APPENDIX 3 — CICAD FINAL REVIEW BOARD

**Monks Wood, United Kingdom
16–19 September 2002**

Members

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APPENDIX 4 — DERIVATION OF TC₀₅

Concentrations of ethylene oxide causing a 5% increase in tumour incidence over background (i.e., tumorigenic concentration_{05S}, or TC_{05S}) were calculated by first fitting the multistage model to the dose–response data (see Figure A1). The multistage model is given by:

$$P(d) = 1 + e^{-q_0} + q_1 d + \dots + q_k d^k$$

where d is dose, k is the number of dose groups in the study minus one, $P(d)$ is the probability of the animal developing a tumour at dose d and $q_i > 0$, $i = 1, \dots, k$ are parameters to be estimated.

The models were fit using GLOBAL82 (Howe & Crump, 1982), and the TC_{05S} were calculated as the concentration C that satisfies:

$$\frac{P(C) - P(0)}{1 - P(0)} = 0.05$$

A chi-square lack of fitness test was performed for each of the three model fits. The degrees of freedom for this test are equal to k minus the number of q_i 's for which estimates are non-zero. A P -val less than 0.05 indicates a significant lack of fit.

The TC_{05S} and the corresponding 95% lower confidence limit (95% LCL) were adjusted for continuous exposure by multiplying the values by either $7/24 \times 5/7$ (for the study reported by Lynch et al. [1984a,b], in which animals were exposed for 7 h/day, 5 days/week) or $6/24 \times 5/7$ (for the studies reported by Snellings et al. [1984b], Garman et al. [1985], Garman & Snellings [1986], and NTP [1987], in which animals were exposed for 6 h/day, 5 days/week). Model parameters, the adjusted TC_{05S}, and corresponding 95% LCLs are presented in Table 7 in section 11.1.3.

For the tumours in rats, characterization of exposure–response was optimal in the study reported by Snellings et al. (1984b), Garman et al. (1985), and Garman & Snellings (1986). The number of dose groups was greatest in this bioassay, and two of the three doses were in a lower concentration range than in the study by Lynch et al. (1984a,b) (0, 18.3, 60.4, or 183 mg/m³ versus 0, 92, or 183 mg/m³). Dose spacing was excellent (approximately 3fold variation between concentrations), both sexes were exposed, and group sizes were slightly larger (120 per sex per group) than in the bioassay of Lynch et al. (1984a,b) (80 males per group).

For the study in rats in which exposure–response was best characterized (Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986), the TC_{05S} range from 2.2 mg/m³ (95% LCL = 1.5 mg/m³) for mononuclear leukaemia¹ in female F344 rats to 31.0 mg/m³ (95% LCL = 16.1 mg/m³) for brain tumours in female F344 rats. TC_{05S} for comparable tumours in the study in which exposure–response was less well characterized (Lynch et al., 1984a,b) were somewhat higher, ranging from 12.5 mg/m³ for mononuclear cell leukaemia to 31.9 mg/m³ for mixed brain cell glioma.

Values of the TC_{05S} in mice ranged from 6.7 mg/m³ (95% LCL = 4.2 mg/m³) for Harderian cystadenomas in males to 22.7 mg/m³ (95% LCL = 11.4 mg/m³) for uterine adenocarcinomas. It should be noted, however, that characterization of exposure–response in the NTP (1987) bioassay on which these values are based was not optimal; there were only two dose groups and controls, with the lowest administered concentration being 92 mg/m³.

For none of the modelled TC_{05S} was there significant lack of fit ($P > 0.05$, Table 7 in section 11.1.3). For the study in rats in which exposure–response was best characterized (Snellings et al., 1984b; Garman et al., 1985; Garman & Snellings, 1986) and that in mice

(NTP, 1987), fits for malignant lymphomas and mammary adenocarcinomas and adenosquamous carcinomas (combined) in females in the latter investigation were poorest ($P = 0.06$ and 0.08 , respectively).

Based on modelling (using THC program; Howe, 1995) of the incidence of *Hprt* mutations in splenic T-lymphocytes of male B6C3F₁ mice (Big Blue[®], *lacI* transgenic) exposed to ethylene oxide for 4 weeks² (Walker et al., 1997a), the benchmark concentration₀₅ (BMC₀₅) for somatic cell mutations (i.e., the concentration associated with a 5% increase in the incidence of *Hprt* mutation) (adjusted for intermittent to continuous exposure) was within the range of the lowest TC_{05S} in rats and mice. It should be noted, however, that characterization of exposure–response in Walker et al. (1997a) was not optimal; although there were three dose groups and controls, the lowest administered concentration was 92 mg/m³.

In the interest of utilizing all available data to inform characterization of exposure–response, the tumorigenic potencies developed based on studies in animals were compared with risks of haematological cancers reported in epidemiological studies in populations occupationally exposed to ethylene oxide. The SMRs for leukaemia reported by Stayner et al. (1993) (the only epidemiological study in which individual cumulative exposure was characterized) have been compared with the risk for the most severe outcome in rats (mononuclear cell leukaemia in females). There were three exposure groups in the cohort: <1200, 1200–8500, and >8500 ppm-days, with corresponding SMRs (95% CI) of 99 (27–252), 85 (23–219), and 75 (15–218). To compare these results with those from the studies in animals, the human exposures were converted to lifetime concentrations in mg/m³, by first converting the cumulative exposures (ppm-days) to ambient occupational levels by multiplying by:

$$1 / (4.8 \text{ years} \times 240 \text{ days/year})$$

where 4.8 years was the average duration of exposure in the cohort and 240 days is the number of occupational days worked per year. The resulting ambient occupational exposures were converted to lifetime environmental exposures by multiplying by:

$$(8 \text{ h/24 h}) \times (240 \text{ days/365 days}) \times (4.8 \text{ years/70 years})$$

where it is additionally assumed that cohort members worked for an average of 8 h/day and that the standard human lifespan is 70 years. The resulting environmental exposures in ppm were multiplied by 1.83, the conversion factor for ethylene oxide, to convert them to the units of mg/m³.

The relative risk that would be predicted by the fitted animal model was then compared with the observed SMRs from the human study. The relative risk at a given experimental dose is calculated by $P(d)/P(0)$, where $P(d)$ is the fitted multistage model. The lifetime exposures above were converted to equivalent exposures in the studies in animals so that $P(d)$ could be applied by multiplying them by $(24/6) \times (7/5)$ (6 = h/day of exposure, 5 = days/week of exposure).

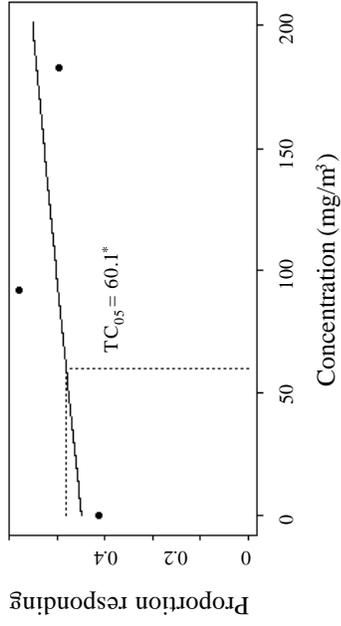
The midpoints of the estimates of exposure for the lower two categories and the lower limit for the highest exposure group in the Stayner et al. (1993) cohort were converted to ambient environmental exposures, yielding 0.02, 0.18, and 0.31 mg/m³, respectively. At these exposures, the modelled experimental data from animals predict relative risks of 1.00, 1.04, and 1.06, which lie within the 95% confidence interval of the human SMRs. Note that these exposures are very low compared with the exposures used to fit the animal model. The lowest animal dose group was 3.27 mg/m³ (continuous dosage), with a fitted relative risk of 1.65.

These predicted risks are also consistent with the SMRs for all haematopoietic neoplasms in males from the same cohort. These SMRs were 95 (95% CI 26–243), 143 (62–283), and 196 (101–343) for the same exposure groups as above.

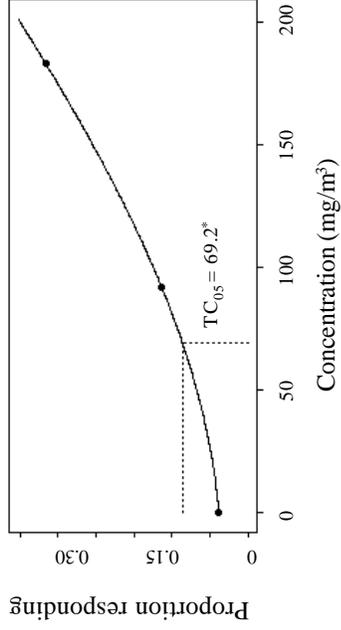
¹ Mononuclear cell leukaemias are a common spontaneous tumour in F344 rats. The exact etiology of this tumour type, including cell of origin, has not been definitively identified.

² The mean frequency ($\times 10^{-6}$) of *Hprt* mutations was 2.2, 3.8, 6.8, and 14.1 in animals exposed to 0, 92, 183, and 366 mg/m³, respectively.

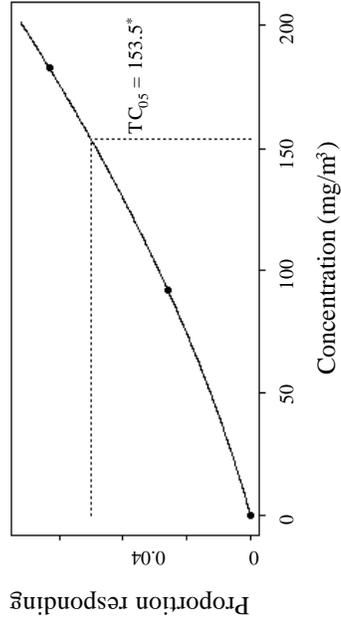
Mononuclear cell leukemia in male rats
(Lynch *et al.*, 1984a, b)



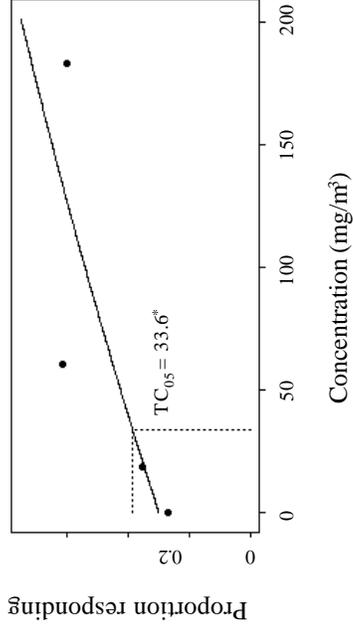
Peritoneal mesothelioma in male rats
(Lynch *et al.*, 1984a, b)



Brain mixed cell glioma in male rats
(Lynch *et al.*, 1984a, b)



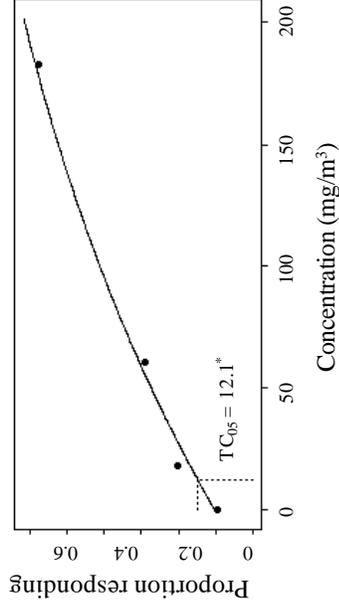
Mononuclear cell leukemia in male rats
(Snellings *et al.*, 1984a, b, Garman *et al.*, 1985, Garman and Snellings, 1986)



* TC₀₅ unadjusted for lifetime dosing

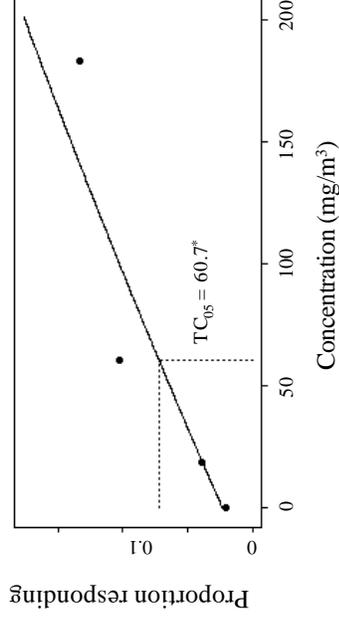
Mononuclear cell leukemia in female rats

(Snellings *et al.*, 1984a, b, Garman *et al.*, 1985, Garman and Snellings, 1986)



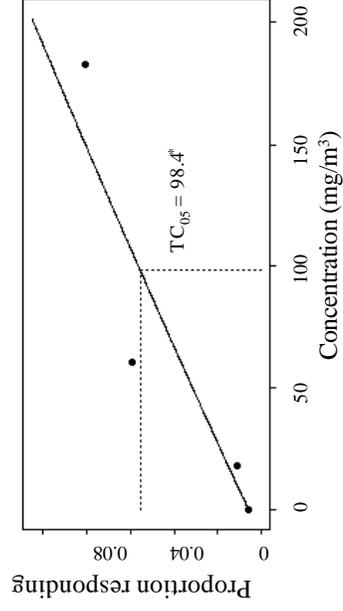
Peritoneal mesothelioma in male rats

(Snellings *et al.*, 1984a, b, Garman *et al.*, 1985, Garman and Snellings, 1986)



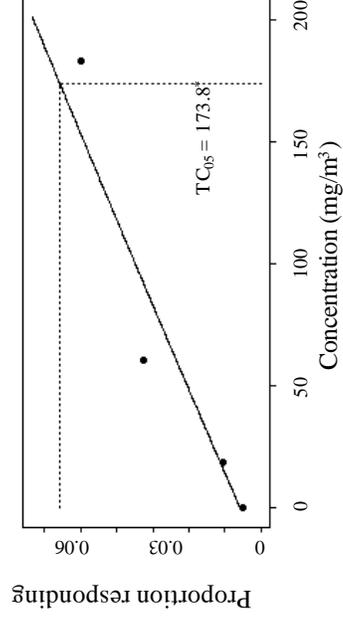
Primary brain tumours in male rats

(Snellings *et al.*, 1984a, b, Garman *et al.*, 1985, Garman and Snellings, 1986)

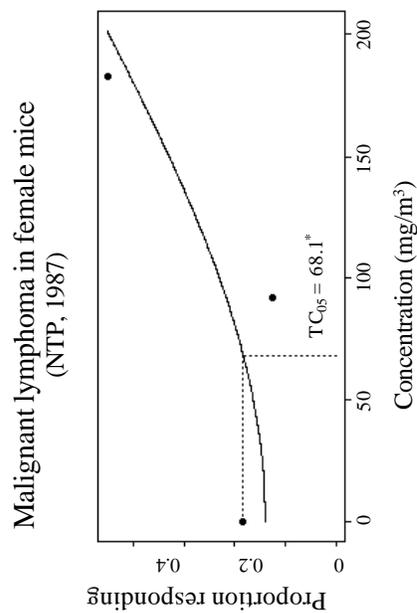
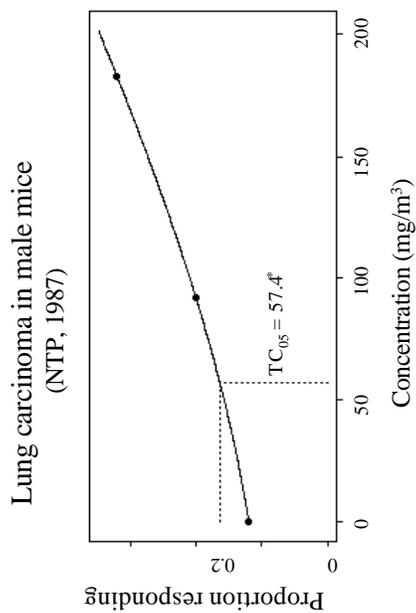
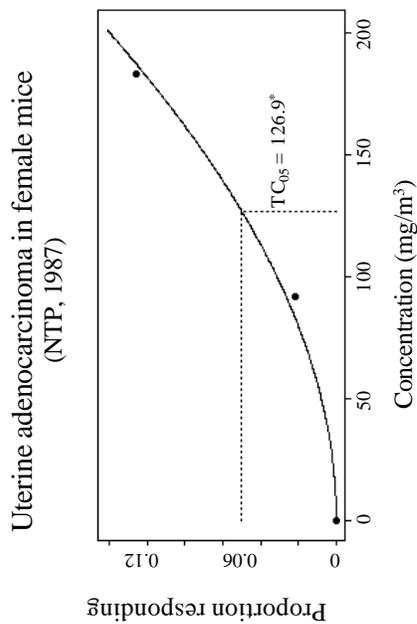
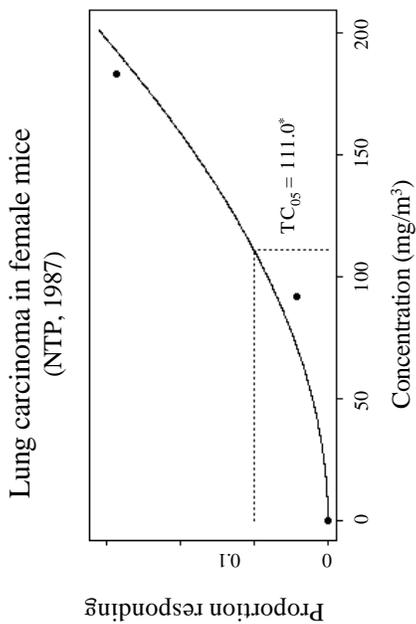


Primary brain tumours in female rats

(Snellings *et al.*, 1984a, b, Garman *et al.*, 1985, Garman and Snellings, 1986)

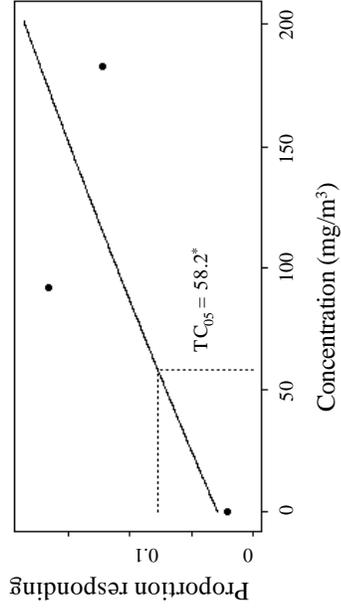


* TC₀₅ unadjusted for lifetime dosing

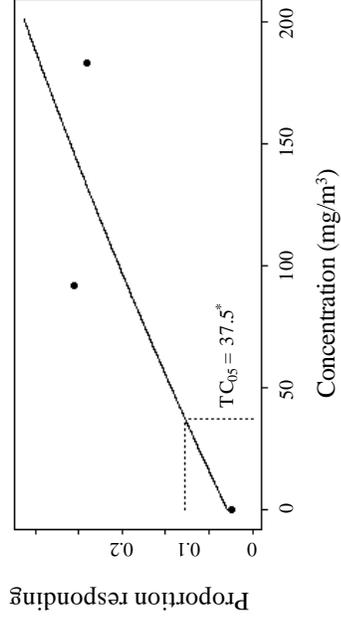


* TC_{05} unadjusted for lifetime dosing

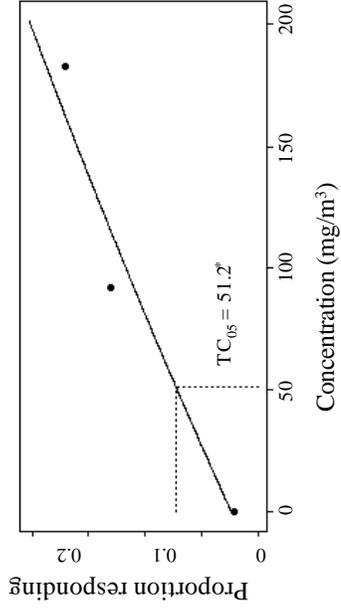
Mammary adeno & adenosquamous carcinoma in female mice (NTP, 1987)



Harderian cystadenoma in male mice (NTP, 1987)



Harderian cystadenoma in female mice (NTP, 1987)



* TC_{05} unadjusted for lifetime dosing

Results indicated that risks predicted based on the most sensitive outcome in rats (mononuclear cell leukaemia in female F344 rats) were consistent with the confidence intervals of the SMRs observed for both leukaemias overall and all haematopoietic neoplasms in males in the cohort study by Stayner et al. (1993) (i.e., the only epidemiological study in which individual cumulative exposure was characterized). However, the limitations of this comparative exercise preclude its meaningful contribution to quantification of risk. These include uncertainties of the available epidemiological data on ethylene oxide, which prevent adequate consideration of traditional criteria for causality (particularly with respect to periods of follow-up in investigations of greatest sensitivity). Moreover, meaningful direct comparison of potency in laboratory animals with that in humans is precarious at best, in light of the inadequacy of available information on interspecies variations in kinetics and metabolism and mode of action to serve as a basis for characterization of site concordance between animals and humans and the extremely wide range of the confidence limits on the SMRs in the epidemiological studies.

APPENDIX 5 — LIST OF ACRONYMS AND ABBREVIATIONS

BMC ₀₅	benchmark concentration ₀₅ concentration associated with a 5% increase in the incidence of an effect above background
BOD	biological oxygen demand
CAS	Chemical Abstracts Service
CEPA	<i>Canadian Environmental Protection Act</i>
CI	confidence interval
CICAD	Concise International Chemical Assessment Document
CTV	critical toxicity value
DNA	deoxyribonucleic acid
ECD	electron capture detector
EEV	estimated exposure value
EHC	Environmental Health Criteria
ENEV	estimated no-effects value
GC	gas chromatography
GSTT1	theta-class glutathione <i>S</i> -transferase
7-HEGua	7-(2-hydroxyethyl)guanine
HEHis	hydroxyethylhistidine
HEVal	<i>N</i> -(2-hydroxyethyl)valine
<i>Hprt</i> (HPRT)	hypoxanthinephosphoribosyl transferase
IC ₅₀	median inhibitory concentration
ICSC	International Chemical Safety Card
<i>K</i> _{oc}	sorption partition coefficient
<i>K</i> _{ow}	octanol/water partition coefficient
LC ₅₀	median lethal concentration
LD ₅₀	median lethal dose
MS	mass spectrometry
mSMR	meta standardized mortality ratio
NIOSH	National Institute for Occupational Safety and Health
OR	odds ratio
PBPK	physiologically based pharmacokinetic model
SE	standard error
SI	International System of Units (Système international d'unités)
SIR	standardized incidence ratio
SMR	standardized mortality ratio
sSMR	summary standardized mortality ratio
<i>t</i> _½	half-life
TC ₀₅	tumorigenic concentration ₀₅ (concentration causing a 5% increase in tumour incidence above background)
TWA	time-weighted average
WHO	World Health Organization

ETHYLENE OXIDE**0155**

March 1995

CAS No: 75-21-8
 RTECS No: KX2450000
 UN No: 1040
 EC No: 603-023-00-X

1,2-Epoxyethane
 Oxirane
 Dimethylene oxide
 C_2H_4O
 Molecular mass: 44.1

TYPES OF HAZARD/ EXPOSURE	ACUTE HAZARDS/SYMPTOMS	PREVENTION	FIRST AID/FIRE FIGHTING
FIRE	Extremely flammable.	NO open flames, NO sparks, and NO smoking.	Shut off supply; if not possible and no risk to surroundings, let the fire burn itself out; in other cases extinguish with powder, alcohol-resistant foam, water spray, carbon dioxide.
EXPLOSION	Gas/air mixtures are explosive. Risk of fire and explosion as a result of violent decomposition when heated.	Closed system, ventilation, explosion-proof electrical equipment and lighting. Use non-sparking handtools.	In case of fire: keep cylinder cool by spraying with water. Combat fire from a sheltered position.

EXPOSURE		STRICT HYGIENE! AVOID ALL CONTACT!	IN ALL CASES CONSULT A DOCTOR!
Inhalation	Cough. Dizziness. Drowsiness. Headache. Nausea. Sore throat. Vomiting. Weakness Symptoms may be delayed (see Notes).	Closed system and ventilation.	Fresh air, rest. Half-upright position. Refer for medical attention.
Skin	MAY BE ABSORBED! Dry skin. Redness. Burning sensation. Pain. Blisters. ON CONTACT WITH LIQUID: FROSTBITE.	Protective gloves. Cold-insulating gloves. Protective clothing.	Remove contaminated clothes. ON FROSTBITE: rinse with plenty of water, do NOT remove clothes. Rinse skin with plenty of water or shower. Refer for medical attention.
Eyes	Redness. Pain. Blurred vision.	Eye protection in combination with breathing protection.	First rinse with plenty of water for several minutes (remove contact lenses if easily possible), then take to a doctor.
Ingestion		Do not eat, drink, or smoke during work. Wash hands before eating.	

SPILLAGE DISPOSAL	PACKAGING & LABELLING
Evacuate danger area! Consult an expert! Ventilation. NEVER direct water jet on liquid. Remove gas with fine water spray (extra personal protection: complete protective clothing including self-contained breathing apparatus).	F+ Symbol T Symbol R: 45-46-12-23-36/37/38 S: 53-45 Note: E UN Hazard Class: 2.3 UN Subsidiary Risks: 2.1

EMERGENCY RESPONSE	STORAGE
Transport Emergency Card: TEC (R)-16 NFPA Code: H2; F4; R3	Fireproof. Cool.

IMPORTANT DATA

Physical State; Appearance

COLOURLESS COMPRESSED LIQUEFIED GAS.

Physical Dangers

The gas is heavier than air and may travel along the ground; distant ignition possible.

Chemical Dangers

The substance may polymerize due to heating, under the influence of acids, bases, metal chlorides and metal oxides with fire or explosion hazard. The substance decomposes on heating above 500°C, causing fire and explosion hazard. Reacts violently with many compounds. Metal fittings containing silver, copper, mercury, or magnesium should not be used since they may react with impurities in the gas to form explosive compounds.

Occupational Exposure Limits

TLV: 1 ppm; 1.8 mg/m³ (as TWA) A2 (Suspected Human Carcinogen) (ACGIH 1994-1995).

Routes of Exposure

The substance can be absorbed into the body by inhalation and through the skin in water solution.

Inhalation Risk

A harmful concentration of this gas in the air will be reached very quickly on loss of containment.

Effects of Short-term Exposure

The substance irritates the eyes, the skin and the respiratory tract. Inhalation of very high concentrations may cause lung oedema (see Notes). Water solutions may cause skin burns. Rapid evaporation of the liquid may cause frostbite. The substance may cause effects on the eyes, resulting in delayed development of cataract.

Effects of Long-term or Repeated Exposure

Repeated or prolonged contact with skin may cause dermatitis in water solutions. Repeated or prolonged contact may cause skin sensitization. The substance may have effects on the nervous system. This substance is carcinogenic to humans. May cause heritable genetic damage in humans.

PHYSICAL PROPERTIES

Boiling point: 11°C
 Melting point: -111°C
 Relative density (water = 1): 0.9
 Solubility in water: very good
 Vapour pressure, kPa at 20°C: 146

Relative vapour density (air = 1): 1.5
 Flash point: Flammable Gas
 Auto-ignition temperature: 429°C
 Explosive limits, vol% in air: 3-100
 Octanol/water partition coefficient as log Pow: -0.3

ENVIRONMENTAL DATA

The substance is harmful to aquatic organisms.

NOTES

The symptoms of lung oedema often do not become manifest until a few hours have passed and they are aggravated by physical effort. Rest and medical observation are therefore essential. Immediate administration of an appropriate spray, by a doctor or a person authorized by him/her, should be considered. The odour warning when the exposure limit value is exceeded is insufficient. Turn leaking cylinder with the leak up to prevent escape of gas in liquid state. UN numbers 1041, 1952, 2983, 3297, 3298, 3299, 3300 apply to mixtures with other gases.

ADDITIONAL INFORMATION

LEGAL NOTICE

Neither the EC nor the IPCS nor any person acting on behalf of the EC or the IPCS is responsible for the use which might be made of this information

RÉSUMÉ D'ORIENTATION

Ce CICAD sur l'oxyde d'éthylène a été rédigé conjointement par la Direction de l'hygiène du milieu de Santé Canada et la Division d'évaluation des produits chimiques commerciaux d'Environnement Canada, sur la base d'une documentation préparée dans le cadre du Programme d'évaluation des substances prioritaires, en application de la *Loi canadienne sur la protection de l'environnement* (LCPE). Les évaluations des substances prioritaires effectuées en application de cette loi portent sur les effets que pourraient avoir ces produits sur la santé humaine en cas d'exposition indirecte dans l'environnement ainsi que sur l'environnement lui-même. La présente mise au point prend en compte les données sur les effets environnementaux jusqu'à mai 1998 et les données sur les effets sanitaires jusqu'à août 1999.¹ L'appendice 2 donne des informations sur la nature de l'examen par des pairs et sur la disponibilité des sources documentaires (Environment Canada & Health Canada, 2001). D'autres études ont également été utilisées, à savoir celle de l'ATSDR (1990), de la BUA (1995), du CIRC (1976, 1994), de l'EPA des Etats-Unis (1985), ainsi qu'une précédente monographie sur ce composé parue en 1985 dans la série *Critères d'Hygiène de l'Environnement* (IPCS, 1985). Des renseignements sur l'examen par des pairs du présent CICAD sont donnés à l'appendice 3. Ce CICAD a été approuvé en tant qu'évaluation internationale lors de la réunion du Comité d'évaluation finale qui s'est tenue à Monk Woods (Royaume-Uni) du 16 au 19 septembre 2002. La liste des participants à cette réunion figure l'appendice 4. La fiche internationale sur la sécurité chimique de l'oxyde d'éthylène (ICSC 0155), établie par le Programme international sur la sécurité chimique (IPCS, 1999), est également reproduite dans le présent document.

L'oxyde d'éthylène (No CAS 75-21-8) se présente sous la forme d'un gaz incolore et très réactif à la température et sous la pression normales. Il est très soluble dans l'eau.

¹ Les nouvelles données jugées importantes ont été examinées compte tenu de leur influence probable sur les conclusions essentielles de la présente évaluation, le but étant principalement d'établir si leur prise en compte serait prioritaire lors d'une prochaine mise à jour. Elles pourront ainsi être dûment examinées dans le contexte général de la base de données complète lors des différentes phases de l'analyse nationale interne et externe, puis ultérieurement, lors de l'analyse au niveau international. On a également ajouté des données plus récentes jugées non essentielles pour la caractérisation du risque ou l'établissement d'une relation dose-réponse, mais que les auteurs ont considérées comme informatives.

La production d'oxyde d'éthylène dans le pays à l'origine du présent CICAD (à savoir le Canada) a été de 625 kilotonnes en 1996, dont 95 % ont été utilisés pour la production d'éthylène-glycol. On estime que 4 % ont été utilisés pour la fabrication d'agents tensio-actifs. L'oxyde d'éthylène sert également à la stérilisation du matériel médical et autres produits sensibles à la chaleur.

L'oxyde d'éthylène est libéré en majeure partie dans l'atmosphère. Les autres émissions d'oxyde d'éthylène, par exemple à partir de terrains détremés sont vraisemblablement négligeables. Dans le pays de référence (Canada), on estime que les sources anthropogéniques d'oxyde d'éthylène en ont libéré en 1996 quelque 23,0 tonnes (compte non tenu du tonnage utilisé pour la stérilisation), en totalité dans l'atmosphère. On estime également que 3,0 tonnes supplémentaires se sont dissipées dans l'atmosphère en 1996 lors d'interventions de maintenance dans les établissements de soins utilisant de l'oxyde d'éthylène pour la stérilisation ou encore lors d'opérations de stérilisation à des fins commerciales.

Il est peu probable que l'oxyde d'éthylène libéré dans l'atmosphère passe en quantité importante dans d'autres compartiments de l'environnement. Sa demi-vie atmosphérique établie par référence à sa réaction sur les radicaux hydroxyle engendrés par voie photochimique se situe entre 38 et 382 jours. En cas de décharge ou de déversement dans l'eau, le composé devrait s'évaporer, s'hydrolyser ou encore subir une décomposition aérobie et, dans une moindre mesure, anaérobie. Dans l'eau, sa demi-vie de volatilisation est d'environ 1 h, son temps de demi-hydrolyse d'environ 12 à 14 jours, son temps de demi-dégradation aérobie de 20 jours à 6 mois et son temps de demi-dégradation anaérobie de 4 mois à 2 ans. Dans le sol, l'oxyde d'éthylène devrait se volatiliser rapidement. On estime que son temps de demi-hydrolyse dans le sol et dans les eaux souterraines est compris entre 10,5 et 11,9 jours. Il est peu probable que l'oxyde d'éthylène subisse une bioaccumulation car son coefficient de partage entre l'octanol et l'eau ($\log K_{ow}$) est très faible.

L'oxyde d'éthylène est rapidement résorbé au niveau du poumon, après quoi il se répartit dans l'organisme pour être ensuite métabolisé en éthylène-glycol et en glutathiono-conjugués. Il peut être absorbé par voie transcutanée à partir de la phase gazeuse ou de solutions aqueuses et se répartit ensuite uniformément dans l'organisme. Il se comporte comme un agent alkylant et forme des adduits avec les protéines et l'ADN. On utilise ses adduits avec l'hémoglobine pour la surveillance biologique.

L'oxyde d'éthylène présente une faible toxicité aiguë pour les rongeurs et les chiens, la CL_{50} à 4 h étant généralement supérieure à 1 500 mg/m³. L'étude des effets non néoplasiques qu'il provoque à l'issue

d'expositions répétées n'a fourni que des données limitées, l'accent ayant été mis jusqu'ici sur la cancérogénicité de ce composé. Les effets dont il est fait état chez l'animal sont d'ailleurs principalement de nature hématologique et neurologique.

En s'appuyant sur des études consacrées principalement à des populations exposées de par leur profession, on a constaté que l'oxyde d'éthylène est irritant pour les yeux, les voies respiratoires et la peau et qu'il se comporte en outre comme un agent sensibilisant. Des effets neurologiques (essentiellement une polyneuropathie sensorimotrice) ont été observés chez des travailleurs exposés à des concentrations relativement élevées ainsi que chez des animaux exposés à des concentrations plus fortes que celles qui donnent lieu à une augmentation de l'incidence tumorale.

La voie d'exposition principale chez l'Homme et celle qui est la plus importante sur le plan sanitaire est la voie respiratoire à partir de l'air ambiant. L'expérimentation animale montre que l'on peut considérer le cancer comme le point d'aboutissement essentiel de l'effet toxique d'une exposition prolongée des populations humaines à l'oxyde d'éthylène. Les études comportant une exposition au gaz par inhalation ont permis de mettre en évidence la formation de tumeurs très variées (par exemple, leucémies, lymphomes, tumeurs cérébrales et rénales) et il est très probable, compte tenu des éléments d'appréciation cohérents et convaincants dont on dispose, que le composé agit directement sur le matériel génétique. On est également fondé à penser, dans une certaine mesure, qu'il existe un lien entre l'exposition à l'oxyde d'éthylène et l'apparition de cancers hématologiques chez les personnes exposées de par leur profession, mais les données sont trop limitées pour qu'on puisse en tirer des conclusions définitives.

L'oxyde d'éthylène produit des mutations géniques *in vitro* et *in vivo* à tous les niveaux phylogénétiques. Il produit également des mutations dans les cellules germinales et des effets clastogènes chez les animaux de laboratoire. Les données montrent que l'oxyde d'éthylène provoque systématiquement des anomalies clastogènes chez les travailleurs exposés.

Chez les animaux de laboratoire, l'oxyde d'éthylène se révèle foetotoxique à des concentrations supérieures à celles qui provoquent des effets néoplasiques ou non néoplasiques (neurologiques par exemple), que la concentration soit ou non toxique pour la mère; il n'est tératogène qu'à concentration très élevée (supérieure à environ 1 600 mg/m³). Les données fournies par les études épidémiologiques relatives aux effets de l'oxyde d'éthylène sur la reproduction humaine (principalement les avortements spontanés) sont limitées. Chez les animaux de laboratoire, de tous les effets non

néoplasiques, ce sont les effets sur la reproduction qui s'observent à la concentration la plus faible (> 90 mg/m³). Il s'agit notamment d'une diminution de la taille des portées, d'une augmentation des pertes foetales post-implantatoires et d'anomalies dans la morphologie, la numération et la mobilité des spermatozoïdes.

On considère que le cancer constitue le point d'aboutissement essentiel pour la quantification de la relation dose-réponse qui sert de base à la caractérisation du risque que représente l'oxyde d'éthylène. La concentration la plus faible déterminant une augmentation de 5 % de l'incidence par rapport à la valeur de référence (CT₀₅) chez des souris ou des rats pour qui la relation dose-réponse avait été caractérisée de manière optimale a été trouvée égale à 2,2 mg/m³ (risque unitaire = 0,05/2,2 mg/m³ = 0,023 par mg/m³) dans le cas de l'apparition de leucémies mononucléaires chez des rattes F344 exposées par voie respiratoire à de l'oxyde d'éthylène; la limite inférieure de l'intervalle de confiance à 95 % était de 1,5 mg/m³. On donne également, principalement dans le but de comparer le pouvoir tumorigène dans le cas du cancer, la concentration (46 mg/m³) qui détermine une augmentation de 5 % de l'incidence des mutations dans les cellules germinales (CMB₀₅), encore que cette valeur n'ait été établie que sur la base de mutations dominantes visibles, sans tenir compte d'autres points d'aboutissement génétiques dans la descendance vivante. De même, les concentrations tolérables basées sur les effets neurologiques ou génétiques seraient de l'ordre de quelques dizaines de microgrammes par mètre cube.

A partir de là, le risque de cancer que l'on peut prévoir au voisinage de sources industrielles ponctuelles en utilisant des données de modélisation et de surveillance limitées, se révèle supérieur à 10⁻⁵.

Comme l'oxyde d'éthylène devrait en principe être surtout présent dans l'air, c'est pour les organismes terrestres qu'il est susceptible d'avoir le plus d'effets indésirables, effets au sujet desquels les données disponibles restent d'ailleurs limitées. Le point d'aboutissement le plus significatif de l'action toxique de ce composé, celui qui pourrait avoir le plus de retentissement sur la faune sauvage, concerne essentiellement la reproduction. En comparant la concentration dans l'air ambiant dans le pire des cas à la concentration jugée sans effet, on constate cependant qu'il est peu probable que les organismes terrestres soient exposés à des concentrations atmosphériques dangereuses d'oxyde d'éthylène.

RESUMEN DE ORIENTACIÓN

Este CICAD sobre el óxido de etileno, preparado conjuntamente por la Dirección de Higiene del Medio del Ministerio de Sanidad del Canadá y la División de Evaluación de Productos Químicos Comerciales del Ministerio de Medio Ambiente del Canadá, se basó en la documentación preparada al mismo tiempo como parte del Programa de Sustancias Prioritarias en el marco de la *Ley Canadiense de Protección del Medio Ambiente* (CEPA). Las evaluaciones de sustancias prioritarias previstas en la CEPA tienen por objeto valorar los efectos potenciales para la salud humana de la exposición indirecta en el medio ambiente general, así como los efectos ecológicos. En este examen se analizaron los datos identificados hasta el final de mayo de 1998 (efectos ecológicos) y agosto de 1999 (efectos en la salud humana).¹ La información relativa al carácter del examen colegiado y la disponibilidad del documento original (Ministerio de Medio Ambiente del Canadá y Ministerio de Sanidad del Canadá, 2001) figura en el apéndice 2. También se consultaron otros exámenes, entre ellos el del ATSDR (1990), el BUA (1995), el IARC (1976, 1994), la US EPA (1985) y una monografía anterior sobre este producto químico de la serie Criterios de Salud Ambiental (IPCS, 1985). La información sobre el examen colegiado de este CICAD aparece en el apéndice 3. Este CICAD se aprobó como evaluación internacional en una reunión de la Junta de Evaluación Final celebrada en Monks Wood (Reino Unido) del 16 al 19 de septiembre de 2002. La lista de participantes en esta reunión figura en el apéndice 4. También se reproduce en este documento la Ficha internacional de seguridad química (ICSC 0155) para el óxido de etileno, preparada por el Programa Internacional de Seguridad de las Sustancias Químicas (IPCS, 1999).

El óxido de etileno (CAS N° 75-21-8) es un gas incoloro muy reactivo a temperatura y presión ambiente. Su solubilidad en agua es elevada.

La producción de óxido de etileno en el país de origen para este CICAD (es decir, el Canadá) en 1996 fue de 625 miles de toneladas, un 95% del cual se utilizó en la fabricación de etilenglicol. Se estima en un 4% el

¹ Se ha incluido nueva información crítica para señalar sus probables repercusiones en las conclusiones esenciales de esta evaluación, principalmente con objeto de establecer la prioridad para su examen en una actualización. De esta manera se garantiza un examen adecuado en el marco de la base de datos completa establecida mediante las distintas fases del examen nacional interno y externo y el posterior examen internacional. Se ha añadido información más reciente no decisiva para la caracterización del peligro o el análisis de la exposición-respuesta que los examinadores consideraban que aumentaba el contenido informativo.

volumen utilizado en la fabricación de sustancias tensioactivas. El óxido de etileno se utiliza asimismo como esterilizante de material sanitario y otros productos sensibles al calor.

La mayor parte del óxido de etileno se libera en la atmósfera. Cabe prever emisiones insignificantes de óxido de etileno procedentes de fuentes naturales, como el suelo encharcado. Las emisiones a la atmósfera de fuentes antropogénicas en el país de origen (el Canadá) en 1996, sin incluir la esterilización, se estiman en 23,0 toneladas. También en 1996 fue a parar a la atmósfera un volumen adicional estimado en tres toneladas/año procedente de la prestación de servicios de las instalaciones médicas que utilizan óxido de etileno en los procesos de esterilización y de las operaciones de esterilización comercial.

Es poco probable que las emisiones de óxido de etileno a la atmósfera den lugar a una transferencia significativa a otros compartimentos del medio ambiente. La semivida atmosférica basada en la reacción con radicales hidroxilo fotogenerados oscila entre 38 y 382 días. En caso de emisión o derrame en agua, se supone que el óxido de etileno sufre un proceso de evaporación, hidrólisis y biodegradación aerobia y en menor medida anaerobia. En el agua, la semivida correspondiente a la volatilización, la hidrólisis, la biodegradación aerobia y la biodegradación anaerobia es, respectivamente, de alrededor de una hora, de unos 12-14 días, de 20 días a seis meses y de cuatro meses a dos años. En el suelo se supone que el óxido de etileno se volatiliza con rapidez. La semivida de la hidrólisis para el suelo y el agua freática se estima que está entre 10,5 y 11,9 días. Habida cuenta de que tiene un log del coeficiente de reparto octanol/agua (K_{ow}) muy bajo, no cabe prever su bioacumulación.

El óxido de etileno se absorbe con rapidez a través de los pulmones, se distribuye y se metaboliza para formar etilenglicol y conjugados del glutatión. El óxido de etileno se puede absorber por la piel a partir de la fase de gas o de soluciones acuosas y se distribuye uniformemente por todo el organismo. Es un agente alquilante y forma aductos de proteínas y ADN. Los aductos de hemoglobina se han utilizado para la biovigilancia.

La toxicidad aguda del dióxido de etileno por inhalación en roedores y perros es baja, con valores de la CL_{50} a las cuatro horas generalmente superiores a 1500 mg/m³. La información disponible sobre los efectos no neoplásicos de la exposición repetida al óxido de etileno en estudios es limitada, habiéndose concentrado en el pasado sobre todo en la carcinogenicidad del compuesto. Los efectos notificados en estudios con animales se limitaron fundamentalmente a los relativos a los sistemas hematológico y nervioso.

Basándose en estudios realizados principalmente en poblaciones expuestas en el puesto de trabajo, el óxido de etileno tiene actividad irritante ocular, respiratoria y cutánea y es un agente sensibilizador. Se han observado efectos neurológicos (en particular polineuropatía sensitivomotora) en trabajadores expuestos a concentraciones relativamente altas y en animales expuestos a niveles superiores a aquellos en los cuales se han notificado tumores.

La vía de mayor exposición probable y de mayor interés para la evaluación en la salud humana es probablemente la inhalación a partir del aire. Basándose en estudios con animales, el cáncer se considera el efecto final crítico del óxido de etileno en la salud humana en el caso de una exposición prolongada de la población general. En estudios de inhalación, el óxido de etileno ha inducido una gran variedad de tumores (por ejemplo, leucemia y linfoma, de cerebro y de pulmón), con una fuerte probabilidad de que en el mecanismo de acción intervenga una interacción directa con material genético, fenómeno del que existen pruebas sistemáticas y convincentes. Aunque en estudios epidemiológicos de poblaciones profesionalmente expuestas se han obtenido algunas pruebas de una asociación entre la exposición al óxido de etileno y la aparición de cáncer hematológico, lo limitado de los datos impide sacar conclusiones definitivas.

El óxido de etileno induce mutaciones genéticas en todos los niveles filogenéticos sometidos a pruebas *in vitro* e *in vivo*. También induce mutaciones en las células germinales y efectos clastogénicos en animales de experimentación. Hay pruebas contundentes de que el óxido de etileno ha inducido cambios clastogénicos en trabajadores expuestos.

En animales de experimentación, el óxido de etileno es fetotóxico en presencia y en ausencia de toxicidad materna en concentraciones superiores a las asociadas con el cáncer y con otros efectos distintos del cáncer (es decir, neurológicos); es teratogénico sólo en concentraciones muy elevadas (por encima de unos 1600 mg/m³). Las pruebas obtenidas de estudios epidemiológicos sobre los efectos reproductivos del óxido de etileno en las personas (en particular abortos espontáneos) son limitadas. Entre los efectos no neoplásicos detectados en animales de experimentación, los reproductivos se producen a la concentración más baja (> 90 mg/m³). Estos consisten en la reducción del tamaño de la camada, el aumento de las pérdidas después de la implantación, la alteración de la morfología de los espermatozoides y cambios en el número y movilidad de los espermatozoides.

El cáncer se considera el efecto final crítico para la cuantificación de la exposición-respuesta en la

caracterización del riesgo para el óxido de etileno. La concentración más baja que provoca un aumento de la incidencia de tumores del 5% por encima del nivel habitual (CT₀₅), obtenida de un estudio con ratas o ratones que había optimizado la caracterización de la exposición-respuesta, fue de 2,2 mg/m³ (riesgo unitario = 0,05/2,2 mg/m³ = 0,023 por mg/m³) para la aparición de leucemias mononucleares en ratas hembra F344 expuestas por inhalación al óxido de etileno; el límite de confianza del 95% más bajo fue de 1,5 mg/m³. También se presenta, principalmente como base de la comparación de las potencias tumorígenas para el cáncer, la concentración asociada con un aumento del 5% en la incidencia de mutaciones de las células germinales (BMC₀₅) (46 mg/m³), aunque sólo se basa en mutaciones visibles dominantes y no se tienen en cuenta otros efectos finales genéticos en la progenie viva. Igualmente, las concentraciones tolerables basadas en efectos neurológicos o reproductivos no observados serían del orden de decenas de microgramos por metro cúbico.

Sobre esta base, los riesgos de cáncer previstos en las cercanías de fuentes puntuales industriales, teniendo cuenta los datos limitados obtenidos de modelos y de la vigilancia, son superiores a 10⁻⁵.

Puesto que cabe prever la presencia de óxido de etileno fundamentalmente en el aire, los posibles efectos adversos son superiores en los organismos terrestres, para los cuales los datos disponibles son limitados. El efecto final más importante con el mayor potencial para producir efectos en la población silvestre fue la inducción de efectos reproductivos adversos. La comparación de la concentración media en el aire para el caso peor con el valor estimado sin efectos indica que es poco probable que los organismos terrestres se encuentren expuestos a niveles perjudiciales de óxido de etileno en el aire.

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