

Methodology for evaluation of neglected tropical disease control programmes at country level

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List of abbreviations

DFID	Department for International Development, UK Government
ITI	International Trachoma Initiative, New York, USA
LATH	Liverpool Associates in Tropical Health
IPPPH	Initiative on Public–Private Partnerships for Health
NGO	nongovernmental organization
NTD	neglected tropical disease
RTI	Research Triangle Institute International, North Carolina, USA
SCI	Schistosomiasis Control Initiative, Imperial College, London
SWAp	sector-wide approach
USAID	United States Agency for International Development
WHO	World Health Organization

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¹ Caines K et al. *Impact of public-private partnership access to pharmaceuticals in low income countries: Uganda pilot study*. Geneva, The Initiative on Public-Private Partnerships for Health, 2003.

1 Introduction

1.1 Development of the methodology

In October 2007, the World Health Organization (WHO) Department of Control of Neglected Tropical Diseases (NTDs) commissioned an independent evaluation of the early implementation in countries of the integrated control of five NTDs: lymphatic filariasis, onchocerciasis, schistosomiasis, soil-transmitted helminthiasis and trachoma. The evaluation also examined the extent to which integrated control uses national health systems and processes, and the opportunities taken to strengthen the capacity of those existing systems and processes while avoiding the establishment of parallel systems.

The approach involved country visits, interviews at the international level and desk reviews of relevant documents. In the course of this work, visits were made to examine NTD control programmes in six countries: Burkina Faso, Cambodia, Ghana, Mali, Niger and Uganda. The report of the independent evaluation was published in February 2008.²

WHO made explicit that one aim of the evaluation should be the development of a methodology that would be applicable to the conduct of future evaluations in this important field of public health.

This document therefore describes a methodology for the evaluation of NTD control programmes at the country level, taking into account the practical experience of the evaluation team. Membership of the team is given in Annex 1.

For any future individual evaluation, the methodology should be tailored to its specific terms of reference. It is likely, however, that a major focus of any evaluation will be the *effectiveness* of the NTD control programme – that is, the extent to which the programme achieves the intended result – and the *efficiency* of the programme – that is, the extent to which it achieves that result without wasted effort or resources. This generic methodology is designed to cover those issues. It is also designed, where relevant, to examine the integration of NTD control programmes, and their use in strengthening national systems and processes.

1.2 The generic methodology in outline

A combination of quantitative and qualitative methods should be used in such an evaluation. Combining methods ensures that a variety of data and information is analysed in different ways to produce the most accurate assessment of the situation.

² *Report to WHO of the independent evaluation of aspects of NTD control*. Geneva, World Health Organization, 2008.

1.2.1 Investigation methods

The following methods for conducting an evaluation are recommended:

Desk review. Examination of existing evaluation and monitoring reports, published and grey literature, studies and surveillance reports.

Data analysis. Examination of key country data on general health and health system information and programme-specific data, where available.

Semi-structured interviews with key respondents. Interviews conducted with:

- (a) individuals, by virtue of their position or as representatives of target groups identified by the study; and
- (b) with groups, as appropriate, to allow for discussion to test the uniformity of the views expressed, and/or to reach a larger number of respondents.

Survey. A survey of target groups where possible, using a web-based and/or paper-based questionnaire, as appropriate. The questionnaire forms included below contain both closed and open-ended questions, and are designed to produce both quantitative and qualitative data.

Direct observation. During site visits, evaluation team members observe to a limited extent the management and implementation of NTD control programmes: their assessments are taken into account in formulating findings and recommendations.

1.2.2 Core elements of the evaluation

The recommended evaluation methodology has a minimum of three core elements:

Evaluation management. Planning and management of the evaluation, communication and coordination among the team, and synthesis of findings and recommendations (see section 2).

Country-level fieldwork. At the country level, field visits to a selected number of countries for data gathering; site visits; questionnaires; semi-structured interviews with key respondents; and discussion of findings and emerging conclusions for comparative data analysis (see section 3).

International-level fieldwork. At the international level, semi-structured interviews with key respondents and/or, if necessary, questionnaires; data gathering; and desk review of relevant documents (section 3).

These elements are described in detail in the sections below.

The methodology outlined is designed for a multi-country evaluation, but the approach and tools are also applicable for an evaluation of NTD control in a single country.

A multi-country evaluation may wish to undertake one or more desk reviews of NTD control in selected countries, in addition to country visits. For example, the 2007–2008 evaluation commissioned by WHO undertook desk reviews of NTD control programmes in Sri Lanka and Viet Nam, liaising remotely with contacts in the countries to ensure availability and understanding of the data. This proved helpful, but the team emphasizes that desk reviews of this kind should be seen as a supplement to, and not a substitute for, country visits.

2 Evaluation management

2.1 Key issues

The key issues for consideration in managing an evaluation will largely be determined by the requirements of the specific evaluation but are likely to include, as a minimum, planning and management of the evaluation, and communication and coordination among the team.

2.2 Evaluation planning and management

Issues arising for consideration in planning and management of an evaluation include:

- specifying the terms of reference of the evaluation;
- appointing a team leader, and recruiting the team and its advisers;
- attending to the following issues at the evaluation team's first meeting:
 - clarification and acceptance of terms of reference
 - statement of confidentiality and declaration of conflicts of interest
 - development of evaluation framework (this may be undertaken by the commissioner of the evaluation before the team's first meeting, in order to provide guidance, or may be an issue for the team itself to handle)
 - formulation of evaluation timetable
 - selection of evaluation methods, followed by testing and training
 - clarification of role and training of expert consultants
 - development of detailed workplan
 - assignment of tasks
 - adoption of project management tool, followed by testing and training
 - preliminary identification of key respondents and relevant documents;
- organizing logistics and arrangements for the evaluation overall;
- organizing logistics and arrangements for country visits, including approval by national governments and letters of introduction to respondents;
- developing a common format for reporting;
- producing an interim report on emerging findings and conclusions to be shared with selected interested parties for comments, ideally in a face-to-face meeting.

2.3 Team communication and coordination

Effective coordination and communication are crucial elements of an evaluation team in which individual members or small groups of members are likely to be undertaking specific tasks without the presence of the full team. Sharing information and views as speedily as possible, to feed into ongoing fieldwork, is vital.

The 2007–2008 evaluation was materially assisted by a web-based project management facility. This password-protected web site allowed team members to upload and share documents with other team members, thus reducing the exchange of documents and e-mails. It also stored documents and provided a project management tool with key milestones. This facility was set up for the duration of the evaluation project and used an online project management service (www.basecamphq.com).

If such a resource is not available, it will be important to agree appropriate mechanisms to ensure the rapid transmission of key materials and findings to all team members.

In most evaluations, the team is unlikely to work together as a whole for all activities and it will want to decide early on its approach to reaching collective agreement on findings and on the development of recommendations. If practicable and affordable, a face-to-face meeting is preferable.

3 Evaluation fieldwork

3.1 Fieldwork programming at country level

Fieldwork related to countries and in countries is likely to require most of the team's time and effort. The evaluation of NTD control programmes at country level should take into account both the country context and the national NTD control policy and programme(s).

A detailed fieldwork plan should be developed in liaison with the government. It may be helpful to structure the fieldwork in each country with an initial round of data-gathering and interviews at the national level, followed by visits to appropriately selected districts for interviews at district, community and health facility/school levels (plus regional-level visits where appropriate), then a return to the national level for follow-up enquiries and feedback to key parties.

3.2 Data requirements

Data gathering should, as a minimum, include key data on the country context – including information on general health and health systems – and programme-specific data, including national strategies/policies for individual NTDs and/or for integrated control of NTDs.

Annex 2 lists the range of data requirements and suggests possible sources of information. Some useful information will probably not be readily available and, wherever possible, should be gathered during fieldwork.

3.3 Key respondents at country level

Documentary, quantitative evidence should be obtained wherever possible. However, qualitative information also has an important role to play, and the evaluation team member(s) will wish to make extensive contact with key respondents at the country level.

A balanced and manageable fieldwork programme should be drawn up for semi-structured interviews with individuals and with groups. Individual interviews should ideally be conducted in person but may be conducted by telephone in the interest of practicality. Group interviews – where interaction is an important factor – should generally be conducted in person.

To achieve wider coverage, interviews should be supplemented by survey questionnaires. Questionnaires may be particularly useful for capturing information from health professionals implementing health care and administering treatments.

Annex 3 suggests likely key respondents. The precise specification may vary from country to country and programme to programme.

3.3.1 Templates for semi-structured interviews

The following interview templates for semi-structured interviews with key sets of respondents may be of particular relevance at the country level:

- Major policy formulators and decision-takers for NTD control in endemic countries (such as staff of Ministries of Health and other government departments) – Annex 4A.
- Major recipients and managers of aid for NTD control in endemic countries (such as staff responsible for dealing with finance, preparing budgets, allocating resources and accounting for expenditure) – Annex 4B.
- Major implementers and deliverers of NTD control in endemic countries at district level (such as programme managers at district level) – Annex 4C.
- Major implementers and deliverers of NTD control in endemic countries at national level (such as programme managers at national level) – Annex 4D.

3.3.2 Templates for questionnaires

Templates for questionnaires tailored to the following different sets of respondents are provided in Annex 5:

- Partners and NGOs – Annex 5A.
- Major implementers and deliverers of NTD control in endemic countries at national level (such as programme managers at national level) – Annex 5B.
- Major implementers and deliverers of NTD control in endemic countries at District level (such as programme managers and health workers at district level) – Annex 5C.

3.4 Fieldwork at international level

Fieldwork at the country level is likely to be the core activity of any evaluation of NTD control. In addition, the evaluation team will wish to cover in its fieldwork those partners and donors at the international level who provide or distribute financial and other resources, or otherwise support NTD control at the country level.

A precise list of interviewees will clearly need to be drawn up in light of the specific needs of the individual evaluation.

However, the evaluation team may find helpful some semi-structured interview templates for four key groups identified in the course of the 2007–2008 evaluation of integrated NTD control. Appropriate templates are included in Annex 4. These are for:

- major donors of financial aid – Annex 4E;
- major distributors of financial aid – Annex 4F;
- major donors of other forms of resources (such as pharmaceutical companies) – Annex 4G;
- partners and nongovernmental organizations (NGOs) – Annex 4H.

3.5 Collection of information and analysis of data

Primary analysis of country-specific quantitative and qualitative information may be undertaken either by the country evaluators themselves or at the level of the global team, although some limitations may exist on the scope of the analysis of qualitative information at the global level. The evaluation team should determine at the outset the extent to which data and questionnaires are to be analysed by the country evaluation team member(s) or at international team level.

Quantitative data collected should be collated and cross-referenced using statistical models to identify key findings relevant to the evaluation.

Qualitative data collected (e.g. from desk review, semi-structured interviews, questionnaires and direct observation during site visits) should be collated and analysed to identify key patterns in responses and information, overall and by interest group. This can be done manually or with the assistance of qualitative data analysis software. For the 2007–2008 evaluation, the Atlas-Ti software was used to organize and analyse the interview transcripts.

It is crucial to ensure a standardized basis for all analyses undertaken at the country level, in order to permit a comparative analysis of data and patterns in responses and information across countries.

Annex 6 provides a summary grid for assessing key factors related to the integration of NTD control in an individual country.

The 2007–2008 evaluation team found helpful the development of a composite country assessment grid. This captured summary information from all the countries visited in a slightly modified version of the single country grid contained in Appendix 6, so as to highlight similarities and differences.

A template for a comprehensive country profile is attached at Annex 7. This country profile template is used to summarize the data and information drawn mainly from the interviews and surveys undertaken in each country.

ANNEXES

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- Annex 2** **Evaluation data requirements at country level**
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ANNEX 2

Evaluation data requirements at country level

DATA REQUIREMENTS
1. Key data on the country context, particularly general health and health system information, and on national strategies/policies for the control of NTDs
<p>The report should give a selective summary of key data on the country context, including:</p> <ul style="list-style-type: none">▪ demographics▪ GDP, per capita income▪ poverty data (% of population below the poverty line; geographical distribution)▪ epidemiological data▪ health sector strategies (including any sector-wide approach (SWAp))▪ health system characteristics▪ health sector funding (<i>per capita</i> health spending, % of government spending allocated to health)▪ drug policy, regulation, procurement and management.
<p>Possible data sources include:</p> <ul style="list-style-type: none">▪ country poverty reduction strategy, poverty assessment report▪ national health strategy▪ national health accounts▪ national health plan and budget, annual report▪ district health plans and budgets, annual reports▪ Essential Drugs List▪ drug procurement, storage and distribution policies▪ publications and grey literature▪ semi-structured interviews
2. Programme specific data
<p>The study should map the key characteristics at country level of control of NTDs, including:</p> <ul style="list-style-type: none">▪ NTD control policy▪ programme objectives and strategy▪ conditionalities▪ nature of partnership▪ programme start date, stage of development, future plans▪ governance arrangements▪ secretariat/manager▪ dedicated personnel (<i>number of staff and whole-time equivalents, where available</i>)▪ budget and actual spend▪ presentation of programme to beneficiaries▪ mode of operations▪ current geographical and epidemiological coverage (including current scale of coverage in relation to planned coverage; coverage by socio-economic status, gender, age, rural/urban location)▪ overall performance against targets to date▪ sustainability measures▪ linkages with other programmes.
<p>Possible data sources include:</p> <ul style="list-style-type: none">▪ relevant individual NTDs control plans; performance/progress reports▪ national guidelines on NTD control (integrated or disease specific)▪ Integrated training modules for integrated MDA▪ programme specification and protocols▪ programme budget▪ all programme performance/progress reports (activity and budget)▪ minutes of any formal steering group or liaison meetings▪ any programme evaluations▪ relevant country level correspondence▪ any published literature▪ semi-structured interviews.

Suggested key respondents at country level

SUGGESTED KEY RESPONDENTS AT COUNTRY LEVEL
<ul style="list-style-type: none"> ▪ courtesy calls (<i>as appropriate</i>) ▪ any link person in the Ministry of Health (MOH) ▪ national NTD control programme manager(s) ▪ relevant MOH personnel, e.g. the Director-General and/or top MOH officers, personnel in communicable disease control, essential drugs programme, central medical/drug store and distribution, health planning, finance, health and health service information ▪ relevant Ministry of Education personnel ▪ any relevant pharmaceutical company representatives ▪ key NGOs involved in programme implementation ▪ relevant stakeholder NGOs not directly involved in programme implementation ▪ any other partners in the programme at national level ▪ national representatives of programme clients ▪ agencies such as WHO, World Bank, WFP, UNICEF, relevant bilaterals ▪ relevant private sector representatives (profit and not for profit) ▪ national drug regulation authority
Regional, district and community levels
<ul style="list-style-type: none"> ▪ courtesy calls ▪ local government officers with health and education responsibilities ▪ district health team ▪ relevant staff ▪ staff at community health facilities, hospices, home care programmes ▪ community health workers/distributors ▪ teachers ▪ local NGO partners ▪ any other personnel involved in programme delivery, including drug distribution

Templates for semi-structured interviews with key respondents

This annex contains templates for semi-structured interviews with the following sets of key respondents:

- **major policy formulators and decision-takers for NTD control in endemic countries** (such as staff of Ministries of Health and other government departments) – **Annex 4A**
- **major recipients and managers of aid for NTD control in endemic countries** (such as staff responsible for dealing with finance, preparing budgets, allocating resources and accounting for expenditure) – **Annex 4B**
- **major implementers and deliverers of NTD control in endemic countries at district level** (such as programme managers at district level) – **Annex 4C**
- **major implementers and deliverers of NTD control in endemic countries at national level** (such as programme managers at national level) – **Annex 4D**
- **major donors of financial aid** – **Annex 4E**
- **major distributors of financial aid** **Annex 4F**
- **major donors of other forms of resources** (such as pharmaceutical companies) – **Annex 4G**
- **partners and non-governmental organizations (NGOs)** – **Annex 4H.**

***Semi-structured interview template
for major policy formulators and decision-takers
(policy staff of Ministry of Health and other government departments)***

Note to interviewer:

Remember, this is only a guide. You may approach the questions in a different order, not be able to cover all subjects or cover subjects not listed – just keep in mind that these questions are an indication of the type of information sought.

Questions marked with an asterisk* are considered very important.

Please start the interview by introducing yourself and the evaluation. Please assure respondents that the information collected is anonymous and will be treated confidentially by the evaluation team.

Questions:

- 1. Please describe what you know about the control of Neglected Tropical Diseases (specify relevant NTDs) within your country?**

[Clarify if respondent has knowledge on NTD – some or all of the diseases – if no significant knowledge is displayed after prompting, conclude the interview]

- 2. In your opinion, what importance does NTD control policy have within your health system?**

[Prompt to determine what other current priorities are – please note 5 top priorities]

- 3. * How would you compare the current NTD control policy with previous years? (change time frame to suit evaluation)**

[If change is reported, please ask what were the main factors that induced change]

- 4. * How does NTD control policy relate to the following aspects of your health system:**

- treatment of other diseases
- other health programmes
- planning, finance and reporting
- monitoring and evaluation
- disease surveillance
- drug ordering, handling and distribution

[not all aspects may be relevant for the respondent – select only those that are appropriate]

- 5. * What have been the problems in developing NTD control policy in your country?**

- 6. * In your opinion, what could be done to improve NTD control policy in your country?**

7. What has been the role of internal and external partners in developing NTD control policy of your government?

[by "internal partners", we mean government departments/agencies, by "external partners", we mean implementing agencies, donors, NGOs or companies]

8. What is your assessment of the work of partners in developing NTD control policy with your government?

[only relevant if partners have played a role in policy development]

9. To your knowledge, what systems and controls are in place to monitor the implementation of NTD control policy?

- What could be done to improve these systems and controls?

10. * How would you assess progress towards implementing the integration of NTD control programmes within your country?

[this question only relevant if "integration" is identified as part of the NTD policy]

[The following progress measures may be useful]:

- no integration efforts have been made
- integration efforts have recently been launched (within last six months)
- integration efforts have been launched since some time (7-12 months ago)
- integration has been fully achieved since several years (over 1 year) and we see some results

11. * What do you believe has resulted from the NTD control programmes in the past year?

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year
- Don't know

[Respondent may not be in a position to respond to this question. If they do, ask them to explain their responses].

12. * Have you seen the WHO publication "Preventive Chemotherapy in Human Helminthiasis"?

Yes No

[If yes, please ask how she/he came to possess the publication. Is the publication used by the respondent and throughout the country in general].

13. Does your NTD control policy address the issue of functioning after external aid ends?

14. This interview has been about reviewing progress on NTD control policy and programmes in your health system. Do you have anything further to add?

[This question is for respondent to have an opportunity to speak further on NTDs and his/her concerns.]

Information to be completed by interviewer:

Your impressions of the respondent and your interview: (Any special context? Anything we need to know to understand the responses? Level of willingness to discuss and credibility of responses given?):

Do you, the interviewer, have any real or potential conflict of interest with the respondent (e.g. a previous work colleague, a friend, etc.):

Name of interviewer:

Name of respondent:

Position held by respondent:

Best description of role:

- Involved with development of policy at the Ministry of Health
- Involved with the development of related policy at another government ministry/agency, please specify _____
- Other, please specify _____

Country:

- named country
- named country
- named country
- Other, please specify _____

Date of interview:

Approximate duration of interview:

Other notes/comments:

***Semi-structured interview template
for major receivers and managers
(staff responsible for dealing with finance, preparing budgets, allocating
resources and accounting for expenditure)***

Note to interviewer:

Remember, this is only a guide. You may approach the questions in a different order, not be able to cover all subjects or cover subjects not listed – just keep in mind that these questions are an indication of the type of information sought.

Questions marked with an asterisk* are considered very important.

Please start the interview by introducing yourself and the evaluation. Please assure respondents that the information collected is anonymous and will be treated confidentially by the evaluation team.

Questions:

- 1. Please describe what you know about the control of Neglected Tropical Diseases (specify relevant NTDs) within your country?**

[Clarify if respondent has knowledge on NTD – some or all of the diseases – if no significant knowledge is displayed after prompting, conclude the interview]

- 2. In your opinion, what importance do NTD control programmes have within your health system?**

[Prompt to determine what other current priorities are – please note 5 top priorities]

- 3. * How would you compare the current NTD control programmes compared with previous years? (change time frame to suit evaluation)**

[If change is reported, please ask what were the main factors that induced change]

- 4. * Are there major differences in the way NTD control programs are managed and implemented compared to other programmes? For example in the following areas:**

- allocation of resources (staff, equipment and facilities)
- monitoring and evaluation
- planning, finance and reporting

- 5. * Are you involved in managing funds received from [name source of interest to evaluation]? If so, could a breakdown be provided as to how these funds are used:**

- 6. * In your role as managing and allocating resources, what have been the main problems in managing NTD control programmes in your country?**

- 7. * In your opinion, what could be done to improve the management of NTD control programmes in your country?**

8. Have you had any involvement with internal and external partners in managing NTD control programmes in your country? If so, how would you assess their role in the programme?

[by “internal partners”, we mean government departments/agencies, by “external partners”, we mean implementing agencies, donors, NGOs or companies]

9. What systems and controls are in place to monitor the implementation of NTD control programmes?

- What could be done to improve these systems and controls?

10. * How would you assess progress towards implementing the integration of NTD control programmes within your country?

[The following progress measures may be useful]:

- no integration efforts have been made
- integration efforts have recently been launched (within last six months)
- integration efforts have been launched since some time (7-12 months ago)
- integration has been fully achieved since several years (over 1 year) and we see some results

11. * What do you believe has resulted from the NTD control programmes in the past year?

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year
- Don't know

[Respondent may not be in a position to respond to this question. If they do, ask them to explain their responses].

12. What have you done – or could do – to keep the control of these diseases still functioning after external aid ends?

13. This interview has been about reviewing progress on control of neglected tropical diseases in your health system. Do you have anything further to add?

[This question is for respondent to have an opportunity to speak further on NTDs and his/her concerns.]

Information to be completed by interviewer:

Your impressions of the respondent and your interview: (Any special context? Anything we need to know to understand the responses? Level of willingness to discuss and credibility of responses given?):

Do you, the interviewer, have any real or potential conflict of interest with the respondent (e.g. a previous work colleague, a friend, etc.):

Name of interviewer:

Name of respondent:

Position held by respondent:

Best description of role:

- Involved with finance or resource allocation at the Ministry of Health
- Involved with finance or resource allocation for health programmes at Ministry of Finance
- Involved with finance or resource allocation at other government ministry/agency, please specify_____
- Other, please specify_____

Country:

- named country
- named country
- named country
- Other, please specify_____

Date of interview:

Approximate duration of interview:

Other notes/comments:

Semi-structured interview template for major implementers and deliverers – district level

Note to interviewer:

Remember, this is only a guide. You may approach the questions in a different order, not be able to cover all subjects or cover subjects not listed – just keep in mind that these questions are an indication of the type of information sought.

Questions marked with an asterisk* are considered very important.

Please start the interview by introducing yourself and the evaluation. Please assure respondents that the information collected is anonymous and will be treated confidentially by the evaluation team.

Questions:

- 1. Please describe what you know about the control of Neglected Tropical Diseases (specify relevant NTDs) within your district?**

[Clarify if respondent has knowledge on NTD – some or all of the diseases – if no significant knowledge is displayed after prompting, conclude the interview]

- 2. In your opinion, what importance does the control of these diseases have within your health system?**

[Prompt to determine what other current priorities are – please note 5 top priorities]

- 3. * What are the current efforts, if any, in the control of these diseases within your health system?**

- 4. * How would you compare the current efforts in the control of these diseases compared with previous years? (change time frame to suit evaluation)**

[If change is reported, please ask what were the main factors that induced change]

- 5. * How does control of these diseases relate to the following aspects of your health system:**

- coordination of treatment between the NTD diseases
- treatment of other diseases
- other health programmes
- planning, finance and reporting
- monitoring and evaluation
- disease surveillance
- drug ordering, handling and distribution

6. * Thinking about health services in your district and your work, could you provide a feedback on the following points:

- "The control of these diseases is mentioned in our district health plan"

Yes No *Don't know*

- "Drugs for these diseases are distributed with other health interventions (such as vaccination, polio or malaria campaigns, vitamin distribution, school or community health and feeding programmes)"

Yes No *Don't know*

- "These diseases are treated together and not separately by health workers"

Yes No *Don't know*

- "The treatment of these diseases is done using our existing vehicles and storage places"

Yes No *Don't know*

- "Any reports or feedback on these diseases are done through the normal system"

Yes No *Don't know*

7. * Have you seen the WHO publication "Preventive Chemotherapy in Human Helminthiasis"?

Yes No

[If yes, please ask how she/he came to possess the publication. Is the publication used by the respondent and in the district in general?]

8. What have been the main factors that have helped integrate the control of these diseases in your health system?

9. * What have been the main problems in integrating the control of these diseases in your health system?

10. * In your opinion, what could be done to improve the integration of the control of these diseases within your health system?

11. Which partners are involved with the control of these diseases and what are their roles?

[by partners, we mean implementing agencies, NGOs, other government agencies or companies]

12. What have been some of the advantages in working with partners?

13. What have been some of the disadvantages in working with partners?

[For advantages/disadvantages with partners, anecdotes or experiences mentioned should be noted.]

14. * How would you assess progress towards implementing the integration of the control of these diseases within your district?

[The following progress measures may be useful]:

- no integration efforts have been made
- integration efforts have recently been launched (within last six months)
- integration efforts have been launched since some time (7-12 months ago)
- integration has been fully achieved since several years (over 1 year) and we see some results

15. * In your district, what do you believe has resulted from the treatment of these neglected tropical diseases in the past year?

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year
- Don't know

[ask respondents to explain their responses].

16. With the resources available to you, what do you believe needs to be done to increase the coverage of the control of these diseases in your district?

17. What have you done – or could do – to keep the control of these diseases still functioning after external aid ends?

18. This interview has been about reviewing progress on integrating control of neglected tropical diseases in your health system. Do you have anything further to add?

[This question is for respondent to have an opportunity to speak further on NTDs and his/her concerns.]

Information to be completed by interviewer:

Your impressions of the respondent and your interview: (Any special context? Anything we need to know to understand the responses? Level of willingness to discuss and credibility of responses given?):

Do you, the interviewer, have any real or potential conflict of interest with the respondent (e.g. a previous work colleague, a friend, etc.):

Name of interviewer:

Name of respondent:

Position held by respondent:

Best description of role:

- Involved in running NTD control programmes at a district or local level
- Involved in managing health programmes including NTD control programmes at a district or local level
- Support service (e.g. administration, logistics or human resources) that assists NTD control programmes at a district or local level
- Other, please specify _____

Country:

- named country
- named country
- named country
- Other, please specify _____

District / commune / county:

Date of interview:

Approximate duration of interview:

Other notes/comments:

Semi-structured interview template for major implementers and deliverers – national level

Note to interviewer:

Remember, this is only a guide. You may approach the questions in a different order, not be able to cover all subjects or cover subjects not listed – just keep in mind that these questions are an indication of the type of information sought.

Questions marked with an asterisk* are considered very important.

Please start the interview by introducing yourself and the evaluation. Please assure respondents that the information collected is anonymous and will be treated confidentially by the evaluation team.

Questions:

1. Please describe what you know about the control of Neglected Tropical Diseases (specify relevant NTDs) within your country?

[Clarify if respondent has knowledge on NTD – some or all of the diseases – if no significant knowledge is displayed after prompting, conclude the interview]

2. In your opinion, what importance does the control of these diseases have within your health system?

[Prompt to determine what other current priorities are – please note 5 top priorities]

3. * What are the current efforts, if any, in the control of these diseases within your health system?

4. * How would you compare the current efforts in the control of these diseases compared with previous years? (change time frame to suit evaluation)

[If change is reported, please ask what were the main factors that induced change]

5. * How does control of these diseases relate to the following aspects of your health system:

- coordination of treatment between the NTD diseases
- treatment of other diseases
- other health programmes
- planning, finance and reporting
- monitoring and evaluation
- disease surveillance
- drug ordering, handling and distribution

6. * Thinking about health services and your work, could you provide a feedback on the following points:

- "The control of these diseases is mentioned in our national health plan"

Yes No *Don't know*

- "Drugs for these diseases are distributed with other health interventions (such as vaccination, polio or malaria campaigns, vitamin distribution, school or community health and feeding programmes)"

Yes No *Don't know*

- "These diseases are treated together and not separately by health workers"

Yes No *Don't know*

- "The treatment of these diseases is done using our existing vehicles and storage places"

Yes No *Don't know*

- "Any reports or feedback on these diseases are done through the normal system"

Yes No *Don't know*

7. * Have you seen the WHO publication "Preventive Chemotherapy in Human Helminthiasis"?

Yes No

[If yes, please ask how she/he came to possess the publication is the publication used by the respondent and throughout the country in general].

8. What have been the main factors that have helped integrate the control of these diseases in your health system?

9. * What have been the main problems in integrating the control of these diseases in your health system?

10. * In your opinion, what could be done to improve the integration of the control of these diseases within your health system?

11. Which partners are involved with the control of these diseases and what are their roles?

[by partners, we mean implementing agencies, NGOs, other government agencies or companies]

12. What have been some of the advantages in working with partners?

13. What have been some of the disadvantages in working with partners?

[For advantages/disadvantages with partners, anecdotes or experiences mentioned should be noted.]

14. * How would you assess progress towards implementing the integration of the control of these diseases within your country?

[The following progress measures may be useful]:

- no integration efforts have been made
- integration efforts have recently been launched (within last six months)
- integration efforts have been launched since some time (7-12 months ago)

- integration has been fully achieved since several years (over 1 year) and we see some results

15. * In your opinion, what impact has the control of these diseases had in the past year?

- more impact than previous years (reached/treated more persons)
- same as in past years (reached/treated approx. same number of persons)
- less impact than previous years (reached/treated fewer persons)

[ask respondents to explain their responses].

16. With the resources available to you, what do you believe needs to be done to increase the coverage of the control of these diseases in your country?

17. What have you done – or could do – to keep the control of these diseases still functioning after external aid ends?

18. This interview has been about reviewing progress on control of neglected tropical diseases in your health system. Do you have anything further to add?

[This question is for respondent to have an opportunity to speak further on NTDs and his/her concerns.]

Information to be completed by interviewer:

Your impressions of the respondent and your interview: (Any special context? Anything we need to know to understand the responses? Level of willingness to discuss and credibility of responses given?):

Do you, the interviewer, have any real or potential conflict of interest with the respondent (e.g. a previous work colleague, a friend, etc.):

Name of interviewer:

Name of respondent:

Position held by respondent:

Best description of role:

- Involved in managing NTD control programmes at a national level
- Involved in managing health programmes including NTD control programmes at a national level
- Support service (e.g. administration, logistics or human resources) that assists NTD control programmes at a national level
- Other, please specify _____

Country:

- named country

- named country
- named country
- Other, please specify _____

Date of interview:

Approximate duration of interview:

Other notes/comments:

*Semi-structured interview template
for major donors of financial aid to NTD control programmes*

Note to interviewer:

Remember, this is only a guide. You may approach the questions in a different order, not be able to cover all subjects or cover subjects not listed – just keep in mind that these questions are an indication of the type of information sought.

Questions marked with an asterisk* are considered very important.

Please start the interview by introducing yourself and the evaluation. Please assure respondents that the information collected is anonymous and will be treated confidentially by the evaluation team.

Questions:

1. **Please describe what you know about the funding of programmes for the control of Neglected Tropical Diseases (specify relevant NTDs) by your organization?**

[Clarify if respondent has knowledge of NTD and funding – some or all of the diseases – if no significant knowledge is displayed after prompting, conclude the interview]

2. **Please describe what are the NTD control programs that your organization is funding:**

- by type of disease
- by country
- to which organizations (breakdown of receivers of funds)
[if willing to divulge budget information]

[This information may be available in documentation – then there is no need to ask this question]

3. **What is your assessment of the work of the agencies you have provided funding to?**
4. **What is your assessment of the work of the implementing partners that have received your funding?**
5. **What is your assessment of the national health services in implementing an integrated approach to NTD control (particularly in the countries that you have funded)?**
6. *** What have been the problems in the usage of your funds for NTD control programmes?**

7. What could be done to improve the usage of your funds for NTD control programmes?

8. What has your organization done to monitor and evaluate the operation and results of the NTD control programmes that you are funding?

- what data are collected? (e.g. administrative vs. treatment costs, number of persons treated)
- are cost analyses made? (e.g. cost of treatment per person)

[Respondent may be willing to share data and findings of monitoring and evaluation undertaken]

9. * How would you assess progress towards implementing the integration of NTD control programmes that you are funding?

[The following progress measures may be useful:

- *no integration efforts have been made*
- *integration efforts have recently been launched (within last six months)*
- *integration efforts have been launched since some time (7-12 months ago)*
- *integration has been fully achieved since several years (over 1 year) and we see some results]*

[Also note positive and negative changes mentioned by respondent.]

10. * What do you believe has resulted from the NTD control programmes that you have funded in the past year?

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year
- Don't know

[Respondents may not be in a position to respond to this question. If they are, ask them to explain their responses].

11. This interview has been about reviewing progress on NTD control programmes funded by your organization. Do you have anything further to add?

[This question is to allow the respondent opportunity to speak further on NTDs and his/her concerns.]

Information to be completed by interviewer:

Your impressions of the respondent and your interview: (Any special context? Anything necessary to know to understand the responses? Level of willingness to discuss and credibility of responses given?):

Do you, the interviewer, have any real or potential conflict of interest with the respondent (e.g. a previous work colleague, a friend, etc.):

Name of interviewer:

Name of respondent:

Position held by respondent/organization:

Best description of role:

- Involved with managing of NTD control funding at HQ level
- Involved with managing of NTD control funding at country level
- Other, please specify _____

Countries active in NTD control:

- name*
- name*
- name*
- Other, please specify _____

Date of interview:

Approximate duration of interview:

Other notes/comments:

*Semi-structured interview template
for major distributors of financial aid*

Note to interviewer:

Remember, this is only a guide. You may approach the questions in a different order, not be able to cover all subjects or cover subjects not listed – just keep in mind that these questions are an indication of the type of information sought.

Questions marked with an asterisk* are considered very important.

Please start the interview by introducing yourself and the evaluation. Please assure respondents that the information collected is anonymous and will be treated confidentially by the evaluation team.

Questions:

1. Please describe what are the Neglected Tropical Diseases (specify relevant NTDs) control programmes that your organization is supporting?

- by type of disease
- by country
- with which organizations

[This information may be available in documentation – if so, there is no need to ask this question]

2. In your opinion, what importance do NTD control programmes have within the range of programmes your organization is supporting?

[This question is not relevant if the organization is an “NTD only” organization. For relevant organizations, prompt to determine what other programmes are a priority for the organization]

3. *What is the actual role of your organization in the implementation of NTD control programme?

- Providing strategy and planning services
- Coordinating NGOs and agencies
- Providing funds for programmes
- Providing drugs
- Providing guidance, advice and training
- Seconding staff to work with programmes
- Managing programmes
- Providing monitoring and evaluation services
- Providing disease surveillance
- Delivering treatment and drugs
- Other activities? Please specify _____

[We are interested to know the exact role(s) of the organization on the ground.]

4. *Please describe the breakdown of how you are using funding for NTD control programmes:

Number of people employed by your organization:

% of funds for salaries for your organization (HQ/field):

% of funds for travel:

% of funds for administration (HQ/field):

% of funds for administration costs of Ministry (ies) of Health:

% of funds for NTD treatments to target communities:

% of funds for purchasing drugs:

5. What is your assessment of the work of your implementing partners?

[Only relevant if “implementing partners” exist. By “implementing partners”, we mean ministries of health, other government departments/agencies, other implementing agencies, donors, NGOs or companies.]

6. * How is your role in supporting NTD control programmes building capacity within the health services?

7. * What have been the problems in the integration of NTD control programmes that you are supporting?

8. * In your opinion, what could be done to improve the integration of NTD control programmes that you are supporting?

9. What has your organization done to monitor and evaluate the running of and results of the NTD control programmes that you are supporting?

- what data are collected? (e.g. administrative vs. treatment costs, number of persons treated)
- are cost analyses made? (e.g. cost of treatment per person)

[Respondent may be willing to share data and findings of monitoring and evaluation undertaken]

10. * How would you assess progress towards implementing the integration of NTD control programmes that you are supporting?

[The following progress measures may be useful]:

- no integration efforts have been made
- integration efforts have recently been launched (within last six months)
- integration efforts have been launched since some time (7-12 months ago)
- integration has been fully achieved since several years (over 1 year) and we see some results

[Also note positive and negative changes mentioned by respondent.]

11. * What do you believe has resulted from the NTD control programmes that you have supported in the past year?

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year
- Don't know

[Respondent may not be in a position to respond to this question. If they do, ask them to explain their responses]

12. To your knowledge, what has been done to keep the NTD control programme functioning after your current mandate ends?

13. This interview has been about reviewing progress on NTD control programmes supported by your organization. Do you have anything further to add?

[This question is for respondent to have an opportunity to speak further on NTDs and his/her concerns.]

Information to be completed by interviewer:

Your impressions of the respondent and your interview: (Any special context? Anything we need to know to understand the responses? Level of willingness to discuss and credibility of responses given?):

Do you, the interviewer, have any real or potential conflict of interest with the respondent (e.g. a previous work colleague, a friend, etc.):

Name of interviewer:

Name of respondent:

Position held by respondent/organization:

Best description of role:

- Involved with managing of NTD control funding at HQ level
- Involved with managing of NTD control funding at country level
- Other, please specify _____

Countries active in NTD control:

- named country, as appropriate
- named country, as appropriate
- named country, as appropriate
- Other, please specify _____

Date of interview:

Approximate duration of interview:

Other notes/comments:

*Semi-structured interview template
for major donors of resource aid
(Pharmaceutical companies and NGOs)*

Note to interviewer:

Remember, this is only a guide. You may approach the questions in a different order, not be able to cover all subjects or cover subjects not listed – just keep in mind that these questions are an indication of the type of information sought.

Questions marked with an asterisk* are considered very important.

Please start the interview by introducing yourself and the evaluation. Please assure respondents that the information collected is anonymous and will be treated confidentially by the evaluation team.

Questions:

1. Please describe what are the Neglected Tropical Diseases (specify relevant NTDs) control programmes that your organization is involved with?

- by type of disease
- by country
- material donated
- budget allocated (breakdown of budget; HQ vs. field budgets)
[if willing to divulge budget information]

[This information may be available in documentation – if so, there is no need to ask this question]

2. In your opinion, what importance do NTD control programmes have within the range of programmes your organization is supporting?

[Prompt to determine what other programmes are a priority for the organization]

3. *What is the role of your organization in the implementation of NTD control programmes?

- Providing strategy and planning services
- Coordinating NGOs and agencies
- Providing funds for programmes
- Providing drugs
- Providing guidance, advice and training
- Seconding staff to work with programmes
- Managing programmes
- Providing monitoring and evaluation services
- Providing disease surveillance
- Delivering treatment and drugs
- Other activities? Please specify _____

[We are interested to know the exact role(s) of the organization on the ground.]

4. What is your assessment of the work of your partners within the NTD control programme?

[By “partners”, we mean ministries of health, other government departments/agencies, implementing agencies, donors, NGOs or companies]

- 5. * What have been the problems in the integration of NTD control programmes that you are supporting?**
- 6. * How is your role in the implementation of NTD control programmes building capacity within the health services?**
- 7. * In your opinion, what could be done to improve the integration of NTD control programmes that you are supporting?**
- 8. What has your organization done to monitor and evaluate the running of and results of the NTD control programmes that you are supporting?**
- What data are collected? (e.g. number of drugs delivered, number of drugs distributed)
 - are cost analyses made? (e.g. cost of treatment per person)

[Respondent may be willing to share data and findings of monitoring and evaluation undertaken]

9. * How would you assess progress towards implementing the integration of NTD control programmes that you are supporting?

[The following progress measures may be useful]:

- no integration efforts have been made
- integration efforts have recently been launched (within last six months)
- integration efforts have been launched since some time (7-12 months ago)
- integration has been fully achieved since several years (over 1 year) and we see some results

[Also note positive and negative changes mentioned by respondent.]

10. * What do you believe has resulted from the NTD control programmes that you have supported in the past year?

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year
- Don't know

[Respondent may not be in a position to respond to this question. If they do, ask them to explain their responses]

11. To your knowledge, what has been done to keep the NTD control programme functioning after your current mandate ends?

12. Do you see your organization continuing to support NTD control programmes in the next two years?

13. This interview has been about reviewing progress on NTD control programmes supported by your organization. Do you have anything further to add?

[This question is for respondent to have an opportunity to speak further on NTDs and his/her concerns.]

Information to be completed by interviewer:

Your impressions of the respondent and your interview: (Any special context? Anything we need to know to understand the responses? Level of willingness to discuss and credibility of responses given?):

Do you, the interviewer, have any real or potential conflict of interest with the respondent (e.g. a previous work colleague, a friend, etc.):

Name of interviewer:

Name of respondent:

Position held by respondent/organization:

Best description of role:

- Involved with managing of NTD control related-programmes at HQ level
- Involved with managing of NTD control related-programmes at country level
- Other, please specify _____

Country:

- named country
- named country
- named country
- Other, please specify _____

Date of interview:

Approximate duration of interview:

Other notes/comments:

*Semi-structured interview template
for partners and development NGOs*

Note to interviewer:

Remember, this is only a guide. You may approach the questions in a different order, not be able to cover all subjects or cover subjects not listed – just keep in mind that these questions are an indication of the type of information sought.

Questions marked with an asterisk* are considered very important.

Please start the interview by introducing yourself and the evaluation. Please assure respondents that the information collected is anonymous and will be treated confidentially by the evaluation team.

Questions:

1. * **Please describe what are the Neglected Tropical Diseases (specify relevant NTDs) control programmes that your organization is involved with?**

- by type of disease
- by country
- budget allocated (breakdown of budget; HQ vs. field budgets)
[if willing to divulge budget information]

[This information may be available in documentation – if so, there is no need to ask this question]

2. **In your opinion, what importance do NTD control programmes have within the range of programmes your organization is involved with?**

[Prompt to determine what other programmes are a priority for the organization]

3. * **In which of the following roles is your organization undertaking in the implementation of NTD control programme?**

- Providing strategy and planning services
- Coordinating NGOs and agencies
- Providing funds for programmes
- Providing drugs
- Providing guidance, advice and training
- Seconding staff to work with programmes
- Managing programmes
- Providing monitoring and evaluation services
- Providing disease surveillance
- Delivering treatment and drugs
- Other activities? Please specify _____

[We are interested to know the exact role(s) of the organization on the ground.]

4. What is your assessment of the work of your partners within the NTD control programme?

[By “partners”, we mean ministries of health, other government departments/agencies, implementing agencies, donors, NGOs or companies]

- 5. * What have been the problems in the integration of NTD control programmes that you are involved with?**
- 6. * How are your activities in the implementation of NTD control programmes building capacity within the health services?**
- 7. * In your opinion, what could be done to improve the integration of NTD control programmes that you are involved with?**
- 8. What has your organization done to monitor and evaluate the running of and results of the NTD control programmes that you are involved with?**

- what data are collected? (e.g. number of drugs delivered, number of drugs distributed)
- are cost analyses made? (e.g. cost of treatment per person)

[Respondent may be willing to share data and findings of monitoring and evaluation undertaken]

9. * How would you assess progress towards implementing the integration of NTD control programmes that you are involved with?

[The following progress measures may be useful]:

- no integration efforts have been made
- integration efforts have recently been launched (within last six months)
- integration efforts have been launched since some time (7-12 months ago)
- integration has been fully achieved since several years (over 1 year) and we see some results

[Also note positive and negative changes mentioned by respondent.]

10. * What do you believe has resulted from the NTD control programmes that you are involved with in the past year?

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year
- Don't know

[Respondent may not be in a position to respond to this question. If they do, ask them to explain their responses].

11. To your knowledge, what has been done to keep the NTD control programme functioning after your current mandate ends?

12. Will your organization continue to be involved with NTD control programmes in the next two year?

13. This interview has been about reviewing progress on NTD control programmes. Do you have anything further to add?

[This question is for respondent to have an opportunity to speak further on NTDs and his/her concerns.]

Information to be completed by interviewer:

Your impressions of the respondent and your interview: (Any special context? Anything we need to know to understand the responses? Level of willingness to discuss and credibility of responses given?):

Do you, the interviewer, have any real or potential conflict of interest with the respondent (e.g. a previous work colleague, a friend, etc.):

Name of interviewer:

Name of respondent:

Position held by respondent/organization:

Best description of role:

- Involved with managing of NTD control related-programmes at HQ level
- Involved with managing of NTD control related-programmes at country level
- Other, please specify _____

Country:

- named country
- named country
- named country
- Other, please specify _____

Date of interview:

Approximate duration of interview:

Other notes/comments:

Templates for questionnaires for selected sets of key respondents

This annex contains templates for questionnaires for the following sets of key respondents:

- **Partners and NGOs – Annex 5A**

- **Major implementers and deliverers of NTD control in endemic countries at district level** (such as programme managers and health workers at district level) – **Annex 5B**

- **Major implementers and deliverers of NTD control in endemic countries at national level** (such as programme managers at national level) – **Annex 5C**

Template for questionnaire for Partners and NGOs

We would appreciate your feedback on the treatment of Neglected Tropical Diseases. This is an independent evaluation commissioned byand your feedback will help us improve future efforts in this field. All feedback provided is **anonymous** and will be treated **confidentially**.

Please complete and return this questionnaire by.....

Please cross **X** or tick **✓** the correct answers and add comments where you would like.

1. This survey is about the following neglected tropical diseases: (specify relevant diseases). Is your organization involved in running or supporting programmes concerning these diseases?

- My organization is running programmes concerning these diseases
- My organization is supporting programmes concerning these diseases
- My organization has another role concerning these diseases.
If so, please specify _____
- No, my organization does nothing concerning these diseases

----->>If you answered the last option, please go to question 16 ----->>

2. Could you please indicate which of the following activities your organization is undertaking concerning these diseases? (Choose as many as you would like)

- Providing strategy and planning services
- Coordinating NGOs and agencies
- Providing funds for programmes
- Providing drugs
- Providing guidance, advice and training
- Seconding staff to work with programmes
- Managing programmes
- Providing monitoring and evaluation services
- Providing disease surveillance
- Delivering treatment and drugs
- Other activities? Please specify _____

3. Has the treatment of these diseases changed much in the past year? (change the time frame to suit evaluation)

- A lot of changes observed
- Some changes observed
- No changes observed
- Don't know

4. If you have seen a lot of changes (negative or positive), could you please describe here what these changes are and what you think brought them

about:

5. How would you assess your work with partners (e.g. other NGOs, Ministry of Health and other government agencies, donors and companies) concerning these diseases?

Excellent Good Satisfactory Poor Very poor

6. Do you have any further comment on your work with partners?

7. Have you seen efforts to combine the treatment of these diseases with other treatments?

- A lot of efforts seen to combine treatments
- Some efforts seen to combine treatments
- No efforts seen to combine treatments
- Don't know

8. How does the treatment of these diseases relate to the following aspects of the health systems in the countries where you are active:

Other health programmes

Don't know Works together Sometimes works together Never works together

Making planning and setting budgets

Don't know Works together Sometimes works together Never works together

Monitoring and evaluation of health programmes

Don't know Works together Sometimes works together Never works together

Disease surveillance

Don't know Works together Sometimes works together Never works together

Drug ordering, handling and distribution

Don't know Works together Sometimes works together Never works together

9. Thinking about how the programmes function on the ground, please indicate to what extent you agree or disagree with each of the following statements:

“The treatment of one or more of these neglected tropical diseases is mentioned in national health plans”

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

“Drugs for these neglected tropical diseases are distributed with other health interventions (such as vaccination, polio or malaria campaigns, vitamin distribution, school or community health and feeding programmes)”

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

“These neglected tropical diseases are treated together and not separately by health workers”

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

“The treatment of these neglected tropical diseases is done using existing vehicles and storage places of the Ministries of Health or other government agencies”

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

“Any reports or feedback on these neglected tropical diseases are done through the normal reporting system of the Ministries of Health”

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

10. Have you seen the WHO publication "Preventive Chemotherapy in Human Helminthiasis"?

No Yes

If yes, could you please comment on how this publication is used?

11. What have been the main problems in integrating the treatment of these diseases with the local health systems?

12. In your opinion, what could be done to integrate better the treatment of these diseases with the local health systems?

13. What do you believe has resulted from the treatment of these diseases that your organization is involved with in the past year? (change time frame to suit evaluation)

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year

Don't know

14. To your knowledge, what has been done to keep the treatment of these diseases ongoing after your current mandate ends?

15. Will your organization continue to be involved with programmes concerning these diseases in the next two years?

Yes No Don't know

16. Please select the country in which you are currently resident:

- named country
- named country
- named country
- Other, please specify _____

17. Please select the countries where your organization is involved in programmes concerning these diseases:

- named country
- named country
- named country
- Other, please specify _____

18. Please select the role that best represents what you do in your organization:

- Chief executive/senior manager
- Field programme manager/consultant
- Desk/HQ programme manager
- HQ health professional
- Field health professional
- HQ support staff
- Field support staff
- Other, please specify _____

19. This survey has been about reviewing progress on the treatment of neglected tropical diseases that your organization is involved with. Do you have anything further to add?

Thank you for taking the time to share your comments and feedback on neglected tropical diseases.

Template for questionnaire for major implementers and deliverers of NTD control in endemic countries at district level (such as programme managers and health workers at district level)

We would appreciate your feedback on the treatment of Neglected Tropical Diseases in your district. This is an independent evaluation commissioned byand your feedback will help us improve future efforts in this field. All feedback provided is **anonymous** and will be treated **confidentially**.

Please complete and return this questionnaire by

Please cross **X** or tick **✓** the correct answers and add comments where you would like.

1. This survey is about the following neglected tropical diseases: (specify relevant diseases). Does your work involve these diseases?

- I am a health manager involved in running treatment programmes for these diseases
- I am a health worker involved in treating these diseases
- I work in support service (e.g. administration, logistics or human resources) for health programmes
- Other, please specify _____
- I am not involved with programmes to manage or treat these diseases
----->>If you answered the last option, please go to question 19----->>

2. Please list the current top five priorities of the health sector in your district:

- 1) _____
- 2) _____
- 3) _____
- 4) _____
- 5) _____

3. In your opinion, what is the level of importance of treating these neglected tropical diseases for your district?

- High priority
- Medium priority
- Low priority
- Don't know

4. In your district, has the treatment of these neglected tropical diseases changed much in the past year? (change time frame to suit evaluation)

- A lot of changes observed
- Some changes observed
- No changes observed
- Don't know

5. If you have seen a lot of changes (negative or positive) could you please describe here what these changes are?

6. Could you please describe what you believe brought about these changes?

7. In your district, have you seen efforts to combine the treatment of these neglected tropical diseases with other treatments?

- A lot of efforts seen to combine treatments
- Some efforts seen to combine treatments
- No efforts seen to combine treatments
- Don't know

8. How does the treatment of these neglected tropical diseases relate to the following aspects of your health system:

Other health programmes in my district

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

Making planning and setting budgets in my district

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

Monitoring and evaluation of health programmes in my district

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

Disease surveillance in my district

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

Drug ordering, handling and distribution in my district

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

9. Thinking about health services in your district and your work, please indicate to what extent you agree or disagree with each of the following statements:

"The treatment of one or more of these neglected tropical diseases is mentioned in our district health plan"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

"Drugs for these neglected tropical diseases are distributed with other health interventions (such as vaccination, polio or malaria campaigns, vitamin distribution, school or community health and feeding programmes) in my district"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

"These neglected tropical diseases are treated together and not separately by health workers in my district"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

"The treatment of these neglected tropical diseases is done using our existing vehicles and storage places in my district"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

"Any reports or feedback on these neglected tropical diseases are done through our normal reporting system in my district"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

10. Have you seen the WHO publication "Preventive Chemotherapy in Human Helminthiasis"?

No Yes

If yes, could you please comment on how this publication is used in your district?

11. What has helped to make the treatment of these neglected tropical diseases work better with your health system?

12. What have been the main problems in the treatment of these neglected tropical diseases in working with your health system?

13. In your opinion, what could be done to make the treatment of these neglected tropical diseases work better with your health system?

14. What are some of the *advantages* of working with partners (e.g. NGOs, other government agencies, donors and companies) in the treatment of these neglected tropical diseases?

(If you are not working with partners, please skip this question.)

15. Could you describe some of the *disadvantages* of working with partners (e.g. NGOs, other government agencies, donors and companies) in the treatment of these neglected tropical diseases?

(If you are not working with partners, please skip this question.)

16. In your district, what do you believe has resulted from the treatment of these neglected tropical diseases in the past year?

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year
- Don't know

17. With the resources available to you, could you list three things that you believe need to be done to increase the treatment of these diseases in your district:

1) _____

2) _____

3) _____

18. What have you done – or could do – to keep the treatment of these diseases still going after external aid ends?

19. Please select your country of residence:

- named country
- named country
- named country
- Other, please specify _____

20. This survey has been about reviewing progress on the treatment of neglected tropical diseases in your district. Do you have anything further to add?

Thank you for taking the time to share your comments and feedback on neglected tropical diseases.

Template for questionnaire for major implementers and deliverers of NTD control in endemic countries at national/country level (such as programme managers at national level)

We would appreciate your feedback on the treatment of Neglected Tropical Diseases in your country. This is an independent evaluation commissioned by theand your feedback will help us improve future efforts in this field. All feedback provided is **anonymous** and will be treated **confidentially**.

Please complete and return this questionnaire by

Please cross **X** or tick **✓** the correct answers and add comments where you would like.

1. This survey is about the following neglected tropical diseases: (specify relevant diseases). Does your work involve these diseases?

- I am a health manager involved in managing treatment programmes for these diseases
- I am a health worker involved in treating these diseases
- I work in support service (e.g. administration, logistics or human resources) for health programmes
- Other, please specify _____
- I am not involved with programmes to manage or treat these diseases
----->>If you answered the last option, please go to question 19----->>

2. Please list the current top five priorities of your health sector:

- 1) _____
- 2) _____
- 3) _____
- 4) _____
- 5) _____

3. In your opinion, what is the level of importance of treating these neglected tropical diseases for your country?

- High priority
- Medium priority
- Low priority
- Don't know

4. In your country, has the treatment of these neglected tropical diseases changed much in the past year? (change time frame to suit evaluation)

- A lot of changes observed
- Some changes observed
- No changes observed
- Don't know

5. If you have seen a lot of changes (negative or positive) could you please describe here what these changes are?

6. Could you please describe what you believe brought about these changes?

7. Have you seen efforts to combine the treatment of these neglected tropical diseases with other treatments?

- A lot of efforts seen to combine treatments
- Some efforts seen to combine treatments
- No efforts seen to combine treatments
- Don't know

8. How does the treatment of these neglected tropical diseases relate to the following aspects of your health system:

Other health programmes

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

Making planning and setting budgets

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

Monitoring and evaluation of health programmes

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

Disease surveillance

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

Drug ordering, handling and distribution

- | | | | |
|--------------------------|----------------------------------|----------------------------------|----------------------------------|
| <input type="checkbox"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> | <input checked="" type="radio"/> |
| Don't know | Works together | Sometimes works together | Never works together |

9. Please indicate to what extent you agree or disagree with each of the following statements:

"The treatment of one or more of these neglected tropical diseases is mentioned in our national health plan"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

"Drugs for these neglected tropical diseases are distributed with other health interventions (such as vaccination, polio or malaria campaigns, vitamin distribution, school or community health and feeding programmes)"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

"These neglected tropical diseases are treated together and not separately by health workers"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

"The treatment of these neglected tropical diseases is done using our existing vehicles and storage places"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

"Any reports or feedback on these neglected tropical diseases are done through our normal reporting system"

Strongly agree Agree Neither agree nor disagree Disagree Strongly disagree

10. Have you seen the WHO publication "Preventive Chemotherapy in Human Helminthiasis"?

No Yes

If yes, could you please comment on how this publication is used?

11. What has helped to make the treatment of these neglected tropical diseases work better with your health system?

12. What have been the main problems in the treatment of these neglected tropical diseases in working with your health system?

13. In your opinion, what could be done to make the treatment of these neglected tropical diseases work better with your health system?

14. What are some of the *advantages* of working with partners (e.g. NGOs, other government agencies, donors and companies) in the treatment of these neglected tropical diseases?

(If you are not working with partners please skip this question)

15. Could you describe some of the *disadvantages* of working with partners (e.g. NGOs, other government agencies, donors and companies) in the treatment of these neglected tropical diseases?

(If you are not working with partners please skip this question)

16. In your country, what do you believe has resulted from the treatment of these neglected tropical diseases in the past year?

- Reached/treated more persons than in the past year
- Reached/treated approximately same number of persons as in the past year
- Reached/treated fewer persons than in the past year
- Don't know

17. With the resources available to you, could you list three things that you believe need to be done to increase the treatment of these diseases in your country:

1) _____

2) _____

3) _____

18. What have you done – or could do – to keep the treatment of these diseases still going after external aid ends?

19. Please select your country of residence:

- named country
- named country
- named country
- Other, please specify _____

20. This survey has been about reviewing progress on the treatment of neglected tropical diseases in your country. Do you have anything further to add?

Thank you for taking the time to share your comments and feedback on neglected tropical diseases.

Country assessment grid on NTD integration
Evaluation of the Integrated Control of Neglected Tropical Diseases

Country: [name of country here]

Country evaluator(s): [name(s) of evaluation team who undertook visit]

Integration criteria	Observations	Estimation of degree of integration:			
		None	Low	Medium	High
Criteria 1: Planning, reporting & evaluation					
1.1 National health plan	Mark X for your estimation → for all criteria Please make any comments or clarifications on your estimation in this column.	NTD is not mentioned in national health plan	NTD is spoken about by MoH but not mentioned in national health plan.	One or more NTD is in national health plan but is not a major priority.	One or more NTD is in national health plan and is a major priority.
1.2 District health plan <i>Only for district health plans where NTD is relevant.</i>		NTD is not mentioned in any district health plans viewed.	One or more NTD is mentioned in minority of district health plans viewed.	One or more NTD is in majority of district health plans viewed.	One or more NTD is in majority of district health plans viewed and a major priority.
1.3 NTD budgets		NTD budgets are completely separate from standard budget.	Minority of NTD budgets are part of standard budget.	Majority of NTD budgets are part of standard budget.	NTD budgets are part of standard budget.
1.4 NTD Reports and feedback		NTD reports or feedback use a separate reporting system.	Majority of NTD reports and feedback use separate reporting system.	Majority of NTD reports and feedback use standard reporting system.	NTD Reports and feedback use the standard reporting system.
1.5 NTD monitoring and evaluation		NTD Monitoring and evaluation is undertaken separately from existing system.	Minority of NTD monitoring and evaluation is undertaken with standard system.	Majority of NTD monitoring and evaluation is undertaken with standard system.	NTD Monitoring and evaluation is part of the standard monitoring and evaluation system.

Criteria 2: Delivery					
2.1 Delivery of NTD treatment		NTD treatments are not delivered in accordance with WHO guidelines.	Minority of NTD treatments are delivered in accordance with WHO guidelines.	Majority of NTD treatments are delivered in accordance with WHO guidelines.	NTD treatments are always delivered in accordance with WHO guidelines.
2.2 NTD treatment and other health interventions		NTD treatments are undertaken separately from other health interventions.	Minority of NTD treatments are undertaken with other health interventions.	Majority of NTD treatments are undertaken with other health interventions.	NTD treatments are always undertaken with other health interventions.
2.3 Integration among five NTD <i>This criteria may not be applicable for all contexts, e.g. where only one NTD present in country.</i>		NTD treatments undertaken separately and not together.	Minority of NTD treatments undertaken together.	Majority of NTD treatments undertaken together.	NTD treatments always undertaken together.
Criteria 3: Surveillance					
3.1 NTD surveillance		NTD surveillance is separate from general disease surveillance.	Only some NTD surveillance is undertaken as part of general disease surveillance.	NTD surveillance is mostly undertaken as part of general disease surveillance.	NTD surveillance is part of general disease surveillance.
Criteria 4: drug ordering & handling					
4.1 NTD drug ordering		NTD Drugs are ordered and dispatched through separate systems.	Only some NTD Drugs are ordered and dispatched through existing systems.	Most NTD Drugs are ordered and dispatched through existing systems.	NTD Drugs are ordered and dispatched through the existing systems.
4.2 NTD drug transport		NTD drugs and equipment are transported using separate vehicles (and not vehicles of health services).	NTD drugs and equipment are mostly transported using separate vehicles.	NTD drugs and equipment are mostly transported using vehicles of health services.	NTD drugs and equipment are transported using vehicles of health services.

4.3 NTD drug storage		NTD drugs are stored in separate facilities.	Only some NTD drugs are stored in existing facilities.	Most NTD drugs are stored in existing facilities.	NTD drugs are stored in existing facilities.
Criteria 5: Resources					
5.1 Use of vehicles		NTD control is done using vehicles for NTD programmes (and not vehicles of health service).	NTD control is mostly done using vehicles for NTD programmes.	NTD control is mostly done using existing vehicles of health services.	NTD control is done using existing vehicles of health services.
5.2 Use of infrastructure		NTD control is done using separate infrastructures (storage facilities and offices).	NTD control is done mainly using separate infrastructures (storage facilities and offices).	NTD control is mainly done using existing infrastructures (storage facilities and offices).	NTD control is done using existing infrastructures (storage facilities and offices).
5.3 NTD control Management		NTD control is managed by specialists (and not be general health managers)	Majority of NTD control is managed by specialists. Minority is managed by general health managers.	Majority of NTD control is managed by general health managers. Minority is managed by specialists.	NTD control is managed by general health managers and not by specialists.
5.4 NTD control – training of health workers		Health workers, school teachers and community volunteers have not received training in NTD control.	Minority of health workers, school teachers and community volunteers have received training in NTD control.	Majority of health workers, school teachers and community volunteers have received training in NTD control.	All health workers, school teachers and community volunteers have received training in NTD control.

5.5 NTD control – role of health workers		NTD control is undertaken by specialists and not by existing health workers, school teachers and community volunteers.	Majority of NTD control is undertaken by specialists. Minority is undertaken by existing workers, school teachers and community volunteers.	Majority of NTD control is undertaken by existing health workers, school teachers and community volunteers. Minority is undertaken by specialists.	NTD control is undertaken by existing health workers, school teachers and community volunteers and not by specialists.
5.6 Use of WHO guidelines ("Preventive Chemotherapy in Human Helminthiasis")		WHO guidelines are not known and not used by health workers.	WHO guidelines are known and used by only some health workers.	WHO guidelines are known and used by most health workers.	WHO guidelines are known and used by health workers.
Criteria 6: Partners					
6.1 Partner support – priorities		Support of partners is inappropriate for the priorities of NTD control. (I.e. partners' priorities are based on own needs.	Minority of support of partners is appropriate for the priorities of NTD control.	Majority of support of partners is appropriate for the priorities of NTD control.	Support of partners is appropriate for the priorities of NTD control.
6.2 Partner support – efficiency & effectiveness		Support of partners does not facilitate effective & efficient NTD control (i.e. support encourages inefficiencies and wastage).	Minority of partners' support facilitates effective & efficient NTD control.	Majority of partners' support facilitates effective & efficient NTD control.	Support of partners facilitates more effective & efficient NTD control.
6.3 Partner support – capacity building (<i>developing capabilities in existing national systems</i>)		Partners place no priority on building capacity of local partners.	Partners place low priority on building capacity of local partners.	Partners place medium priority on building capacity of local partners.	Partners place high priority on building capacity of local partners.

Criteria 7: Additional criteria					
7.1 ***** (country evaluators may identify additional criteria: please place them here)					
7.2 *****					
7.3 *****					
Evaluator's overall assessment of integration					
		<i>None</i> Overall, NTD control is not integrated into existing health systems of this country.	<i>Low</i> Overall, NTD control is partially integrated into existing health systems of this country.	<i>Medium</i> Overall, NTD control is mainly integrated into existing health systems of this country.	<i>High</i> Overall, NTD control is fully integrated into existing health systems of this country.

Template for individual country profile

Note: This template has two appendices (below), one on presence and treatment of NTDs, and the other on NTD partners.

COUNTRY Name:.....DATE:

I. Summary

II. Priority of NTD within health system

III. Number of people treated/reached

Changes to NTD programme observed in the past year

IV. Progress towards integration of NTD programmes

Key indicators of progress:

District health plans
National health plan
Treatment of NTD together
Treatment with other diseases
Planning, finance and reporting
Monitoring and evaluation
Diseases surveillance
Drug ordering, handling and distribution
Transportation

V. Major factors that have assisted in NTD control

VI. Major problems with NTD control

VII. Improving NTD integration with health system

VIII. Working with partners for NTD integration

Advantages

Disadvantages

IX. Actions required to increase coverage of NTD programme (with resources available)

X. Actions required to keep the NTD programme running after external aid finishes

XI. Use of WHO Guidelines (publication)

Appendix 1: Further information on presence & treatment of NTDs

Overview of presence and treatment of NTD country-wide

	Specify relevant disease				
Country-wide NTD presence					
Country-wide NTD treatment					

Appendix 2: Further information on NTD partners

Role of partners interviewed

	Specify relevant disease				
Providing strategy and planning services					
Coordinating NGOs and agencies					
Providing funds for programmes					
Providing drugs					
Providing guidance, advice and training					
Seconding staff to work with programmes					
Managing programmes					
Providing monitoring and evaluation services					
Providing disease surveillance					
Delivering treatment and drugs					

List of NTD Partners

Pharmaceutical companies:

Government bodies:

Donors:

NGOs:

UN agencies:

Civil society:

Others: