

UN-Water

Global Annual Assessment on Sanitation and Drinking-Water 2008

Preamble

This questionnaire is designed to collect data for a dual purpose. First of all, it is serving the UN-Water Global Annual Assessment on Sanitation and Drinking-Water (GLASS). At the same time it is combined with the special mapping study of the Africa Working Group (AWG) of the European Union Water Initiative (EUWI) (see also the cover letter to this questionnaire for more background information). To this effect, the questionnaire has a global scope but we have added (where appropriate) specific questions related to the aid to Africa. This version of the questionnaire is sent to a pilot group of external support agencies.

We acknowledge that aid of some donors is reported to the Creditor Reporting System of the OECD-DAC (CRS). However, the scope of this questionnaire goes beyond that of the OECD-DAC's system. The aim of this exercise is to gather more detailed past and future projections of your assistance and policy changes. Your answers can differ from the CRS reports since you should not be constrained by OECD-DAC purpose-coding system. Estimations or well-informed opinions are welcomed. If you cannot answer questions or parts of the questionnaire, please provide an approximate amount or range and explain what are the main constraints for that specific question. It is better to have a few answers based on professional judgement than not to have any. For purposes of validation, please consider providing references to existing documents when these are being used as the source of information. Please feel free to add also other comments or suggestions in the appropriate boxes.

The questionnaire is accompanied by guidance notes and glossary. It is strongly recommended to read these notes first, before answering the questions (furthermore, references to relevant information in the guidance notes and glossary can be found along the main text of this questionnaire).

UN-Water GLAAS 2008 is coordinated by WHO. Support by telephone or e-mail is available by WHO staff, please see the information below.

Support for filling in this questionnaire

WHO staff can provide support by telephone or e-mail:

Please contact Federico Properzi by e-mail (properzif@who.int)

Or make an appointment for telephone support by sending an e-mail

The filled questionnaire should be sent by email to

Federico Properzi (properzif@who.int)

Please **type** your answers in the cream coloured areas/boxes only. When you need to add additional countries/regions please add rows.
If brief text is asked for – please provide a *short* response.

Please fill in the following information before completing the questionnaire

Name of external support agency	
Name of respondent	
Email address	
Telephone number (incl. country code)	
Designation/Title	
Department	
Location	
Date of response	

To meet the objectives of the EUWI – AWG and the UN Water Global Annual Assessment (GLAAS) exercises as mentioned in the cover letter, this questionnaire focuses on four key sections:

A) Aid policy in general and for WASH/IWRM specifically

B) Commitments

C) Funding flows: share of aid NOT channelled through NGOs and multilateral organisations

D) Alignment, harmonisation and accountability

Section A. Aid policy in general and for WASH/IWRM specifically

Number/Heading	Question	Response
1. General policy on aid	Please set out briefly the aims, objectives and pre-requisites of aid provision as set out in policy and/or legislation.	Brief text
References and comments on section A Please enter any additional comment on questions 1 to 9 that you feel would be useful to the analysis. Please also list any main reference documents you have used in compiling your responses in this section.		

Section A. Aid policy in general and for WASH/IWRM specifically

Number/Heading	Question	Response	
2. Location of development work	What are the priority countries/regions for the implementation of your general development assistance policy? <i>Please replace "Country 1/ Region 1" etc with the country/region name [1] in the box and add rows as necessary for additional countries/regions</i>	Africa	Elsewhere
		Country 1	Country 1
		Country 2	Country 2
		Country 3	Country 3
		Country 4	Country 4
		Country 5	Country 5
		Region 1	Region 1
		Region 2	Region 2
		Region 3	Region 3
		Region 4	Region 4
		etc	etc

[1] Region-wise information is to account for your support to regional programmes and supranational institutions. For further explanation on this please refer to the guidance notes.

Section A. Aid policy in general and for WASH/IWRM specifically

Number/Heading	Question	Response	
3. Aid policy for sanitation & hygiene	Please provide information on your current policies on <u>sanitation & hygiene</u> .	Year when it was first formulated	
		Year when the latest change to the policy was made	
		Which government institutions have endorsed the policy, if applicable	
		Other	

Section A. Aid policy in general and for WASH/IWRM specifically

Number/Heading	Question	Response	
4. Location of work in sanitation & hygiene	Which are the priority countries/regions for work in sanitation & hygiene ? <i>Please replace “Country 1/ Region 1” etc with the country/region name [1] in the box and add rows as necessary for additional countries/regions</i>	Africa	Elsewhere
		Country 1	Country 1
		Country 2	Country 2
		Country 3	Country 3
		Country 4	Country 4
		Country 5	Country 5
		Region 1	Region 1
		Region 2	Region 2
		Region 3	Region 3
		Region 4	Region 4
		etc	etc

[1] Region-wise information is to account for your support to regional programmes and supranational institutions. For further explanation on this please refer to the guidance notes.

Section A. Aid policy in general and for WASH/IWRM specifically

Number/Heading	Question	Response	
5. Aid policy for water supply	Please provide information on your current policies on involvement in the water supply sector.	Year when it was first formulated	
		Year when the latest change to the policy was made	
		Which government institutions have endorsed the policy, if applicable	
		Other	

Section A. Aid policy in general and for WASH/IWRM specifically

Number/Heading	Question	Response	
6. Location of work in water supply sector	Which are the priority countries/regions for work in water supply ? <i>Please replace "Country 1/ Region 1" etc with the country/region name [1] in the box and add rows as necessary for additional countries/regions</i>	Africa	Elsewhere
		Country 1	Country 1
		Country 2	Country 2
		Country 3	Country 3
		Country 4	Country 4
		Country 5	Country 5
		Region 1	Region 1
		Region 2	Region 2
		Region 3	Region 3
		Region 4	Region 4
		etc	etc

[1] Region-wise information is to account for your support to regional programmes and supranational institutions. For further explanation on this please refer to the guidance notes.

Section A. Aid policy in general and for WASH/IWRM specifically

Number/Heading	Question	Response	
7. Aid policy for IWRM	Please provide information on your current policies on involvement in <u>IWRM</u> .	Year when it was first formulated	
		Year when the latest change to the policy was made	
		Which government institutions have endorsed the policy, if applicable	
		Other	

Section A. Aid policy in general and for WASH/IWRM specifically

Number/Heading	Question	Response	
8. Location of work in IWRM	Which are the priority countries for work in IWRM ? <i>Please replace "Country 1/ Region 1" etc with the country/region name [1] in the box and add rows as necessary for additional countries/regions</i>	Africa	Elsewhere
		Country 1	Country 1
		Country 2	Country 2
		Country 3	Country 3
		Country 4	Country 4
		Country 5	Country 5
		Region 1	Region 1
		Region 2	Region 2
		Region 3	Region 3
		Region 4	Region 4
		etc	etc

[1] Region-wise information is to account for your support to regional programmes and supranational institutions. For further explanation on this please refer to the guidance notes.

Section A. Aid policy in general and for WASH/IWRM specifically

Number/Heading	Question	Response	
9. Future focus	Please indicate briefly your plans or expectations for the future focus and location of your aid activities. <i>Please specify about the provided options in the following chart when possible.</i>	Brief text - In general	
		Sanitation and Hygiene	
		Changes in policy?	
		Changes in budget allocations?	
		Changes in focus countries?	
		Thematic changes?	
		Other?	
		Water Supply	
		Changes in policy?	
		Changes in budget allocations?	
		Changes in focus countries?	
		Thematic changes?	
		Other?	
		IWRM	
		Changes in policy?	
		Changes in budget allocations?	
		Changes in focus countries?	
		Thematic changes?	
		Other?	

Section B. Commitments for WASH/IWRM

Note: it is strongly recommended to **read the guidance notes** for definitions on the items listed below.
We acknowledge that some of the questions may be difficult to answer. We encourage you to give your best guestimate in those situations, indicating this in the comments box.

Number/Heading	Question	Response											
10. Existing commitments per country/region	Please provide the present level of commitments (grants and loans[1]) per country or region for sanitation & hygiene, water supply, IWRM and WASH emergency and relief assistance. <i>See definition for commitments and instructions for filing in the chart in the glossary.</i> NB: Commitments are the formal agreements signed during one specific year, we are NOT expecting data on future possible commitments	Currency											
		Year											
		Units of ammouts reported											
			Sanitation & Hygiene		Water Supply		IWRM		WASH Emergency		Total		
			grants	loans	grants	loans	grants	loans	grants	loans	grants	loans	
		Country 1											
		Country 2											
		Country 3											
		Country 4											
		Country 5											
		Region 1											
		Region 2											
		Region 3											
		Region 4											
		etc											
		Total											

[1] For a matter of simplicity, only grants and loans have been considered in this questionnaire. Other in-kind assistance like technical assistance can be considered grants for the purpose of this exercise.

References and comments on section B Please enter any additional comment on questions 10 to 16 that you feel would be useful to the analysis. Please also list any main reference documents you have used in compiling your responses in this section.	
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Section B. Commitments for WASH/IWRM

Number/Heading	Question	Response											
11. Disbursements for country/region	Please provide the present level of disbursements (grants and loans) per country or region for sanitation & hygiene, water supply, IWRM and WASH emergency and relief assistance. <i>See definition for disbursements in the glossary</i>	Currency											
		Year											
		Units of ammouts reported											
			Sanitation & Hygiene		Water Supply		IWRM		WASH Emergency		Total		
			grants	loans	grants	loans	grants	loans	grants	loans	grants	loans	
		Country 1											
		Country 2											
		Country 3											
		Country 4											
		Country 5											
		Region 1											
		Region 2											
		Region 3											
		Region 4											
		etc											
		Total											

[1] For a matter of simplicity, only grants and loans have been considered in this questionnaire. Other in-kind assistance like technical assistance can be considered grants for the purpose of this exercise.

Section B. Commitments for WASH/IWRM

Number/Heading	Question	Response		
12. Length of support –sanitation & hygiene	What proportion of your total sanitation & hygiene commitments is allocated to short/long term programmes?	Length	% of “Sanitation & Hygiene” total grants mentioned in Q10 (commitments)	% of “Sanitation & Hygiene” total loans mentioned in Q10 (commitments)
		<3 years		
		>3 years		

Section B. Commitments for WASH/IWRM

Number/Heading	Question	Response		
13. Length of support – water supply	What proportion of your total <u>water supply commitments</u> is allocated to short/long term programmes?	Length	% of “Water Supply” total <u>grants</u> mentioned in Q10 (commitments)	% of “Water Supply” total <u>loans</u> mentioned in Q10 (commitments)
		<3 years		
		>3 years		

Section B. Commitments for WASH/IWRM

Number/Heading	Question	Response		
14. Length of support – IWRM	What proportion of your total IWRM commitments is allocated to short/long term programmes?	Length	% of "IWRM" total grants mentioned in Q10 (commitments)	% of "IWRM" total loans mentioned in Q10 (commitments)
		<3 years		
		>3 years		

Section B. Commitments for WASH/IWRM

Number/Heading	Question	Response	
15. Poverty focus of aid	How do you address and measure the pro-poor dimension of your development policy? <i>Note: It is acknowledged that answering this question may be difficult. Please provide additional comments if necessary in the comments box. See the guidance notes for examples.</i>		Describe your key indicator for measuring the outcomes for your pro-poor policy in your development assistance policy
		Description	
		Country 1	
		Country 2	
		Region 1	
		Region 2	
etc			

Section B. Commitments for WASH/IWRM

Number/Heading	Question	Response										
16. Indications of future intended allocations for WASH and IWRM per country	Please provide the intended allocation for the next year (grants and loans) per focus country for sanitation & hygiene, water supply, IWRM and WASH emergency and relief assistance. <i>See definition for allocations in the glossary</i>	Currency										
		Year										
		Units of ammouts reported										
			Sanitation & Hygiene		Water Supply		IWRM		WASH Emergency		Total	
			grants	loans	grants	loans	grants	loans	grants	loans	grants	loans
		Country 1										
		Country 2										
		Country 3										
		Country 4										
		Country 5										
		Region 1										
		Region 2										
		Region 3										
		Region 4										
etc												
Total												

[1] For a matter of simplicity, only grants and loans have been considered in this questionnaire. Other in-kind assistance like technical assistance can be considered grants for the purpose of this exercise.

Section C. Share of aid NOT channelled through NGOs and multilateral organisations for WASH/IWRM

Number/Heading	Question	Response						
17. Commitments NOT channelled through NGOs and multilateral organisations (thousands of the specified currency)	What proportion of your total WASH/IWRM commitments is not channelled through NGOs and multilateral organizations? See guidance notes and glossary for the definitions used	Currency						
		Year						
		Units of ammounts reported						
			Sanitation & Hygiene		Water Supply		IWRM	
			Total S&H from Q10	Amount which is NOT channelled through NGOs and multilateral organisations	Total WS from Q10	Amount which is NOT channelled through NGOs and multilateral organisations	Total IWRM from Q10	Amount which is NOT channelled through NGOs and multilateral organisations
		Grants	0		0		0	
		Loans	0		0		0	
		Total	0		0		0	
References and comments on section C Please enter any additional comment on questions 17 to 21 that you feel would be useful to the analysis. Please also list any main reference documents you have used in compiling your responses in this section.								

Section C. Share of aid NOT channelled through NGOs and multilateral organisations for WASH/IWRM

Number/Heading	Question	Response					
18. Funding type	Which are the common (prevalent) funding types used for disbursement of aid NOT channelled through NGOs and multilateral organisations for WASH/IWRM? <i>(if known, fill in the monetary values in the first column. If not available, please give estimates in the second column. If possible please disaggregate WASH and IWRM)</i>		WASH		IWRM		or total for WASH/IWRM
		Funding type	Volume (specify unit)	%	Volume (specify unit)	%	Volume (specify unit) %
		Sector budget support					
		Projects					
		Other (specify)					

Section C. Share of aid NOT channelled through NGOs and multilateral organisations for WASH/IWRM

Number/Heading	Question	Response							
19. Commitments NOT channelled through NGOs and multilateral organisations on elements within sanitation & hygiene	Commitments NOT channelled through NGOs and multilateral organisations on sanitation & hygiene infrastructure, software, resource/skills development and recurrent costs incl. O&M elements by country. <i>See glossary for definitions</i> <i>If possible please specify the components A, B and C per country/region, if this is not possible please provide the total of A+B+C</i>	Currency							
		Year							
		Units of ammouts reported							
			(A) Capital investment	(B) Capital (preventive) maintenance	(C) Operation and maintenance	Support to infrastructure (A+B+C)	Direct support (e.g. promotion, capacity building...)	Indirect support (e.g. planning costs)	Total
		Country 1							
		Country 2							
		Country 3							
		Country 4							
		Country 5							
		Region 1							
		Region 2							
		Region 3							
		Region 4							
		etc.							
		Total							

Section C. Share of aid NOT channelled through NGOs and multilateral organisations for WASH/IWRM

Number/Heading	Question	Response							
20. Commitments NOT channelled through NGOs and multilateral organisations on elements within water supply	<u>Commitments NOT channelled through NGOs and multilateral organisations on water supply</u> infrastructure, software, resource/skills development and recurrent costs inc O&M elements by country. <i>See glossary for definitions</i> <i>If possible please specify the components A, B and C per country/region, if this is not possible please provide the total of A+B+C</i>	Currency							
		Year							
		Units of ammouts reported							
			(A) Capital investment	(B) Capital (preventive)	(C) Operation and maintenance	Support to infrastructure	Direct support (e.g. promotion,	Indirect support (e.g. planning	Total
		Country 1							
		Country 2							
		Country 3							
		Country 4							
		Country 5							
		Region 1							
		Region 2							
		Region 3							
		Region 4							
		etc.							
		Total							

Section C. Share of aid NOT channelled through NGOs and multilateral organisations for WASH/IWRM

Number/Heading	Question	Response							
21. Commitments NOT channelled through NGOs and multilateral organisations on elements within IWRM	<u>Commitments NOT channelled through NGOs and multilateral organisations on IWRM</u> infrastructure, software, resource/skills development and recurrent costs inc O&M elements by country. <i>See glossary for definitions</i> <i>If possible please specify the components A, B and C per country/region, if this is not possible please provide the total of A+B+C</i>	Currency							
		Year							
		Units of							
			(A) Capital investment	(B) Capital (preventive)	(C) Operation and	Support to infrastructure	Direct support (e.g. promotion,	Indirect support (e.g. planning	Total
		Country 1							
		Country 2							
		Country 3							
		Country 4							
		Country 5							
		Region 1							
		Region 2							
		Region 3							
		Region 4							
		etc.							
		Total							

Section D. Alignment, harmonisation and accountability

Number/Heading	Question	Response	
22. International coordination for WASH/IWRM	What formal or informal mechanisms are being used by your agency to reduce donor 'overcrowding' or to ensure no 'donor orphans' in WASH/IWRM	In general	
		Related to Water supply	
		Related to Sanitation and Hygiene	
		Related to IWRM	
References and comments on section D Please enter any additional comment on questions 22 to 29 that you feel would be useful to the analysis. Please also list any main reference documents you have used in compiling your responses in this section.			

Section D. Alignment, harmonisation and accountability

Number/Heading	Question	Response	
23. Aid flows are aligned on national priorities	<p>Which portion of your programmes and technical assistance for WASH/IWRM is coordinated through recipient country programmes?</p> <p><i>Please provide your best estimates or indications</i></p>	% of our programmes and technical assistance for WASH/IWRM that are coordinated through recipient government programmes and policies	

Section D. Alignment, harmonisation and accountability

Number/Heading	Question	Response	
24. In-country donor coordination - sanitation and hygiene	In the countries where you work in sanitation and hygiene , do you participate in national coordination/ harmonisation bodies (where these exist) for the sanitation sub-sector?	Number of countries where we are active in such bodies for the sanitation and hygiene sub-sector	
		Number of countries where we are active in such bodies for the sanitation and hygiene sub-sector and take a leading role	

Section D. Alignment, harmonisation and accountability

Number/Heading	Question	Response	
25. In-country donor coordination – water supply	In the countries where you work in <u>water supply</u> do you participate in national coordination/ harmonisation bodies (where these exist) for the water supply sub-sector?	Number of countries where we are active in such bodies for the water supply sub-sector	
		Number of countries where we are active in such bodies for the water supply sub-sector and take a leading role	

Section D. Alignment, harmonisation and accountability

Number/Heading	Question	Response	
26. In-country donor coordination – IWRM	In the countries where you work in <u>IWRM</u> do you participate in national coordination/ harmonisation bodies (where these exist) for IWRM sub-sector?	Number of countries where we are active in such bodies for the IWRM sub-sector	
		Number of countries where we are active in such bodies for the IWRM sub-sector and take a leading role	

Section D. Alignment, harmonisation and accountability

Number/Heading	Question	Response	
27. Commitment to mutual accountability	Do you share country profiles among other external support agencies and make aid allocations visible and accessible in the countries where you work in WASH/IWRM?	Number of countries where we actively and accessibly publicise our work on WASH/IWRM	
		URLS	

Section D. Alignment, harmonisation and accountability

Number/Heading	Question	Response	
28. Avoiding parallel implementation structures (Paris Declaration)	Do you have Project Implementation Units (PIUs) working in your partner countries?	Number of countries where we have PIUs working	
		Average number of PIUs per country	

Section D. Alignment, harmonisation and accountability

Number/Heading	Question	Response					
29. Untied Aid (Paris Declaration)	How much of your Aid for WASH and IWRM is untied? <i>Please provide your best estimates or indications</i>		Sanitation & Hygiene		Water Supply		IWRM
			Total S&H from Q10	Amount which is untied	Total WS from Q10	Amount which is untied	Total IWRM from Q10
		Grants	0		0		0
		Loans	0		0		0
		Total	0		0		0