

UN-Water Global Annual Assessment of Sanitation and Drinking-Water

2009 Questionnaire for bi-lateral and multi-lateral donors, NGOs, private foundations, and international agencies

Introduction

In 2008, UN-Water, working with the World Health Organization (WHO) and other partners, published a pilot report aimed at taking a comprehensive overview of the determining factors that are constraining or enabling progress towards meeting the MDG targets for drinking-water and sanitation. This pilot, or "proof of concept" is now being taken forward by UN-Water, in collaboration with WHO, with an annual Global Assessment of Sanitation and Drinking-water (GLAAS) report; the first of these annual reports being planned for publication in January 2010. The GLAAS will cover four main aspects: service levels, policy and institutional setting, human resources capacity, and financial system capacity with external support agencies and recipient countries being part of the analysis.

The UN-Water GLAAS report is designed in response to the need of the UN family Member States to reduce the reporting burden and harmonise different reporting mechanisms. At the same time the report aims to increase comprehensiveness and accountability of information on the drinking-water and sanitation sectors, to facilitate the achievement of the Paris Declaration on Aid Effectiveness (2005) and the Accra Agenda for Action (2008). GLAAS will complement a number of other monitoring exercises, specifically the WHO/UNICEF Joint Monitoring Programme for Water Supply and Sanitation that provides the latest data on access to drinking-water and sanitation, and the second series of the Country Status Overviews (*the first series covering 16 African countries, "Getting Africa on Track to Meet the MDGs on Water and Sanitation" was published in December 2006, with support by supported AMCOW, AfDB, EU Water Initiative, UNDP, WSP, and the World Bank*), that will provide updated and in-depth analysis of 32 African countries.

As implementers of the GLAAS, WHO is working in close collaboration with the OECD to make the best use of existing data, as the aid flows of many donors are reported to the OECD-DAC. In addition to reported aid flows, data on donor priorities, future planning and alignment, are also being considered in this questionnaire. If your agency already reports to the OECD-DAC (CRS), you are receiving a modified questionnaire.

The questionnaire comes with a separate guidance document in Adobe PDF (GLAAS_ESA_GuidanceNote2009.pdf). It is strongly recommended to refer to these notes first, before answering the questions (furthermore, references to relevant information in the guidance notes and glossary can be found along the main text of this questionnaire).

The data collection effort for donors, NGOs, private foundations, and international agencies is being executed by the IRC International Water and Sanitation Centre (IRC). IRC provided support to the European Union Water Initiative, Africa Working Group (EUWI-AWG) for its 2008 African aid mapping study (<http://www.irc.nl/page/46228>), which also informed the 2008 UN-Water GLAAS pilot. "Hotline" support by telephone or e-mail is available by IRC staff to help fill in the questionnaire, see the information below.

Support "hotline" for filling in this questionnaire

Staff from the IRC International Water and Sanitation Center can provide further support by telephone or e-mail:

Tel: + 31 (0)70 304 400 00

Email: GLAAS_support@irc.nl

Mrs. Ingeborg Krukkert (English, Dutch)

Mrs. Aminata Sylla (French, English)

Mrs. Catarina Fonseca (Portuguese, Spanish, English)

The filled questionnaire should be sent by email to

GLAAS_support@irc.nl

Please type your answers in the light green coloured areas/boxes only.

If brief text is asked for – please provide a short response.

Please fill in the following information before completing the questionnaire

Name of organisation, bilateral or multilateral agency	
Name of respondent	
Email address	
Telephone number (including country code)	
Designation/Title	
Department	
Location	
Postal address	
Date of response	dd-mm-yyyy

To meet the objectives of the Global Annual Assessment of Sanitation and Drinking-water (GLAAS), this questionnaire focuses on three key sections:

A) Aid policy and implementation for the Water, Sanitation and Hygiene sector (WASH) - Questions 1 to 5

B) Aid flows for WASH - Questions 6 to 9

C) Alignment, harmonisation and accountability (following the Paris Declaration principles) - Questions 10 to 14

Section A. Aid policy and implementation for WASH

Number/Heading	Question	Answer
Q1. Priority sectors for aid allocations in 2008	1.1 What are the top three priorities of your organisation in 2008? See guidance notes for examples.	sector/area 1:
		sector/area 2:
		sector/area 3:
	1.2 Was drinking-water one of your organisation's aid priority sectors in 2008?	yes/no
	1.3 Was sanitation and hygiene one of your organisation aid priority sectors in 2008?	yes/no
	1.4 Has your organisation used criteria to select priority sectors for aid allocations in 2008?	yes/no. Describe.
References and comments Please enter additional comments or references that you feel would be useful to the analysis.		

Section A. Aid policy and implementation for WASH

Number/Heading	Question	Answer
Q2. Criteria for aid allocation for WASH in 2008	2.1 Has your organisation used criteria for selecting priority recipient countries/regions for WASH aid in 2008?	<i>yes/no</i>
	2.2 Do these criteria include any of the following elements?	
	Countries or regions of greatest need (in terms of coverage/access figures)	<i>yes/no</i>
	Countries or regions of greatest need (in terms of poverty figures)	<i>yes/no</i>
	Established presence/relationships in-country or in-region	<i>yes/no</i>
	Existence of strong national sector plans and budgets	<i>yes/no</i>
	Where a critical mass of other donors is also working in the sector	<i>yes/no</i>
	Where a limited number of donors is working in the sector	<i>yes/no</i>
	Others (please indicate and add as many lines as needed)	<i>Describe</i>
	2.3 Does your organisation have a specific target for increasing access to water and sanitation services, in terms of numbers of people served over a specified time period or other types of targets for the sector. For example, number of people trained, number of latrines constructed, aid disbursed, etc.?	<i>yes/no. Describe</i>
References and comments Please enter additional comments or references that you feel would be useful to the analysis.		

Section A. Aid policy and implementation for WASH

Number/Heading	Question	Answer
Q3. Geographical focus of aid for WASH in 2008	3.1 Which were the priority countries/regions for sanitation & hygiene commitments in 2008? <i>Please replace "Country 1/ Region 1" with the country/region name [1] and add rows as necessary for additional countries/regions (there is no limit to number of priority countries or regions that can be reported)</i>	Country 1
		Country 2
		Etc.
		Region 1
		Region 2
		Etc.
	3.2 Which were the priority countries/regions for drinking water commitments in 2008? <i>Please replace "Country 1/ Region 1" with the country/region name [1] and add rows as necessary for additional countries/regions (there is no limit to number of priority countries or regions that can be reported)</i>	Country 1
		Country 2
		Etc.
		Region 1
		Region 2
		Etc.

[1] Region-wise information is to account for your support to regional programmes and supranational institutions. For further explanation on this please refer to the guidance notes.

References and comments
Please enter additional comments or references that you feel would be useful to the analysis.

Section A. Aid policy and implementation for WASH

Number/Heading	Question	Answer
Q4. Poverty focus of aid for WASH in 2008	4.1 Has your organisation measured the impact of aid to WASH on the poorest in 2008?	yes/no
	4.2 If yes to Question 4.1, has your organisation used any of the indicators/tracking tools below?	
	Coverage or/and access figures JMP	yes/no - provide example
	Socio-economic surveys	yes/no - provide example
	Human Development Indicators	yes/no - provide example
	National indicators on poverty reduction	yes/no - provide example
	Activities which benefit the poor directly	yes/no - provide example
	Process monitoring (how decisions are made)	yes/no - provide example
	Budget tracking	yes/no - provide example
	Others (please indicate and add as many lines as needed)	Describe
References and comments Please enter additional comments or references that you feel would be useful to the analysis.		

Section A. Aid policy and implementation for WASH

Number/Heading	Question	Answer	
Q5. Targets and future focus WASH	5.1 Does your organisation foresee an impact (actual or potential) of the financial crises on the commitments and disbursements to the WASH sector over the next 2 to 3 years?	yes/no. Describe	
	5.2 Does your organisation foresee aid commitments to the sanitation or drinking-water sectors increasing or decreasing over the next one to five years?	Sanitation and Hygiene	Drinking-Water
		2009 % or amount (+ or -)	2009 % or amount (+ or -)
		2010	2010
		2011	2011
		2012	2012
	5.3 Please describe briefly if your organisation has plans or expectations for increasing or decreasing the focus and/or location of aid activities to WASH in 2009/2010. <i>See guidance notes for examples.</i>	Sanitation and Hygiene	Drinking-Water
		Specific targets to reach/monitor?	Specific targets to reach/monitor?
		Changes in policy?	Changes in policy?
		Changes in priority countries/regions?	Changes in priority countries/regions?
		Approach or thematic changes?	Approach or thematic changes?
		Other?	Other?
	References and comments Please enter additional comments or references that you feel would be useful to the analysis.		

Section B. Aid flows for WASH

Note: it is strongly recommended to **read the guidance notes** for definitions on the items listed below.

We acknowledge that some of the questions may be difficult to answer.

We encourage you to give your best estimate in those situations, indicating this in the comments box.

Number/Heading	Question	Answer					
Q6. Funding channels in 2008	6.1 Do you have a specific report which includes WASH aid delivered to Parliament or other Government body?	Yes/no. Provide reference.					
	6.2 If yes, to Question 6.1, what was the latest year reported and what was the amount of aid reported that is directed to the WASH sectors?	Year and reported aid targeted to WASH sectors					
	6.3 Do you provide general budget support to governments in other countries?	Yes/no. Amount					
	6.4 If yes to 6.3, have you analysed what is the % of general budget support which is allocated to WASH?	% of amount to general busget support/comment					
	6.5 Which funding channels were used for disbursement of aid for WASH in 2008? (If known, please fill in the amount in the first columns, otherwise provide an estimate in the last columns)	Main funding channel	Currency:				
			Millions/thousands:				
			Amount	As % of funds disbursed			
			Grants	Loans	Grants	Loans	
			Sector budget support to governments				
			Programmes and projects via multilateral organisations				
			Programmes and projects via NGOs (international or local)				
			Academic and training institutes (international or local)				
Direct implementation (either own agency or consultancy agreements with contractors and private sector)							
Other							
Total	0	0	100%	100%			
References and comments	Please enter additional comments or references that you feel would be useful to the analysis.						

Section B. Aid flows for WASH

*Note: it is strongly recommended to **read the guidance notes** for definitions on the items listed below.*

We acknowledge that some of the questions may be difficult to answer. We encourage you to give your best estimate in those situations, indicating this in the comments box.

Number/Heading	Question	Answer	
		Grants	Loans
Q7. Commitments to WASH in 2008	7.0 We will analyse the data provided to the OECD-DAC. However, only recently the codes for disaggregation have been approved. Please provide <u>rough percentages</u> on the disaggregation of commitments to the following subsectors in 2008.		
		Sanitation & hygiene	
		Drinking-water	
		WASH emergencies	
		Total	0% 0%

Please note whether emergency aid is included in the sanitation and drinking-water estimates

[1] For a matter of simplicity, only grants and loans have been considered in this questionnaire. Other in-kind assistance like technical assistance can be considered grants for the purpose of this exercise.

References and comments	
Please enter additional comments or references that you feel would be useful to the analysis.	

Section B. Aid flows for WASH

*Note: it is strongly recommended to **read the guidance notes** for definitions on the items listed below.*

We acknowledge that some of the questions may be difficult to answer. We encourage you to give your best estimate in those situations, indicating this in the comments box.

Number/Heading	Question	Answer	
Q8. Disbursements to WASH in 2008	8.0 We will analyse the data provided to the OECD-DAC. However, only recently the codes for disaggregation have been approved. Please provide <u>rough percentages</u> on the disaggregation of disbursements to the following subsectors.		
		Grants	Loans
		Sanitation & hygiene	
		Drinking-water	
		WASH emergencies	
	Total	100%	100%

Please note whether emergency aid is included in the sanitation and drinking-water estimates

[1] For a matter of simplicity, only grants and loans have been considered in this questionnaire. Other in-kind assistance like technical assistance can be considered grants for the purpose of this exercise.

References and comments	
Please enter additional comments or references that you feel would be useful to the analysis.	

Section B. Aid flows for WASH

Number/Heading	Question		Answer	
Q9. Disaggregation of disbursements to WASH <u>infrastructure</u> in 2008	9.1 Please provide the best estimate of percentages on the <u>disaggregation of disbursements</u> to the following activities to <u>sanitation and hygiene</u>		Grants	Loans
		New services [1]		
		Maintaining existing services [2]		
		Improving service levels [3]		
		Total	100%	100%
	9.2 Please provide the best estimate of percentages on the <u>disaggregation of disbursements</u> to the following activities to <u>drinking-water</u>	New services		
		Maintaining existing services		
		Improving service levels		
		Total	100%	100%

[1] New services: "Providing access to sanitation and/or drinking-water services where there were previously no improved services"

[2] Maintaining existing services: "Conducting major repairs, rehabilitation, replacement and maintenance which allow existing service to function as they had been previously"

[3] Improving service levels: "Enhancing existing services either for the customer or the environment"

See more details and examples in the guidance notes.

References and comments Please enter additional comments or references that you feel would be useful to the analysis.	
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Section C. Alignment, harmonisation and accountability

Number/Heading	Question	Answer
Q10. In country donor/organisation coordination in 2008	10.1 How much of your organisation's WASH aid is coordinated with recipient country programmes? <i>Please estimate the proportion of coordinated WASH aid vs. total WASH aid as a % of disbursements</i>	%
	10.2 Which are the countries where your organisation/your grantees are active in national coordination/harmonisation platforms for the <u>sanitation and hygiene sub-sector</u>	Mention countries
	10.3 Of the countries mentioned in 10.2 in which countries was your organisation <u>taking a leading role in 2008?</u>	Mention countries
	10.4 Which are the countries where your organisation/your grantees are active in national coordination/harmonisation platforms for the <u>drinking-water sub-sector</u>	Mention countries
	10.5 Of the countries mentioned in 10.4 in which countries was your organisation <u>taking a leading role in 2008?</u>	Mention countries
References and comments Please enter additional comments or references that you feel would be useful to the analysis.		

Section C. Alignment, harmonisation and accountability

Number/Heading	Question	Length	Answer
Q11. Length of support and predictability of WASH commitments in 2008	11.1 What proportion of your <u>total sanitation & hygiene commitments</u> (in volume) is allocated to short/long term programmes?	Less than 3 years	% of commitments
		3 years to less than 5 years	
		5 years or more	
	11.2 What proportion of your total <u>drinking-water commitments</u> (in volume) is allocated to short/long term programmes?	Less than 3 years	% of commitments
		3 years to less than 5 years	
		5 years or more	

References and comments Please enter additional comments or references that you feel would be useful to the analysis.	
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Section C. Alignment, harmonisation and accountability

Number/Heading	Question		Answer
Q12. Avoiding parallel implementation structures	12.0 Does your organisation have Project Implementation Units (PIUs) working in your partner countries in WASH related projects (2008)?	12.1 Total number of countries with WASH funding	<i>Number</i>
		12.2 Number of countries where you have PIUs working in the WASH sector	<i>Number</i>
		12.3 Average number of PIUs per country in the WASH sector	<i>Number</i>
References and comments Please enter additional comments or references that you feel would be useful to the analysis.			

Section C. Alignment, harmonisation and accountability*Note: Please provide your best estimates*

Number/Heading	Question	Answer	
		Sanitation	Drinking-water
13. Untied aid for WASH in 2008	13.1 How much of your organisations' aid for WASH is untied?	Grants	%
		Loans	%
	13.2 Does your organisation use partner countries' procurement systems for WASH?	yes	<i>Mention countries</i>
		no	<i>Mention countries</i>

References and comments Please enter additional comments or references that you feel would be useful to the analysis.	
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Section C. Alignment, harmonisation and accountability

Indicator	Description	Answer	
Q14. Towards alignment, harmonisation and accountability in the WASH sector		Drinking-water	Sanitation & hygiene
	14.1 Please indicate 1 to 3 achievements for your organization or your WASH programs over the past 3 years towards increased alignments, harmonisation, and accountability.	<i>Describe</i>	<i>Describe</i>
	14.2 Please indicate 1 to 3 obstacles for your organization or your WASH programs over the past 3 years towards increased alignments, harmonisation, and accountability.	<i>Describe</i>	<i>Describe</i>
References and comments Please enter additional comments or references that you feel would be useful to the analysis.			

Final comments and feedback on the questionnaire

Question	Answer
15.1 Do you feel this questionnaire can adequately describe the WASH aid flows from your organisation?	
15.2 How much time was needed to fill out the questionnaire? (Full Time Equivalent or hours)	
15.3 How many people were involved in filling out the questionnaire?	
15.4 What added value can this type of assessment bring to your organization?	
15.5 What suggestions do you have to improve this data collection exercise?	
15.6 What other suggestions specifically on this questionnaire or for GLAAS broadly?	
References and comments Please enter additional comments or references that you feel would be useful to the analysis.	