Parties’ reports in the 2016 reporting cycle

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Overview

- Part I
  - The 2014 reporting period
  - Questionnaires
    - Core questionnaire + step-by-step instructions
    - Additional questions (optional module)
  - Preparation and submission of reports
- Part II
  - Introduction of the core questionnaire and the additional questions (optional module)
- Part III
  - Reporting on the web
  - WHO FCTC Indicator Compendium and the Glossary of terms
Part I
Reporting period in 2016:
1 January to 15 April 2016

Reminders:

• Note Verbales; 11 May 2015; 1 December 2015
• Messages sent to tobacco focal points
• Reporting sessions in regional workshops

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The Head of the Secretariat of the WHO Framework Convention on Tobacco Control (WHO FCTC) presents her compliments to the Parties to the Convention and has the honour to recall decision FCTC/COP4/16 of the Conference of the Parties (COP), establishing a standardized cycle of two-year implementation reports synchronized with the biennial cycle of the regular sessions of the COP. The COP also requested the Convention Secretariat to inform the Parties of the submission procedures and timeline for each reporting period.

The Convention Secretariat has the honour to inform Parties that implementation reports for the next reporting cycle in 2016 shall be submitted to the Secretariat in the period 1 January – 15 April 2016. The Secretariat kindly requests all Parties to submit their implementation reports within the indicated period. Further, the Secretariat encourages Parties to also report against
What is new in the 2016 reporting cycle?

COP5 mandated the Secretariat “to update the reporting instrument, … to facilitate the voluntary submission of information by the Parties on the use of the guidelines adopted by the Conference of the Parties” (Decision FCTC/COP5(11))

1. **In the core questionnaire**, inserted new open-ended question on guidelines *(VOLUNTARY)* and on new/emerging tobacco products

2. **Additional questions (optional module)** on the use of implementation guidelines adopted by the COP – *(VOLUNTARY)*

3. **Format of both** have changed
Questionnaires to be used in the 2016 reporting cycle

- **Core questionnaire** - updated for 2016
  - Also updated step-by-step instructions

- **Additional questions** on the use of implementation guidelines adopted by the COP (currently being updated for the 2016 reporting cycle)
**The reporting instrument in 2016**

<table>
<thead>
<tr>
<th><strong>Core questionnaire - MANDATORY</strong>*</th>
<th><strong>Additional questions - VOLUNTARY</strong></th>
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<tbody>
<tr>
<td><strong>Frequency of reporting</strong></td>
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<tr>
<td>Every second year (2012, 2014, …)</td>
<td>Not determined, but preferably in the designated biennial reporting periods</td>
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<td><strong>Deadline</strong></td>
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<td>In the designated reporting period (not later than six months before the next regular COP session)</td>
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<tr>
<td><strong>Instrument</strong></td>
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<td>- Internet-based survey</td>
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<td>- FCTC technical focal points</td>
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<td><strong>Submission</strong></td>
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<td>- online</td>
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<td><strong>Analysis of reports</strong></td>
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*Except questions on the guidelines.*
Preparation and submission of reports

Collection of data which need to be reported

Preparation of the report

Online submission

Secretariat confirms receipt

Review of Secretariat’s comments by the Party and revision of the report

Revision of the content of report and feedback from the Secretariat

Final version of the report submitted to the Secretariat

Report analysed, reflected in the global progress report

Publication of the report on the web

Party may request compliance review FCTC/COP6 (15) NEW

SOURCES
Submit info on submission and a cover letter signed by the relevant authority(ies) to:

copreporting@who.int
Part II
The core questionnaire

http://www.who.int/fctc/reporting/reporting_instrument

- It was updated for 2016
- Also updated step-by-step instructions
- Available in the six UN languages
- To be completed and submitted online
Content of the core questionnaire

1. Origin of the report
2. Tobacco consumption and related health, social and economic indicators (quantitative)
   - Prevalence of tobacco use, exposure to tobacco smoke, taxation of tobacco products, tobacco growing
3. Legislation, regulation and policies - Articles 5 to 22 (26) of the Convention
4. International cooperation and assistance
5. Priorities and comments
Question types

- **Close-ended questions (yes/no, specific information)**
  - Do you have information on tobacco-related mortality in your population? YES/NO
  - If you answered “YES” to question 2.3.1, what is the estimated total number of deaths attributable to tobacco use in your population? GIVE SPECIFIC NUMBER

- **Open-ended questions**
  - Please provide a brief explanation of the trend in smoking prevalence in the adult population in the past two years or since submission of your last report.
  - Please provide a brief description of the progress made in implementing Article 5.3 in the past two years or since submission of your last report.
Step by step instructions

http://www.who.int/fctc/reporting/reporting_instrument/

- **Aim:** to assist Parties in completing the core questionnaire
- **Content:** follows the structure of the questionnaire
  - Provides links to basic documents (treaty and guidelines)
  - Provides general observations as well as specific recommendations
  - Contains definitions of key terms used in the core questionnaire in an Annex
- **TIP:** Follow it while completing the questionnaire!
STEP-BY-STEP INSTRUCTIONS
FOR THE COMPLETION OF CORE QUESTIONNAIRE OF THE REPORTING INSTRUMENT

WHO FRAMEWORK CONVENTION ON TOBACCO CONTROL (WHO FCTC)\(^1\)
Additional questions on the use of COP guidelines
https://extranet.who.int/datacol/survey.asp?survey_id=2515

- Developed under the mandate given by COP5
- Aimed at collecting information on the use of guidelines and on Parties’ implementation of the recommendations therein
- Internet-based, password protected
  - Individual username and password is provided to Parties, upon request, by the Secretariat
- It is voluntary! BUT: any information is welcome
- Read and follow instructions in the introduction
- You can save partially completed form for later finalization and submission!
- Preferred submission: together with the core questionnaire
Additional Questions on the Use of Implementation Guidelines by the Parties

Introduction

The additional questions contained in this module were developed in response to the mandate given to the Convention Secretariat by the Conference of the Parties in decision FCTC/COP5(11) "to update the reporting instrument, as appropriate, in consultation with the Parties, to facilitate the voluntary submission of information by the Parties on the use of the guidelines adopted by the Conference of the Parties".

The questions in this module have accordingly been developed to reflect the content of the guidelines and to supplement the questions already included in the core questionnaire of the WHO FCTC reporting instrument. Questions related to the content of the guidelines, but already included in the core reporting instrument, are not repeated here.

Discussions at the fifth session of the Conference of the Parties underlined the importance of collecting and sharing information on the use of the guidelines by the Parties and of developing an instrument which could capture the full complexity of the information that Parties had to share. The Conference also decided that the provision of such information through this module would be voluntary. Parties are encouraged, if they wish to, to submit this optional module along with their regular (mandatory) biennial implementation reports.

Parties should complete and submit the online form provided. The questions have three response options: "yes", "no", or "other". Please fill the response "other" if, for example, the matter is not applicable in your jurisdiction; relevant information is not available; or implementation of that particular measure is in progress but has not yet been completed. When the "other" option is chosen, please use the data entry field that is clearly indicated to provide details concerning your answer, as appropriate/possible. Details may of course also be provided when the "yes" and "no" options are selected.

Submitted questionnaires will be analyzed and the findings presented in the respective global progress report on implementation of the Convention. They will also be reflected in the implementation database of the WHO FCTC, thus facilitating exchange of information and best practices concerning the use of the guidelines adopted by the Conference of the Parties.

Should you have any questions concerning the use of this form please contact: coper@who.int.

QUICK LINKS TO THE FOLLOWING SECTIONS OF THE MODULE:
Section A
Section B
Section C
Section D
Section E
Section F
Section G

Fields marked with an asterisk (*) are mandatory.

ORIGIN OF THE REPORT

Name of Party *

Name and title of officer responsible for preparation of the report *

Full name of institution *

Done
A. QUESTIONS CONCERNING THE GUIDELINES FOR IMPLEMENTATION OF ARTICLE 5.3 (with reference to section 3.1.2 of the core questionnaire) Back to start

The publication referred to in this document is the following: WHO Framework Convention on Tobacco Control: guidelines for implementation. Article 5.3; Article 9; Articles 9 and 10; Article 11; Article 12; Article 13; Article 14. Geneva, World Health Organization, 2013 (available at: http://www.who.int/fctc/protocol/guidelines/adopted/guidel_2011/).

A1 Have you informed and educated all branches of government about the need to protect tobacco control policies from commercial and other vested interests of the tobacco industry and about the strategies and tactics used by the tobacco industry to interfere with such policies? (Recommendation 1 under the Guidelines)

Yes No Other

A2 Have you established measures to ensure that government bodies and persons working for these bodies interact with the tobacco industry only when and to the extent strictly necessary to enable them to effectively regulate the tobacco industry and tobacco products? (Recommendation 2 under the Guidelines)

Yes No Other

A3 Have you, in the past two years, any interaction with the tobacco industry to enable effective regulation of the tobacco industry and tobacco products? (Recommendations 2.1 and 2.2 under the Guidelines)

Yes No Other

A3.1 If yes, please indicate whether you ensured transparency of the interactions which occurred (e.g. Were they conducted in public, for example through public hearings? Was there public notice of interactions? Was there disclosure of the records of such interactions to the public?.

Yes No Other

A3.2 Please provide details, as appropriate.

A4 Have you entered into any partnerships or non-binding or non-enforceable agreements as well as any voluntary arrangement with the tobacco industry or any entity or person working to further its interests? (Recommendation 3.1 under the Guidelines)

Yes No Other

A4.1 Please provide details, as appropriate.


Part III
Reporting on the web

- The Convention Secretariat’s website
  http://www.who.int/fctc

- Reporting section (FAQs, timeline, reporting instrument, etc.)
  http://www.who.int/fctc/reporting
    - Party reports
      http://www.who.int/fctc/reporting/party_reports
    - WHO FCTC Implementation Database
      http://apps.who.int/fctc/reporting/database/
Hungary

Ratification: 7 April 2004
Entry into force: 27 February 2005

FIRST (TWO-YEAR) IMPLEMENTATION REPORT
Date of submission of first report: 19 March 2007
- Full report (pdf, 457kb)

SECOND (FIVE-YEAR) IMPLEMENTATION REPORT
Date of submission of second report: 19 February 2010
- Full report (pdf, 1.12Mb)
- Annex 1: GYTS Report 2009 (pdf, 1.25Mb)
- Annex 2: Eurobarometer Report 2008 (pdf, 2.58Mb)
- Annex 3: Tax rates (pdf, 7kb)
- Annex 4: Monitoring of policy measures 2007-2009 (pdf, 125kb)
Global progress reports

- The Conference of the Parties
  - “shall keep under regular review the implementation of the Convention and take decisions necessary to promote its effective implementation” (Art. 23.5)
  - (shall)… “consider reports submitted by the Parties in accordance with Article 21 and adopt regular reports on the implementation of the Convention” (Article 23.5(d))


- Reflects reports/information submitted by the Parties by a given deadline
Global progress reports

The Convention Secretariat prepares, on a regular basis, global progress reports on the implementation of the Convention.

In accordance with decision FCTC/COP1(14), the Convention Secretariat prepared and submitted to the COP four global progress reports on the implementation of the Convention. The reports can be accessed from the links below.

Starting from 2012, global progress reports are prepared on a biennial basis in line with the new reporting cycle and submitted for the consideration of each regular session of the COP (Decision FCTC/COP4/16).
WHO FCTC Indicator Compendium
http://www.who.int/fctc/reporting/Compendium/

- Developed under the mandate given by COP5
- Aimed at facilitating national data collection by promoting standardized indicators
- Use of the Compendium:
  - Focal points informed on its finalization
  - Published on the web
  - For example, through countries’ national statistical offices (advised by the national tobacco control focal points and through the United Nations institutions, bodies and agencies) – to ensure that these indicators are integrated in Parties’ data collection systems.
Glossary of terms
http://www.who.int/fctc/reporting/glossary_fctc/

- Updated in 2015
- Terms (and their definitions) used in the Convention and its instruments (implementation guidelines; the Protocol)
- Facilitating the use of standardized terms by the countries, e.g in Parties national legislations/regulations

Use of the Glossary:
- Published on the web
- Also included in the step-by-step instructions
- “Use of terms” sections of national laws or tobacco control action plans
- Any national research requiring definition of key terms.
GLOSSARY OF TERMS USED IN THE WHO FCTC AND ITS INSTRUMENTS

Attractiveness refers to factors such as taste, smell and other sensory attributes, ease of use, flexibility of the dosing system, cost, reputation or image, assumed risks and benefits, and other characteristics of a product designed to stimulate use. (Guidelines for implementation of Articles 9 and 10 of the WHO FCTC)

Behavioural support support, other than medications, aimed at helping people stop their tobacco use. It can include all cessation assistance that imparts knowledge about tobacco use and quitting, provides support and teaches skills and strategies for changing behavior. (Guidelines for implementation of Article 14 of the WHO FCTC)

Brand sharing occurs when a brand name, emblem, trademark, logo or trade
10th Anniversary of the WHO Framework Convention on Tobacco Control

SAVING LIVES FOR A DECADE

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